UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION

COMMODITY FUTURES TRADING COMMISSION,

CASE NO. 8:19-CV-886-T-33SPF

PLAINTIFF,

V.

OASIS INTERNATIONAL GROUP, LIMITED; OASIS MANAGEMENT, LLC; SATELLITE HOLDINGS COMPANY; MICHAEL J. DACORTA; JOSEPH S. ANILE, II.; RAYMOND P. MONTIE III; FRANCISCO "FRANK" L. DURAN; AND JOHN J. HAAS,

DEFENDANTS;

AND

FUNDADMINISTRATION, INC.; BOWLING GREEN CAPITAL MANAGEMENT LLC; LAGOON INVESTMENTS, INC.; ROAR OF THE LION FITNESS, LLC; 444 GULF OF MEXICO DRIVE, LLC; 4064 FOUNDERS CLUB DRIVE, LLC; 6922 LACANTERA CIRCLE, LLC; 13318 LOST KEY PLACE, LLC; AND 4 OAKS LLC,

RELIEF DEFENDANTS.
_____/

THE RECEIVER'S THIRTIETH INTERIM REPORT

Information and Activity from July 1, 2026, through September 30, 2026.

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INTRODUCTION

Burton W. Wiand, the Court-appointed receiver over the assets of the above-captioned defendants and relief defendants (the "**Receiver**" and the "**Receivership**" or "**Receivership Estate**"), files this Thirtieth Interim Report to inform the Court, investors, creditors, and others interested in this Receivership of his activities to date as well as his proposed course of action. The Receiver has established a website, www.oasisreceivership.com, which he updates periodically. The Receiver will continue to update this website regarding his most significant actions, important Court filings, and other items that might be of interest to the public. This Interim Report, as well as all other reports, will be posted on the website.[1]

Overview of Significant Activities During this Reporting Period

During the time covered by this Interim Report, the Receiver and his professionals engaged in the following significant activities:

- Successfully litigated a verified motion for an order to show cause why members of the so-called "Oasis Helpers Group" (the "**Helpers Group**"), including Jason McKee, Greg Melick, defendant Michael DaCorta, and attorneys Brent Winters and Stephen Preziosi, should not be held in civil contempt for violating the Court's asset freeze and for harassing the Receiver and interfering with the Receivership;

[1] As directed by the Court, the Receiver will submit his next interim report and subsequent reports within thirty days after the end of each calendar quarter. Where possible, the Receiver has also included information about events occurring between September 30, 2026 (the end of the reporting period) and the date of this filing.

- Amicably resolved the contempt charges against attorney Stephen Preziosi through settlement, pursuant to which he agreed, in relevant part, to cease accepting money raised from Oasis investors;

- Obtained, following an evidentiary hearing, an order holding the remaining respondents – Jason McKee, Greg Melick, defendant Michael DaCorta, and attorney Brent Winters – in contempt of Court and ordering them, in relevant part, to account for and disgorge all money raised from Oasis investors;

- Undertook extensive efforts across two reporting periods to obtain the contempt order, including (1) preparation for a status conference, which led to the motion for an order to show cause; (2) drafting and filing the motion for an order to show cause, including two supporting declarations and numerous exhibits; (3) extensive service efforts ranging from several motions, affidavits, and other filings to the employment of multiple process servers and investigators in at least three states; (4) the identification and preparation of approximately 100 exhibits; (5) the preparation of examination or cross-examination outlines for as many as nine potential witnesses; (6) the deposition of Michele Utter; (7) litigation of a motion for reconsideration based on purported new evidence from numerous affiants; (8) negotiation and approval of a settlement with respondent Stephen Preziosi, as mentioned above, to conserve resources and narrow issues; (9) a detailed motion in limine regarding the admissibility of co-conspirator statements; (10) the forensic preservation and creation of transcript designations for several YouTube videos that were key exhibits; (11) the preparation of numerous notices and other documents served on opposing parties relating to summary exhibits, expert testimony, business records, and transcript designations, as required by the Federal Rules of Civil Procedure and/or Evidence; (12) the preparation and filing of an expert report; (13) the evidentiary hearing also discussed above; and (14) the preparation of 80+ pages of proposed findings of fact and conclusions of law;

- Continued to prosecute the Receiver's litigation against ATC Brokers Ltd., David Manoukian, and Spotex, LLC;

- Obtained additional settlement payments of **$3,000.00**; and

- Collected **$8,699.69** in interest income on seized funds.

Overview of Activities Since the Beginning of this Receivership

Since the beginning of this Receivership, the Receiver and his professionals have engaged in the following significant activities:

- Seized approximately **$9,158,582.33** from frozen bank accounts at numerous financial institutions, including two Belizean banks;
- Generated **$53,335.13** in business income, primarily from mortgages and rentals;
- Liquidated an additional approximately **$7,900,770.82** in assets (net, excluding remitted funds), mostly subject to agreements with the Department of Justice and the United States Marshals Service;
- Collected **$839,969.02** in interest and/or dividend income;
- Collected total litigation income of **$5,918,723.28** through clawback litigation and other third-party settlements; and
- Collected other miscellaneous income of **$7,788,374.26**, including funds remitted by the Department of Justice.

These activities are discussed in more detail in the pertinent sections of this Interim Report and in the Receiver's previous interim reports.

BACKGROUND

I. Procedure and Chronology

On April 15, 2019, the Commodity Futures Trading Commission (**"CFTC"**) filed this enforcement action alleging that DaCorta, Joseph S. Anile, II (**"Anile"**), and the other named defendants violated the Commodity Exchange Act and CFTC regulations through the operation of a fraudulent foreign currency (**"forex"**) trading scheme. The CFTC alleged that between

mid-April 2014 and April 2019, the defendants fraudulently solicited over 700 U.S. residents to invest in two forex commodity pools – Oasis Global FX, Limited and Oasis Global FX, S.A. (collectively, the **"Oasis Pools"**). The CFTC also asserted that the defendants raised approximately $75 million from these investors and misappropriated over $28 million of the pool funds to make payments to other pool participants and over $18 million for unauthorized personal and business expenses, including the transfer of at least $7 million to the relief defendants.[2]

On the same day, the Court entered an order appointing Burton W. Wiand as temporary Receiver for the Receivership Entities (Doc. 7).[3] Subsequently, all defendants and relief defendants either defaulted or consented to the entry of a preliminary injunction against them (with some differences unique to the circumstances of each party). *See* Docs. 35, 43, 44, 82, 85, 172, 174-77.

On August 8, 2019, defendant Anile pled guilty to three counts involving the scheme: (1) conspiracy to commit wire and mail fraud; (2) engaging in an

[2] On June 12, 2019, the CFTC filed an amended complaint (Doc. 110), which contained additional allegations about certain defendants and relief defendants.

[3] On July 11, 2019, the Court entered a Consolidated Receivership Order, which is now the operative document governing the Receiver's activities. Doc. 177 (the "**Consolidated Order**"). On April 23, 2021, the Court reappointed the Receiver for purposes of 28 U.S.C. § 754, but the order of reappointment attaches and incorporates the Consolidated Order by reference. *See* Doc. 390. As such, the provisions of the Consolidated Order continue to govern the Receiver's mandate upon reappointment. *Id.*

illegal monetary transaction; and (3) filing a false income tax return. *See United States of America v. Joseph S. Anile, II*, Case No. 8:19-cr-334-T-35CPT (M.D. Fla.). On November 18, 2020, Anile was sentenced to imprisonment of 120 months and supervised release of three years, which the Court subsequently reduced. *Id*. at Docs. 56, 76, and 77. He was also ordered to pay restitution of $53,270,336.08. *Id*.

A federal grand jury indicted defendant DaCorta for (1) conspiracy to commit wire fraud and mail fraud, (2) engaging in an illegal monetary transaction, and (3) tax evasion. *See United States of America v. Michael J. DaCorta*, Case No. 8:19-cr-605-T-02CPT (M.D. Fla.). DaCorta stood trial in April 2022, and on May 4, 2022, after two weeks of testimony and argument before the Honorable William F. Jung and less than four hours of deliberation, a jury found him guilty on all counts. *Id*. at Doc. 192. On October 20, 2022, Judge Jung sentenced DaCorta to 23 years of imprisonment for his role in the Ponzi scheme underlying this enforcement action. *Id*. at Doc. 234. Judge Jung also ordered DaCorta to pay restitution in the amount of $53,270,336.08, jointly and severally with defendant Anile. DaCorta was taken into custody and is in prison. DaCorta appealed his conviction, but on May 1, 2024, the Eleventh Circuit affirmed the trial court. *See United States of America v. Michael J. DaCorta*, Case No. 22-13564 (11th Cir.).

On June 20, 2025, New York attorney Preziosi filed a motion for *pro hac vice* appearance on behalf of DaCorta in this matter.[4] On July 28, 2025, Preziosi filed a separate *habeas corpus* motion to vacate DaCorta's conviction using funds essentially extorted from DaCorta's victims. *See DaCorta*, Case No. 8:19-cr-605-T-02CPT at Doc. 249. Although the *habeas corpus* motion was fully briefed almost eight months ago on March 2, 2026, the court presiding over that matter has not yet issued a ruling.

In this civil enforcement action, on July 17, 2023, the CFTC and DaCorta filed cross-motions for summary judgment (Docs. 749 and 750). On December 6, 2023, the Court granted the CFTC's motion for summary judgment and denied DaCorta's motion. Doc. 780. **The Court found that DaCorta had no evidence to contest any of the CFTC's material arguments.** *Id.* The Court entered judgment against DaCorta in the amount of $53,270,336.08 plus post-judgment interest and a civil penalty of $8,453,628.48. DaCorta appealed the Court's order. The Eleventh Circuit heard oral argument for this matter on June 26, 2026. *See generally C.F.T.C. v. DaCorta*, Case No. 24-10132-AA (11th Cir.). Despite the passage of at least four months, no opinion has been issued

[4] On July 28, 2026, in connection with the settlement of the contempt charges against him, Preziosi withdrew from his representation of DaCorta in this Court. *See* Doc. 959. As discussed below, he is still representing DaCorta in his *habeas corpus* action and in his Eleventh Circuit summary judgment appeal, although Preziosi anticipates his work in those matters is largely concluded.

as of the date of this report. DaCorta's defense of the CFTC action and his appeal of the judgment in that action were funded with money solicited from DaCorta's victims, who are claimants in this Receivership.

Defendants Raymond P. Montie (**"Montie"**), John J. Haas (**"Haas"**), Frank L. Duran, Oasis International Group, Limited (**"OIG"**), Oasis Management, LLC, and Satellite Holdings Company all consented to judgments against them on the CFTC's charges. The Court entered judgments against all of them. *See* Docs. 783, 786-90. The orders require the defendants to disgorge their ill-gotten gains and to pay a civil penalty. The Receiver has entered into settlement agreements with defendants Montie and Haas. Both have made monetary settlements with the Receiver.

II. Overview of the Receiver's Findings

After the Receiver's appointment, he investigated the Receivership Entities and concluded that DaCorta and others operated them as a Ponzi scheme. This conclusion is supported by Anile's 2019 guilty plea, DaCorta's 2022 criminal conviction, and the Court's order granting the CFTC's motion for summary judgment. The scheme began with the sale of preferred shares that promised a 12% dividend that was to be derived from trading profits and transaction income from the Oasis Pools.

Investors were sold the preferred shares through a private placement memorandum that contained significant false representations and omitted

numerous material facts. Continued deception of the investors allowed the scheme to proliferate. Investors were led to believe that they held valuable loan accounts that continually earned money when, in fact, the scheme appears to have been insolvent since its inception. For example, when the CFTC stopped the scheme in April 2019, the fraudulent website the perpetrators created showed investors that they were owed an aggregate of over $120 million. In truth, OIG only had liquid assets of less than $10 million. Oasis continually lost money in forex trading and never produced any trading profits for distribution to investors.

At the time the CFTC asked the Court to freeze the Receivership Entities' accounts, OIG was accruing debt obligations to its investors in excess of $1 million per month. OIG was losing money and had no ability to satisfy its obligations to its investors, yet insiders were regularly representing to investors that its operations were profitable. The Receiver's analysis indicates that a total of approximately $80 million was raised from investors but only a small fraction of those funds was traded. The remainder of the money raised from investors was used to make Ponzi payments to other investors, pay expenses to perpetuate the scheme, and enrich the defendants. For a more detailed overview of the Receiver's findings, please refer to the Receiver's Twenty-Fourth Interim Report and prior interim reports.

A. The Receiver's Investigation into Defendant DaCorta's Assets, the Ongoing Obstruction of the Receivership, and Recovery Scam Targeting Defrauded Investors

As detailed in numerous prior interim reports, the Receiver discovered alarming evidence of (1) conflicts of interests involving Winters, who has simultaneously represented hundreds of victims of the scheme, on the one hand, and DaCorta, the mastermind of the scheme, on the other; (2) almost $900,000 raised from investors under false pretenses and used to fund efforts to defend DaCorta and to disrupt the Receivership;[5] and (3) an ongoing recovery fraud targeting victim-investors. *See, e.g.*, Docs. 811, 864, and 889.

In the Receiver's Twenty-Seventh Interim Report, he provided new evidence of ongoing fraudulent and extortionate efforts to raise funds for DaCorta from investors. Doc. 899. In response to this report, on March 24, 2026, the Court held a public, duly-noticed status conference. The Receiver presented evidence to the Court in support of the information provided in the interim report. In short, the Helpers Group and Winters have fraudulently raised money from investors to finance the defense of DaCorta (the architect of

[5] Through the Consolidated Order and its predecessors, the Court directed the Receiver to implement the asset freeze and to marshal and safeguard all property belonging to the defendants and relief defendants. Pursuant to this mandate and as explained in prior interim reports, the Receiver seized and liquidated luxury real estate, sports cars, and precious metals, among other things. The Court has never exempted any cash or other property from the asset freeze for the payment of defendant DaCorta's legal expenses.

the Oasis fraud) and to directly harass and interfere with the Receiver and this Court's administration of the Receivership.

1. Motion and Order to Show Cause

As a result of the status conference, on April 3, 2026, the Receiver filed a verified motion for an order to show cause why certain individuals associated with the Helpers Group – *i.e.*, McKee (individually and as trustee of Trust LLT), Melick, DaCorta, and attorneys Winters and Preziosi – should not be held in civil contempt for violating the Court's Consolidated Order. *See* Doc. 904. The motion sought, among other relief, injunctive measures to prevent further interference with the Receivership, an accounting of investor funds received by the respondents, disgorgement and turnover of assets, and reimbursement of fees and costs incurred by the Receivership Estate. *Id.*

On May 1, 2026, Preziosi filed an opposition to the motion. Doc. 911. On June 10, 2026, the Court granted the show cause motion in large part and set the show cause hearing for July 15, 2026.[6] Doc. 927. As discussed below, the date of the hearing has changed to August 7, 2026. The Court directed the Receiver to serve nonparty Respondents Winters, Melick, and McKee under Fed. R. Civ. P. 4(e). *Id.* at 17–18.

[6] The Court ordered Preziosi to show cause regarding alleged violations of the asset freeze but exempted him regarding harassment of the Receiver and interference with the Receivership. Doc. 927, at 14-15.

a. Evasion of Service by Winters, Melick, and McKee

Despite substantial diligence, including retention of a private investigative firm and a process server, multiple personal-service attempts across several states, and extensive skip-tracing and public-records review, the Receiver was unable to personally serve Winters, Melick, or McKee, each of whom appear to have been actively evading service. Winters has no discernable residence, and his published office address is a UPS store. The Receiver has previously attempted to serve Winters at four separate addresses across three states. For this hearing, the Receiver even pursued and investigated rumors of Winters concealing his whereabouts by (1) living in a yurt on isolated, rural property owned by family members, (2) using rental cars to travel and avoid relevant ownership records, and (3) living in extended-stay hotel suites for material amounts of time when in Idaho.[7] Melick likewise could not be confirmed at any residential address despite extensive efforts, and a process server's repeated attempts at a possible New Hampshire address were unsuccessful.[8] McKee's vehicle was confirmed present at his Illinois residence,

[7] Given the Receiver's years' long history of trying to find and serve Winters, it is safe to conclude that Winters has essentially structured his life to evade service.

[8] Melick might also go by the name Charles Gregory Melick. Notably, there is at least one historical IRS case, including an order to show cause, against Charles Gregory Melick where a bench warrant was required due to his attempts to evade service. The Receiver has not been able to confirm whether that individual is the same person as respondent Melick.

but he declined to answer the door and did not respond to any outreach. The process server visited the property five times on both weekdays and weekends.

Given this evasion, on June 26, 2026, the Receiver filed a motion to approve substituted service on these three Respondents through the Florida Secretary of State, and additionally under Indiana law as to Winters and Illinois law as to McKee. Doc. 935. After resolving a procedural matter with respect to McKee, the Court granted substituted service via the Florida Secretary of State, and authorized service on McKee and Winters under procedural rules of their respective states. Docs. 936, 939. As a result, the Receiver was able to serve McKee by email and U.S. mail under Illinois law and Winters by email under Indiana law. The Receiver, however, could not serve Melick by either mail or email under New Hampshire law. Melick had to be served through the Secretary of State, which had a processing backlog and would not complete service before the July 15 hearing.

Because Melick is a central figure behind the Helpers' Group and the principal author of most, if not all, the Helpers' Group's lender updates and other investor communications, proceeding without Melick would render any relief largely ineffective. As such, on July 10, 2026, the Receiver moved to continue the hearing. Doc. 944. The Court granted the motion on July 10, 2026, and rescheduled the evidentiary hearing to August 7, 2026. Docs. 945 and 946. Service by the Secretary of State was effective on Melick as of July 17, 2026.

Doc. 953. In addition to the foregoing, the Receiver has sent all pleadings he has filed regarding the show cause motion and hearing to every known email address for the Respondents. No Respondent, other than Preziosi (on behalf of himself and DaCorta), has appeared or communicated with the Receiver.

b. Preziosi's Appeal, Motion for Reconsideration, and Joint Motion for Discharge

On June 12, 2026, Preziosi noticed an appeal to the Eleventh Circuit from the order to show cause, which temporarily stayed the action. Doc. 929. On June 16, 2026, the Court entered an order stating that the order to show cause is not a final, appealable order and there is no basis for an interlocutory appeal. Doc. 932. The Court also directed the clerk to lift the stay. *Id.* On July 6, 2026, Preziosi moved for reconsideration of the show cause order (Doc. 940), which stayed the appeal. *Stephen Preziosi v. Burton Wiand*, Appellate Case Number 26-12091 (11th Cir.), Doc. 5. On July 20, 2026, the Receiver filed an opposition to the motion for reconsideration. Doc. 955.

On July 25, 2026, the Receiver and Preziosi filed a joint motion to discharge the show cause order as to Preziosi only, based on Preziosi's affidavit and related agreements with the Receiver. Doc. 956 (the "**Joint Motion**"). Specifically, Preziosi agreed to (1) withdraw from representing DaCorta in this action (though not in the pending appeal or *habeas corpus* proceeding, represented as substantially complete); (2) accept no further funds to represent

DaCorta in any capacity; (3) work with the Receiver to correct the Helpers Group's misrepresentations to investors; (4) produce his communications with Melick; and (5) continue cooperating with the Receiver regarding the August 7, 2026, hearing. *Id.*

Importantly for victims of the recovery fraud, Preziosi made the following statements under oath in his affidavit:

- "**I never made any representations to anyone that success on the appeal or the habeas action would result in DaCorta or anyone else receiving hundreds of millions of dollars**" Doc. 956-1, ¶12 (emphasis added).

- "**I do not know that <u>any recovery</u> is possible should DaCorta be successful on appeal, and I have made no such representation to anyone.**" *Id.* at ¶ 13 (emphasis added).

- "I do not believe that the appellate court has the authority to order the recovery of money or that DaCorta be reimbursed any money. The only thing the appellate court would have the authority to do is to remand the case to the trial court for further proceedings." *Id.* at ¶ 14.

- "**The habeas court** has jurisdiction only over the criminal proceedings and **has no authority to dictate or order the recovery of funds in the civil matter**. *Id.* at ¶ 14 (emphasis added).

On July 27, 2026, the Court entered an order on the Joint Motion granting it to the extent of discharging the order to show cause as to Preziosi but noting that Preziosi must file a proper notice to withdraw from representing DaCorta to be granted leave to withdraw. Doc. 957. The Court also found that if Preziosi is called to testify at the hearing he must be

physically present. *Id.* Preziosi filed a motion to be relieved of counsel for DaCorta in the Middle District of Florida on July 28, 2026. Doc. 958. The Court granted the motion that same day. Doc. 959. These orders mooted Preziosi's appeal, which was dismissed for lack of prosecution. *See* Doc. 987. Preziosi did not testify at the hearing, but his affidavit was received into evidence.

c. DaCorta's Appearance at the Hearing

On June 10, 2026, the same day it issued the order to show cause, the Court issued a writ of habeas corpus ad testificandum directing the U.S. Marshals Service to produce DaCorta for the hearing. Doc. 928. DaCorta was moved from his prison facility pursuant to the writ for the then-scheduled July 15, 2026, hearing. After the hearing date was changed to August 7, 2026, DaCorta moved through Preziosi to be remanded and requested leave to appear at the rescheduled hearing remotely. Doc. 948. On July 16, 2026, the Court granted remand but denied remote appearance. Doc. 951. In the Joint Motion, Preziosi renewed his appeal for DaCorta's appearance by Zoom, which the Court again denied on July 27, 2026, reiterating that DaCorta's physical presence is required. Doc. 957. Preziosi instructed DaCorta not to testify at the evidentiary hearing based on his Fifth Amendment privilege against self-incrimination, and during the hearing, DaCorta followed Preziosi's instruction, invoking his right to remain silent in response to numerous questions. While

DaCorta cross-examined two witnesses, he did not make an opening statement or present any evidence.

d. The Evidentiary Hearing

On August 7, 2026, the Court held the evidentiary hearing on the Receiver's motion for an order to show cause. Prior to the hearing, the Receiver and his professionals comprehensively prepared to present his case to the Court, including (1) identifying, interviewing, and preparing witnesses to testify at trial; (2) identifying and preparing trial exhibits; (3) retaining and working with an expert witness in connection with the witness's forensic analysis of the financial transactions at issue and preparation of an expert report and preparing the witness for the hearing; and (4) preparing and filing an Exhibit List (Doc. 968) and a Witness List (Doc. 971), as well as a Notice of Filing Expert Report (Doc. 969) and the Receiver's Motion in Limine to Conditionally Admit Co-Conspirator Statements Pursuant to Fed. R. Evid. 801(2)(d)(E) (Doc. 947); (5) deposing key witness Michele Utter; and (6) drafting and providing notice to Respondents of numerous items relating to the foregoing like transcripts designations and summary exhibits, as required by the Federal Rules of Civil Procedure and/or Evidence.

At the Show Cause Hearing, the Receiver introduced over one-hundred exhibits and called four witnesses, including an expert witness and respondent/defendant DaCorta. The other three remaining respondents did

not attend the hearing, but the Receiver and his professionals were nevertheless required to prepare for any potential testimony or argumentation without the benefit of an exhibit list or witness list from any of the Respondents. The hearing concluded on the afternoon of the 7th after several hours of testimony and argumentation.

e. Post-Hearing Filings & Other Matters

After the evidentiary hearing, the Court asked the Receiver and Respondents to prepare post-hearing documents, including proposed findings of fact and conclusions of law. The Receiver drafted and filed his eighty-page Proposed Post-Hearing Findings of Fact and Conclusions of Law (Doc. 985) on August 24, 2026. Respondent DaCorta also submitted a 42-page document, including argumentation and proposed findings of fact and conclusions of law, on August 21, 2026. *See* Doc. 984. The Court then issued its order holding the respondents in contempt on September 4, 2026. Doc. 989.

On September 11, 2026, DaCorta filed a motion for reconsideration of the Court's contempt order (Doc. 990), which the Court denied on October 21, 2026 (Doc. 1001). DaCorta also filed a notice of appeal to the Eleventh Circuit (Doc. 992), and that matter is proceeding in its early stages. *See Burton Wiand v. Michael J. DaCorta*, Case No. 26-13417-A (11th Cir.).

2. Receiver's Efforts to Enforce Subpoena to IPM.

As all of DaCorta's assets are subject to the Court-imposed asset freeze and restitution order of $53 million and none have been exempted for DaCorta's legal expenses, the Receiver has been investigating possible violations of these orders. In the course of that investigation, the Receiver learned that Trust LLT sent $322,000 of claimant funds to IPM for the purported purchase of $322,000 in gold coins. Trust LLT is the entity to which claimants were directed to send payments for Winters' services and was used to funnel funds from deceived investors to DaCorta's lawyers. The current whereabouts or disposition of the gold coins is unknown. Presumably, the $322,000 in gold coins (later valued at approximately $684,216)[9] has disappeared into the hands of Winters and/or the Helpers Group.

As previously reported, IPM's refusal to comply with the Receiver's subpoena served on it necessitated the Receiver filing a motion to compel the company's compliance and for sanctions through local counsel in Idaho. *See Wiand, as Receiver v. Intermountain Precious Metals LLC*, Case No. 1:24-mc-00086-AKB (D. Idaho); Doc. 882 (Receiver's Twenty-Sixth Interim Report). IPM's owner, Nathan Young, opposed the motion to compel and attempted to

[9] As of July 28, 2026, the spot price of gold was $4,024.80 per ounce. *See* Kitco, Gold Price Today, https://www.kitco.com/charts/gold (last visited July 28, 2026). Trust LLT purchased 170 one-ounce Canadian Maple Leaf gold coins, which equates to a value of approximately $684,216.

invoke the Fifth Amendment privilege against self-incrimination. The court ordered IPM to retain counsel, granted the Receiver's motion to compel, and found that IPM would be subject to sanctions, including the Receiver's reasonable attorneys' fees and costs, if the company failed to comply with the subpoena within 30 days of the court's order.

In willful contempt of the order, IPM did not produce any of the required documents or retain counsel. Instead, Young filed and lost appeals to the Ninth Circuit Court of Appeals and the United States Supreme Court. On August 22, 2025, after IPM's continued failure to produce responsive documents, the Receiver filed his second motion to compel compliance with the subpoena and for daily sanctions (the **"Second Sanctions Motion"**). Subsequently, IPM made a limited and deficient production of handwritten responses to the Receiver's subpoena and two sales orders. Despite repeated attempts by the Receiver's attorneys to resolve the production deficiencies without court intervention, Young failed to respond. Thus, on October 15, 2025, the Receiver filed a supplemental report again requesting the court's intervention and sanctions as sought in the Second Sanctions Motion. It seems that IPM is materially assisting the Helpers Group in laundering funds from deceived investors.

On April 27, 2026, the Idaho district court entered an order finding IPM in contempt of court for failing to comply with the subpoena and the Court's

order, allowing IPM ten days to produce additional documents, imposing a $1,000 weekly sanction thereafter if IPM fails to comply, and ordering IPM to pay the Receiver's reasonable attorneys' fees and costs associated with bringing both motions. The Receiver will prepare the motion for attorneys' fees and submit it to the Idaho court as soon as practicable.

ACTIONS TAKEN BY THE RECEIVER

During this reporting period, the Receiver has taken steps to fulfill his mandates under the Consolidated Order and its predecessors. Doc. 177 ¶ 56. For the Receiver's additional efforts, including sales of real property, precious metals, and vehicles, please refer to prior interim reports.

III. Financial Status of The Receivership Estate

Attached as **Exhibit A** to this Interim Report is a cash accounting report showing (1) the amount of money on hand from July 1, 2026, less operating expenses plus revenue, through September 30, 2026, and (2) the same information from the beginning of the Receivership (as opposed to the current reporting period). The cash accounting report does not reflect non-cash or cash-equivalent assets. Thus, the value of any uncollected or unsold property discussed below is not included in the accounting report. From July 1, 2026, through September 30, 2026, the Receiver collected $8,699.69 from interest

income and $3,000.00 in third-party litigation income.[10] *See* Ex. A. All Receivership funds are held in a money market account and a checking account at ServisFirst Bank. The Receiver has deposited all frozen funds and all additional funds he obtained into these accounts.

A list of previously frozen bank or other financial accounts organized by defendant, relief defendant, and/or affiliated entity is attached as **Exhibit B**.[11] Almost all available funds from the accounts identified on Exhibit B have either been secured by the Receiver through the asset freeze, obtained through settlement, or released via settlement. The Receiver also identified and/or seized the personal property listed in **Exhibit C**.[12] He has sold most items as set forth in the exhibit.

IV. Litigation

The Receiver has engaged in substantial litigation efforts throughout the course of this Receivership. As shown on Exhibit A, these efforts have resulted

[10] As explained in footnote 1, to the extent possible, the Receiver has included in this Interim Report transactions and events occurring after September 30, 2026, to give the Court and others the most current overview of the Receiver's activities. Money collected after that date, however, is not reflected in Exhibit A. Those collections will be included in the Receiver's next interim report.

[11] Previously, defendants Montie and Haas were required to provide the CFTC and the Receiver with monthly financial statements for certain accounts, which the Receiver used to update Exhibit B. Due to their settlements with the CFTC and the Receiver, Montie and Haas are no longer required to provide the monthly statements. Exhibit B now labels the accounts "Settlement" with a frozen balance of $0.00 and a liquidated balance of $0.00.

[12] Importantly, the values identified in Exhibit C were and are only estimates. Actual recoveries have been and will be subject to market conditions and other factors.

in the recovery of approximately **$5,915,723.28** from the inception of the Receivership through June 30, 2026. The majority of the litigation has been resolved. At this time, the only remaining litigation activities include: (1) collection on settlements and the enforcement of a judgment; (2) litigation against ATC Brokers, Ltd., David Manoukian, and Spotex, LLC; and (3) litigation of matters relating to the recovery fraud like the IPM subpoena and DaCorta's Eleventh Circuit appeal. The following subsections address the foregoing (to the extent not already discussed above) as well as certain related litigation. At this time, the Receiver does not believe that any additional third-party litigation would be of economic benefit to the Receivership. For more information regarding the Receiver's litigation efforts, please refer to prior interim reports.

A. Completed Litigation

1. Settled Litigation Against Montie

The Receiver settled litigation he brought against defendant Montie for $549,410.88, after the evaluation of the Receiver's claims and the prospects of collection. The Court approved the settlement agreement on January 1, 2024. *See* Doc. 793. Certain escrowed funds as well as monies already seized by the Receiver have been credited to the settlement amount. Montie must pay the remainder pursuant to a negotiated schedule. Upon satisfaction of the

settlement agreement and the CFTC's consent order, the asset freeze will be lifted with respect to Montie's remaining property.

B. Pending and Related Litigation

The Receiver is not aware of any litigation against Receivership Entities that was pending at his appointment, and the Consolidated Order enjoins the filing of any litigation against Receivership Entities without leave of Court.

1. The Receiver's General Clawback Litigation

Through pre-suit settlement procedures approved by the Court, the Receiver obtained pre-suit clawback settlements collectively worth $246,497.09 in connection with investors who received false profits. Docs. 237, 247. On April 14, 2020, the Receiver filed a complaint against almost 100 non-settling investors, seeking to recover approximately $4.4 million plus costs and prejudgment interest (the **"Clawback Action"**). Through the Clawback Action, the Receiver obtained post-suit or post-judgment settlements worth approximately $1,214,917.09, and default judgments worth approximately $2,145,880.47. The liability portion of the Clawback Action is complete. The Receiver sold all outstanding judgments except one in the amount of $146,092.90.[13] Docs. 863 and 866. The Receiver has conducted collection efforts on this judgment which have been unsuccessful to date.

[13] The purchaser of the other judgments declined to purchase this judgment as it did not think the judgment had any value.

2. The Receiver's Litigation Against ATC Brokers Ltd., Spotex LLC, and Affiliates

On May 28, 2021, the Receiver filed suit against ATC Brokers Ltd., David Manoukian, and Spotex LLC asserting claims for aiding and abetting fraud, aiding and abetting breaches of fiduciary duties, recovery of fraudulent transfers from ATC, gross negligence, and simple negligence (the "**ATC Litigation**"). As previously reported, this litigation was remanded to the district court following the Receiver's successful appeal of a prior dismissal.

Following remand, the Receiver moved for compliance with the appellate mandate and for leave to amend his complaint. The district court granted that relief in substantial part, and on February 13, 2026, the Receiver filed his Second Amended Complaint. The Second Amended Complaint continues to assert substantial fraudulent transfer and related tort claims arising from the defendants' alleged role in facilitating the Oasis scheme and seeks recovery for the benefit of the Receivership Estate.

The case is now actively proceeding in the district court. Each defendant has filed motions to dismiss the Second Amended Complaint. The Receiver opposed the motions to dismiss on May 20, 2026, to which the defendants replied on June 17, 2026. Despite the passage of more than four months, no ruling has been entered on the motions to dismiss as of the date of this report. The Court also entered an amended Case Management and Scheduling Order

setting the matter for a jury trial during the May 2028 trial term, with dispositive motions due in November 2027 and mediation to occur before trial. The Receiver intends to continue aggressively prosecuting this action.

V. Claims Process

As explained more fully in prior interim reports, with the Court's approval the Receiver established a claims process through which he is distributing the proceeds of the Receivership Estate to creditors, including defrauded investors. The Claim Bar Date (as defined in Doc. 230 – i.e., the deadline for submitting claims to the Receiver) was June 15, 2020. As of that date (with minimal exceptions), investors and other creditors submitted approximately 800 proof of claim forms totaling approximately $70 million. Anyone who did not submit a proof of claim form by that date is barred from participating in a distribution from the Receivership Estate.

On December 9, 2022, the Receiver moved the Court for an order (1) approving a first interim distribution of $10 million; (2) approving the Receiver's final determinations regarding unperfected or incomplete claims; and (3) overruling limited objections to certain claim determinations. Doc. 695. The Court granted this motion and denied untimely and meritless objections on March 15, 2023. Doc. 730. The first interim distribution of $10 million is complete and provided approximately 17.51% of the "Allowed Amounts" (*see* Doc. 439 at 10) of claims entitled to receive the distribution (as set forth in

Exhibits 1 and 2 of the motion). For more information, please see the Receiver's status report on the first interim distribution. Doc. 747.

On February 28, 2024, the Receiver moved the Court to approve a second interim distribution of $9,000,000 to approved claimants, bringing the total recovery for claimants participating in both distributions to approximately 33.28% of their allowed amounts. Doc. 805. On April 8, 2024, the Court approved the second interim distribution. Doc. 810. Despite unnecessary obstacles created by Winters and the Helpers Group (*see* Doc. 811), the Receiver mailed distribution checks to claimants with approved claims on April 30, 2024. The second interim distribution is complete. Additional funds on hand will be retained for continued operation of the Receivership and potential exposure from ongoing litigation.

VI. The Next Ninety Days

The Consolidated Order requires this Interim Report to contain the Receiver's recommendations for a continuation or discontinuation of the Receivership. Doc. 177 ¶ 56.G. The Receiver recommends continuation of the Receivership because he still has litigation to prosecute, a claims process to complete, and funds to distribute. Other than efforts to stop the Helpers Group's continued fraud, interference with the Receivership, and violation of this Court's orders and the ATC Litigation, the work of Receiver is substantially complete.

CONCLUSION

Investors and other creditors of the Receivership Entities are encouraged to periodically check the Receiver's website (www.oasisreceivership.com) for current information concerning this Receivership. While the Receiver and his staff are available to respond to any inquiries, to minimize expenses, investors and other creditors are strongly encouraged to consult the Receiver's website before contacting the Receiver or his counsel. Should the website not answer the question, please reach out to the Receiver or his professionals. The Receiver continues to encourage individuals or attorneys representing investors who have information that might be helpful in securing further assets for the Receivership Estate to email Edwina Tate at Edwina@BurtonWWiandPA.com. The Receiver can be contacted by phone at (727) 460-4679 or by email Burt@BurtonWWiandPA.com.

Dated this 30th day of October 2026.

Respectfully submitted,

s/ Burton W. Wiand
Burton W. Wiand, Receiver

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on October 31, 2026, I electronically filed the foregoing with the Clerk of the Court by using the CM/ECF system.

s/ Jared J. Perez
Jared J. Perez, FBN 0085192
jared.perez@jaredperezlaw.com
JARED J. PEREZ P.A.
301 Druid Rd W
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and

Maya Lockwood, FBN 0175481
maya@burtonwwiandpa.com
BURTON W. WIAND PA
114 Turner Street
Clearwater, FL 33756-5211
Tel.: (813) 902-4147

Attorneys for Receiver, Burton W. Wiand

EXHIBIT A

Standardized Accounting Report Form

Standardized Accounting Report for Oasis Management LLC Receivership
Civil Court Docket No. 8:19-cv-00886-VMC-SPF
Reporting Period 07/01/2026 to 09/30/2026

		Details	Subtotal	Grand Total	Notes
Line 1	**Beginning Balance (As of 07/01/2026)**			$ 1,940,046.31	
	Increases in Fund Balance				
Line 2	Business Income				
Line 3	Cash and Securities				
Line 4	Interest/Dividend Income	$ 8,699.69			Interest Income
Line 5	Asset Liquidation				
Line 6	Third-Party Litigation Income	$ 3,000.00			Settlements
Line 7	Other Miscellaneous				
	Total Funds Available - Totals Line 1 - 7		$ 11,699.69	$ 1,951,746.00	
	Decreases in Fund Balance				
Line 9	Disbursements to Investors				
Line 10	Disbursements for Receivership Operations				
10.a.1	Receiver	$ 22,360.06			Professional Fees
10.a.2	Guerra King				
10.a.3	KapilaMukamal LLP				
10.a.4	PDR CPAs				
10.a.5	RPM Financial				
10.a.6	Englander Fisher				
10.a.7	The RWJ Group				
10.a.8	E Hounds				
10.a.9	Maples Group				
10.a.10	Jared J Perez PA				
10.a.11	Other Professional Fees	$ 6,003.35			Professional Fees
Line 10	Total Disbursements to Receiver/Professionals		$ 28,363.41		
10b	Third-Party Litigation Expenses				
10c	Asset Expenses		5,883.15		Legal Copies
10d	Tax Payments				
	Total Disbursements for Receivership Ops.		$ 34,246.56		
Line 11	Disbursements Related to Distribution Expenses				
Line 12	Disbursement to Court/Other				
Line 13	Other				
	Total Funds Disbursed - Total Lines 9 - 13			$ 34,246.56	
Line 14	**Ending Balance (as of 09/30/2026)**			**$ 1,917,499.44**	

Standardized Accounting Report Form

Standardized Accounting Report for Oasis Management LLC Receivership
Civil Court Docket No. 8:19-cv-00886-VMC-SPF
From Inception to 09/30/2026

		Details	Subtotal	Grand Total	Notes
Line 1	**Beginning Balance**			-	
	Increases in Fund Balance				
Line 2	Business Income	$ 53,335.13			Rental/Mortgage Income
Line 3	Cash and Securities	$ 9,158,582.33			Cash from Frozen Accts.
Line 4	Interest/Dividend Income	$ 839,969.02			Interest Income
Line 5	Asset Liquidation	$ 7,900,770.82			Sale of Real Estate/Misc.
Line 6	Third-Party Litigation Income	$ 5,918,723.28			Settlements, etc
Line 7	Other Miscellaneous	$ 7,788,374.26			Remitted Funds & Misc.
	Total Funds Available - Totals Line 1 - 7		$31,659,754.84	$ 31,659,754.84	
	Decreases in Fund Balance				
Line 9	Disbursements to Investors		$18,824,628.07		
Line 10	Disbursements for Receivership Operations				
10.a.1	Receiver	$ 721,371.19			Professional Fees
10.a.2	Guerra King	$ 2,236,059.97			Professional Fees
10.a.3	KapilaMukamal LLP	$ 320,452.44			Professional Fees
10.a.4	PDR Certified Public Accts	$ 123,927.28			Professional Fees
10.a.5	RPM Financial	$ 84,036.92			Professional Fees
10.a.6	Englander Fisher	$ 577,317.24			Professional Fees
10.a.7	The RWJ Group	$ 100,688.80			Professional Fees
10.a.8	E Hounds	$ 201,142.97			Professional Fees
10.a.9	Maples Group-	$ 66,422.85			Professional Fees
10.a.10	Jared J. Perez	$ 175,603.48			Professional Fees
10.a.11	Other Professional Fees	$ 154,469.85			Professional Fees
Line 10 a	Total Disbursements to Receiver/Professionals		$ 4,761,492.99		
10b	Third-Party Litigation Expenses		$ 42,160.00		
10c	Asset Expenses		$ 364,778.20		Condo Fees, Insurance Repairs, Maint & Utilities
10d	Tax Payments		$ 109,117.36		County Sales Propery Tax
	Total Disbursements for Receivership Ops.		$ 5,277,548.55		
Line 11	Disbursements Related to Distribution Expenses				
Line 12	Disbursement to Court/Other		$ 5,637,625.12		Remission to USMS
Line 13	Other		$ 2,453.66		Cayman Registration Fee
	Total Funds Disbursed - Total Lines 9 - 13			$ 29,742,255.40	
Line 14	**Ending Balance (as of 09/30/2026)**			**$ 1,917,499.44**	

Line 15	Number of Claims	834
15a	No. of Claims Received This Reporting Period	0
15b	No. of Claims Received Since Inception of Estate	834
Line 16	Number of Claimants/Investors	827
16a	No. of Claimants/Investors Paid This Reporting period	0
16b	No. of Claimants/Investors Paid Since Inception of Estate	732 First Interim Distribution Checks Issued; 734 Second Interim Distribution Checks Issued

Receiver:

By: _____ Burton W. Wiand, Receiver
Signature Printed Name

Date: 9/30/2026

EXHIBIT B

Account Name by Party or Affiliate	Account	Authorized Signer(s)	Bank	Account Type	Status	Still Frozen	Liquidated
13318 Lost Key Place, LLC	*2850	Michael Dacorta	Wells Fargo	Simple Business Checking	Liquidated	$0.00	$490.97
4064 Founders Club Drive, LLC	*3975	Joseph S. Anile II; MaryAnne E. Anile	Wells Fargo	Business Choice Checking	Liquidated	$0.00	$10,383.26
4064 Founders Club Drive, LLC	*1807	Joseph S. Anile II; MaryAnne E. Anile	Wells Fargo	Business Platinum Savings	Closed	$0.00	$0.00
444 Gulf of Mexico Drive, LLC	*3967	Michael Dacorta; Joseph S. Anile II	Wells Fargo	Simple Business Checking	Liquidated	$0.00	$15,600.10
4Oaks, LLC	*2572	Joseph S. Anile II; MaryAnne E. Anile	Wells Fargo	Business Choice Checking	Liquidated	$0.00	$30,910.45
6922 Lacantera Circle, LLC	*2805	Michael Dacorta	Wells Fargo	Simple Business Checking	Liquidated	$0.00	$37,929.49
Bowling Green Capital Management	*7485	Joseph S. Anile II; MaryAnne E. Anile	Capital One	Small Business Rewards Checking	Liquidated	$0.00	$6,173.59
Francisco Duran	*9152	Francisco Duran	JPMorgan Chase	Total Checking	Liquidated	$0.00	$309.24
Francisco Duran	*0568	Francisco Duran; Lauren K Duran	JPMorgan Chase	Checking	Liquidated	$0.00	$1,097.04
Francisco Duran	*1192	Francisco Duran	JPMorgan Chase	Total Checking	Liquidated	$0.00	$4,174.69
Francisco Duran	*8083	Francisco Duran	M&I/BMO Harris	Checking	Closed	$0.00	$0.00
Francisco Duran	*9788	Francisco Duran	M&I/BMO Harris	Checking	Closed	$0.00	$0.00
Francisco Duran or Rebecca C. Duran	*2550	Francisco Duran; Rebecca C. Duran	SunTrust	Checking	Closed	$0.00	$0.00
John J. Haas	*0245	John J. Haas	TD Bank	Checking	Liquidated	$0.00	$31,065.79
John J. Haas	*5029	John J. Haas	Jovia (f/k/a Nassau Educators Federal Credit Union)	Go Green Checking	Income Account, Settlement	$0.00	$0.00
John J. Haas	TBD	John J. Haas	Equity Trust	IRA	Settlement	$0.00	$0.00
John J. Haas; Lillian Haas	*2105	John J. Haas	TD Bank	Checking	Liquidated	$0.00	$4,362.80
John J. Haas; Lillian Haas	*9201	John J. Haas	TD Bank	Savings	Liquidated	$0.00	$1,001.23
John J. Haas, Inc.	*2488	John J. Haas	TD Bank	TD Business Convenience Plus	Liquidated	$0.00	$517.83
John J. Haas	*1211	John J. Haas	Knights of Columbus Insurance	Cash Surrender Value	Settlement	$0.00	$0.00

Account Name by Party or Affiliate	Account	Authorized Signer(s)	Bank	Account Type	Status	Still Frozen	Liquidated
John J. Haas	*0715	John J. Haas	Knights of Columbus Insurance	Cash Surrender Value	Settlement	$0.00	$0.00
Joseph S. Anile II	*7857	Joseph S. Anile II	Regions	Savings	Disputed	$5,000.75	$0.00
Joseph S. Anile II	*8241	Joseph S. Anile II	Regions	Lifegreen Checking	Liquidated	$0.00	$3,123.20
Lagoon Investments, Inc.	*1522	Michael Dacorta; Joseph S. Anile II.	Regions	Business Checking	Liquidated	$0.00	$17,889.07
Mainstream Fund Services, Inc.	*1174	Denise DePaola; Michael Nolan	Citibank	Savings	Unfrozen by Agreement	$0.00	$0.00
Mainstream Fund Services, Inc.	*5606	Denise DePaola; Michael Nolan	Citibank	Checking	Unfrozen by Agreement	$0.00	$0.00
Mainstream Fund Services, Inc.	*0764	Denise DePaola; Michael Nolan	Citibank	Checking	Liquidated	$0.00	$6,012,397.78
Michael DaCorta	*1424	Michael Dacorta	Wells Fargo	Everyday Checking	Liquidated	$0.00	$751.54
Michael DaCorta	*0387	Michael Dacorta	AXA	Annuity Policy	Terminated 7/15/16	$0.00	$0.00
Michael DaCorta	TBD	Michael Dacorta	PNC	N/A	N/A	$0.00	$0.00
Michael DaCorta; Carolyn DaCorta	*0386	Michael Dacorta	People's United	N/A	N/A	$0.00	$0.00
Oasis Management, LLC	*9302	Michael Dacorta	Wells Fargo	Business Package Checking	Liquidated	$0.00	$2,149,654.18
Oasis Management, LLC	*3887	Michael Dacorta	Wells Fargo	Market Rate Savings	Liquidated	$0.00	$605.33
Oasis Capital Management S.A.	*6058	TBD	British Caribbean Bank International	N/A	Closed	$0.00	$0.00
Oasis Capital Management S.A.	*1200	TBD	Belize Bank International, Ltd.	N/A	Closed	$0.00	$0.00
Oasis Global (Nevis) Ltd.	*9631	TBD	Bank of America	Busines Checking	Closed	$0.00	$0.00
Oasis Global FX Limited	*4622	Joseph S. Anile II	Choice Bank (Belize)	Liquidator Appointed	See Report	$0.00	$55,960.78
Oasis Global FX, S.A.	*0055	Joseph S. Anile II	Barclays Bank/ATC	Closed "Trading" Account	See Report	$0.00	$2,005,368.28
Oasis Global FX, S.A.	*5663	Joseph S. Anile II	Choice Bank (Belize)	N/A	Closed	$0.00	$0.00
Oasis Global FX, S.A.	*6059	Joseph S. Anile II	Heritage Bank	Deposit for Broker Activity	See Report	$0.00	$497,148.87
Raymond P. Montie	*1510	Raymond P. Montie	AXA/Equitable	401k Plan	Settlement	$0.00	$0.00
Raymond P. Montie	*8414	Raymond P. Montie	Federal Savings Bank; First SeaCoast Bank	Checking	Income Account, Settlement	$0.00	$0.00
Raymond P. Montie	*1574	Raymond P. Montie	Fidelity Investments	IRA Account	Settlement	$0.00	$0.00

Account Name by Party or Affiliate	Account	Authorized Signer(s)	Bank	Account Type	Status	Still Frozen	Liquidated
Raymond P. Montie	*4500	Raymond P. Montie	Fidelity Investments	Investment Account	Underwater	-$24.82	$0.00
Raymond P. Montie	*2805	Raymond P. Montie	TD Bank	Premier Checking	Liquidated	$0.00	$138,508.73
Raymond P. Montie	*3802	Raymond P. Montie	TD Bank	Savings	Settlement	$0.00	$0.00
Raymond P. Montie	*2148	Raymond P. Montie	TD Bank	TD Beyond Checking; Old Income Account; Closed by TD Bank	Closed	$0.00	$0.00
Raymond P. Montie; Danielle TerraNova	*3934	Raymond P. Montie	TD Bank	Relationship Checking	Closed	$0.00	$0.00
RPM 7 LLC	*6068	Raymond P. Montie	TD Bank	Business Convenience Plus	Liquidated	$0.00	$2,395.63
RPM 7 LLC	*1952	Raymond P. Montie	TD Bank	Business Convenience Plus	Liquidated	$0.00	$7,834.46
RPM 7 LLC	*6076	Raymond P. Montie	TD Bank	N/A	Closed	$0.00	$0.00
RPM 7 LLC	*6430	Raymond P. Montie	TD Bank	N/A	Closed	$0.00	$0.00
RPM 7 LLC	*6638	Raymond P. Montie	TD Bank	N/A	Closed	$0.00	$0.00
Diamond BOA LLC	*0306	Raymond P. Montie	TD Bank	Business Convenience Plus	Liquidated	$0.00	$8,130.54
Goose Pond Consulting	*9658	Raymond P. Montie; Danielle TerraNova	NBT Bank	Free Business Checking	Settlement	$0.00	$0.00
Roar of the Lion Fitness, LLC	*1396	Michael Dacorta; Andrew Dacorta	Wells Fargo	Business Choice Checking	Liquidated	$0.00	$17,704.97
Satellite Holdings Company	*8808	John Haas	Wells Fargo	Market Rate Savings	Liquidated	$0.00	$500.42
Satellite Holdings Company	*5347	John Haas	Wells Fargo	General Operating Checking	Liquidated	$0.00	$127,921.13

EXHIBIT C

Property	Units	Estimated Value or Purchase Price	Lien	Status or Disposition	Actual Value or Sale Price
Defendant Anile/4064 Founders Club Drive					
2015 Mercedes Benz SLK 350	1	$28,050.00	$0.00	Forfeited; Sold; Returned; Resold	$23,000.00
2016 Mercedes Benz GLE 400	1	$37,000.00	$0.00	Forfeited; Sold	$31,027.50
100 Ounce Silver Bars	100	$150,900.00	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
One Ounce Gold Coins	200	$255,320.00	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
U.S. Currency	N/A	$62,750.00	$0.00	Forfeited; In USMS/FBI Custody; Remission TBD	$62,750.00
Quietsource 48KW Generator	1	$28,017.00	$0.00	Sold by Receiver	$12,500.00
Pool Table	1	TBD	$0.00	Receiver Seeking Return from Anile	TBD
Piano	1	$1,000.00	$0.00	Sold by Receiver	$1,000.00
Jewelry	Misc.	$60,749.00	$0.00	Receiver Seeking Return from Anile	TBD
Bedroom Set	1	$1,000.00	$0.00	Sold by Receiver	$1,000.00
Grandfather Clock	1	TBD	$0.00	Receiver Seeking Return from Anile	TBD
Large Bird Cage/Misc. Items	Misc.	$372.75/Misc.	$0.00	Sold by Receiver	$372.75/Misc.
Misc. Household Items and Furniture	59	$6,000.00	$0.00	Auctioned (Gross Sale Price)	$17,875.00
Defendant DaCorta/13318 Lost Key Place/6922 Lacantera Circle					
2017 Maserati Ghibli S Q4	1	$60,800.00	$43,528.88	Forfeited; Abandoned After Further Investigation	$0.00
2018 Land Rover Range Rover Velar	1	$57,825.00	$0.00	Forfeited; Sold	$48,462.00
2015 Land Rover Range Rover Evoque	1	$25,100.00	$26,129.29	Abandoned Due to Lack of Value Given Lien	$0.00
100 Ounce Silver Bars	64	$96,576.00	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
$1.00 Silver One Ounce Coins	1,500	$22,635.00	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
Credit Suisse One Ounce Gold Ingots	3	$3,829.80	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
APMEX.com One Ounce Silver Coins	5	$75.45	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
Lady Liberty $50 Gold One Ounce Coins	7	$8,629.80	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
Lady Liberty $50 Gold One Ounce Coins	40	$48,000.00	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
Lady Liberty $1.00 Silver One Ounce Coins	120	$2,400.00	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
"Bitcoin" One Ounce Gold-Plated Coin	1	$1.00	$0.00	Forfeited; Sold; Listed Price is for all Metals	$657,382.25
U.S. Currency	N/A	$160,000.00	$0.00	Forfeited; In USMS/FBI Custody; Remission TBD	$160,000.00
Handgun	1	$517.00	$0.00	Receiver Seeking Return from DaCorta	TBD
Coffee Table	1	$200.00	$0.00	Sold by Receiver	$200.00
Televisions	2	$200.00	$0.00	Sold by Receiver	$200.00
Safe	1	$200.00	$0.00	Sold by Receiver	$200.00
Outdoor Speakers	2	$150.00	$0.00	Sold by Receiver	$150.00

Pool Table Chairs	2	$300.00	$0.00	Sold by Receiver	$300.00
Sauna	1	$4,200.00	$0.00	Sold by Receiver	$4,200.00
Quietsource 48KW Generator	1	$24,969.81	$0.00	Not Delivered; Unrecoverable	$0.00
Misc. Household Items and Furniture	50	$2,000.00	$0.00	Auctioned (Gross Sale Price)	$1,465.00
Defendant Duran/7312 Desert Ridge Glen					
2018 Porsche 911 C4 Targa	1	$113,375.00	$90,898.75	Forfeited; Sold	$104,902.50
2018 Mercedes Benz Convertible SL 450R	1	$65,825.00	$83,611.29	Abandoned Due to Lack of Value Given Lien	$0.00
2019 Land Rover Range Rover Sport	1	$0.00	$0.00	Leased; Not Seized Due to Lack of Value	$0.00
Swiss Watch	1	$10,900.00	$0.00	Receiver Seeking Return from Duran	TBD
Golf Cart	1	$5,500.00	$0.00	Sold by Receiver	$4,750.00
Televisions	2	$200.00	$0.00	Sold by Receiver	$200.00
Misc. Household Items and Furniture	28	$1,000.00	$0.00	Auctioned (Gross Sale Price)	$2,160.00
Defendant Montie					
1996 Mercedes Benz 500SL	1	$2,167.00	$0.00	Sold; Escrowed	$10,500.00
2016 Toyota 4Runner	1	$22,885.00	$12,180.85	Disclosed in 8/30/19 Financial Affidavit	Settlement
2009 South Bay Pontoon Boat	1	$11,590.00	$0.00	Disclosed in 8/30/19 Financial Affidavit	Settlement
Furniture Located in PA House	Misc.	TBD	$0.00	Disclosed in 8/30/19 Financial Affidavit	Settlement
Furniture Located in NH House	Misc.	TBD	$0.00	Disclosed in 8/30/19 Financial Affidavit	Settlement
Furniture Located in NY House	Misc.	$0.00	$0.00	Mostly Abandoned Due to Lack of Value	$50.00
Standard Oil Company, Inc. Stock	60,606	TBD	$0.00	Disclosed in 8/30/19 Financial Affidavit; Purchased for $100,000 in 2015	Settlement
Ounces of Silver	990	$17,087.00	$0.00	Disclosed in 8/30/19 Financial Affidavit	Settlement
Firearms	19	$8,290.00	$0.00	Disclosed in 8/30/19 Financial Affidavit	Settlement
Defendant Haas					
2012 Mercedes Benz GLK 350 (black)	1	$2,800.00	$0.00	Disclosed in 6/24/19 Financial Affidavit; Updated	Settlement
2012 Mercedes Benz GLK 350 (silver)	1	$10,000.00	$0.00	Disclosed in 6/24/19 Financial Affidavit; Updated	Settlement
1966 Ford LTD (gold)	1	$2,500.00	$0.00	Disclosed in 6/24/19 Financial Affidavit; Updated	Settlement
1966 Ford LTD (green)	1	$500.00	$0.00	Disclosed in 6/24/19 Financial Affidavit; Disposed	Settlement
1959 GMC 100 Truck	1	$6,000.00	$0.00	Disclosed in 6/24/19 Financial Affidavit; Repairs	Settlement
2014 Ford Escape	1	$12,000.00	$0.00	Disclosed in 6/24/19 Financial Affidavit; L. Haas	Settlement
2013 Horton Trailer	1	$400.00	$0.00	Disclosed in 6/24/19 Financial Affidavit; Updated	Settlement
Household Furniture	Misc.	TBD	$0.00	Disclosed in 6/24/19 Financial Affidavit	Settlement
Auto Parts	Misc.	$1,000.00	$0.00	Disclosed in 6/24/19 Financial Affidavit; Varies	Settlement

Relief Defendant 4Oaks, LLC (Anile)					
2015 Ferrari California T	1	$174,300.00	$0.00	Forfeited; Sold	$100,470.00
Relief Defendant Roar of the Lion Fitness, LLC					
Nutritional Supplement Capsules	11,247	$0.00	$0.00	Disposed - No Commercial Value	$0.00
Promotional Yoga Mats and Hats	357	$0.00	$0.00	Donated to Charity	$0.00
Nutritional Protein Powder	1805	$0.00	$0.00	Disposed - No Commercial Value	$0.00
Nutritional "Pre-Workout" Powder	876	$0.00	$0.00	Disposed - No Commercial Value	$0.00
Nutritional Creatine Powder	861	$0.00	$0.00	Disposed - No Commercial Value	$0.00