

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION

UNITED STATES OF AMERICA

Case No. 8:19-cr-605-WFJ-CPT

Plaintiff,
Government

Evidentiary
 Trial
 Other

v.

MICHAEL J. DaCORTA

Defendant

AMENDED EXHIBIT LIST

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
1A				Oasis Management, LLC Articles of Organization filed November 7, 2011 (WYSOS-00028-00030)
1B				Oasis Management, LLC Statement of Change by Business Entity and Consent to Appointment by Registered Agent (WYSOS-00025-00027)
1C				Oasis Management, LLC Annual Reports 2012-2018 (WYSOS-00004-00024)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
2				Wells Fargo Bank records of account ending in #9302 in the name of Oasis Management, LLC (WF-9302-00009 – WF-9302-00139; WF-9302-00144 – WF-9302-02250; WF-9302-02259 – WF-9302-02454; INVEST-77598 – INVEST-77609; INVEST-088593 – INVEST-088623; INVEST-095518 – INVEST-095521; INVEST-098972 – INVEST-100420; INVEST-100972 – INVEST-101345; INVEST-101854 – INVEST-102017; INVEST-104769 – INVEST-104925; INVEST-106010 – INVEST-106054)
3				Wells Fargo Bank records of account ending in #3887 in the name of Oasis Management, LLC (WF-3887-00002 – WF-3887-00199; WF-3887-00208 – WF-3887-00219; INVEST-103947 – INVEST-104101)
				Intentionally left blank
5A				Oasis International Group, Ltd. Certificate of Incorporation, Certificate of Incumbency, Register of Members, and Register of Directors and Officers (INVEST-076761; INVEST-076678 – INVEST-076679; INVEST-076812 – INVEST-076815)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
5B				Fundadministration Administration Agreement with Oasis International Group, Ltd. dated September 4, 2013 (MAINSTREAM FUND-00001-00004)
5C				Email exchange between Joseph S. Anile II and Denise Depaola dated September 6, 2013, re: "Oasis Stand-Alone Accounts" in the names Oasis International Group, Ltd. and Oasis Global FX Limited (MAINSTREAM FUND-00006-00007)
6				Bank of America records of accounts ending in #9550 and #9631 in the name of Fundadministration, Inc. for the benefit of Oasis Global FX, S.A. and Oasis Global (Nevis) Limited, respectively (INVEST-096322 – INVEST-096399)
7				Bank of America records of account ending in #8346 in the name of Fundadministration Inc. (INVEST-75326 – INVEST-76779)
8				Wells Fargo Bank (London Branch) records of account ending in #0957 in the name of Fundadministration, Inc. for the benefit of Oasis International Group (WF-000001 – WF-000167)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
9A				Citibank records of account ending in #0764 in the name of Fundadministration, Inc., later known as Mainstream Fund Services, Inc. (CITI-0764-00001 – CITI-0764-00191; INVEST-76969 – INVEST-76981; INVEST-77221 – INVEST-77241; INVEST-086491 – INVEST-086548; INVEST-086678 – INVEST-086739; INVEST-088764 – INVEST-088923; INVEST-096522 – INVEST-096523; INVEST-096904 – INVEST-097472; INVEST-097662 – INVEST-097673; INVEST-097830 – INVEST-097880; INVEST-098011 – INVEST-098230)
9B				Mainstream Fund Services Cash Reconciliation for November 27, 2018 (MAINSTREAM FUND-08505-08507)
9C				Mainstream Fund Services Cash Reconciliation for March 8, 2019 (MAINSTREAM FUND-11519-11520)
9D				Email from Joseph S. Anile II to Mike Nolan dated December 15, 2017, re: “Oasis International Group Ltd. Wire Transfer to The Ritz-Carlton, Sarasota” (MAINSTREAM FUND-22219)
				Intentionally left blank

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
11A				Oasis Global FX, Ltd. Certificate of Incorporation dated May 8, 2012 (INVEST-076724–076730)
11B				New Zealand Companies Office Certificate of Incorporation for Oasis Global FX Limited and Company Extract (INVEST-076773; INVEST-076727)
				Intentionally left blank
12A				Oasis Global (Belize), S.A. Certificate of Incorporation dated July 1, 2015, Memorandum of Association/Articles of Association, and related records (INVEST-077125–077156)
12B				Oasis Global (Belize), S.A. Certificate of Incorporation and First Meeting of the Board of Directors (INVEST-077067; 077152-077156)
12C				Memorandum and Articles of Association of Oasis Global FX, S.A., formerly Oasis Global (Belize), S.A. (INVEST-079558 – INVEST-079578; INVEST-077090 – INVEST-077091)
				Intentionally left blank

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
15				444 Gulf of Mexico Drive, LLC Articles of Organization filed October 30, 2017 and Annual Reports filed in 2018 and 2019 (FLDOS-00118–00123)
16				Wells Fargo Bank records of account ending in #3967 in the name of 444 Gulf of Mexico Drive, LLC (WF-3967-00001 – WF-3967-00106; WF-3967-00115 – WF-3967-00184; WF-3967-00186 – WF-3967-00405; WF-3967-00414 – WF-3967-00422; WF-3967-00427 – WF-3967-00469)
				Intentionally left blank
20				13318 Lost Key Place, LLC Articles of Organization filed March 14, 2016 and Annual Reports filed in 2017, 2018, and 2019 (FLDOS-00087–00093)
21				Wells Fargo Bank records of account ending in #2850 in the name of 13318 Lost Key Place, LLC (WF-2850-00002 – WF-2850-00192; WF-2850-00201 – WF-2850-00464; WF-2850-00473 – WF-2850-00480)
				Intentionally left blank

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
25				6922 LaCantera Circle, LLC Articles of Organization filed September 14, 2018 and Annual Report filed in 2019 (FLDOS-00131-00138)
26				Wells Fargo Bank records of account ending in #2805 in the name of 6922 LaCantera Circle, LLC (WF-2805-00009 – WF-2805-00017; WF-2805-00026 – WF-2805-00088)
27				Wells Fargo Bank records of VISA business credit card account ending in #4152 in the name of 6922 LaCantera Circle, LLC (WF-4152-00009 – WF-4152-00031; WF-4152-00040 – WF-4152-00056)
				Intentionally left blank
30				4064 Founders Club Drive, LLC Articles of Organization filed November 13, 2017, and Annual Reports filed in 2018 and 2019 (FLDOS-00015, 00016, 00024-00030)
31				Wells Fargo Bank records of account ending in #3975 in the name of 4064 Founders Club Drive, LLC (WF-3975-00009 – WF-3975-00107; WF-3975-00109 – WF-3975-00240; WF-3975-00249 – WF-3975-00262)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
32				Wells Fargo Bank records of account ending in #1807 in the name of 4064 Founders Club Drive, LLC (WF-1807-00009 – WF-1807-00037)
				Intentionally left blank
35				4058 Founders Club Drive, LLC Articles of Organization filed March 2, 2018, and Annual Report filed in 2019 (FLDOS-00002–00006)
36				7312 Desert Ridge Glen, LLC Articles of Organization filed October 23, 2017, and Annual Reports filed in 2018 and 2019 (FLDOS-00139–00144)
37				6300 Midnight Pass Road No. 1002, LLC Articles of Organization filed August 29, 2017, and Annual Reports filed in 2018, 2019, and 2021 (FLDOS-00124–00130)
38				16804 Vardon Terrace 108, LLC Articles of Organization filed January 29, 2018 (FLDOS-00094–00097)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
39				16804 Vardon Terrace 307, LLC Articles of Organization filed January 2, 2018, and Annual Report filed in 2019 (FLDOS-00098–00108)
40				16904 Vardon Terrace 106, LLC Articles of Organization filed July 10, 2018, and Annual Report filed in 2019 (FLDOS-00109–00113)
41				17006 Vardon Terrace #105, LLC Articles of Organization filed August 28, 2018 (FLDOS-00114–00117)
				Intentionally left blank
45				Full Spectrum Wellness, LLC Articles of Organization filed February 5, 2016; Annual Reports filed in 2017 and 2018; Articles of Dissolution filed October 10, 2018 (FLDOS-00174–00185)
46				Wells Fargo Bank records of account ending in #4429 in the name of Full Spectrum Wellness, LLC (WF-4429-00001 – WF-4429-00184; WF-4429-00193 – WF-4429-00210; WF-4429-00213 – WF-4429-00414; WF-4429-00417 – WF-4429-00607)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
47				Wells Fargo Bank records of account ending in #4437 in the name of Full Spectrum Wellness, LLC (WF-4437-00001 – WF-4437-00179; WF-4437-00188 – WF-4437-00205; WF-4437-00208 – WF-4437-00400)
48				Roar of the Lion Fitness, LLC Articles of Organization filed September 28, 2017, and Annual Report filed in 2019 (FLDOS-00156–00173)
49				Wells Fargo Bank records of account ending in #1396 in the name of Roar of the Lion Fitness, LLC (WF-1396-00007 -WF-1396-00009; WF-1396-00016 – WF-1396-00079; WF-1396-00088 –WF-1396-00182; WF-1396-00191 – WF-1396-00200)
50				Wells Fargo Bank records of account ending in #9500 in the name of Roar of the Lion Fitness, LLC (WF-9500-00007 – WF-9500-00033)
51				Wells Fargo Bank records of account ending in #8192 in the name of Roar of the Lion Fitness, LLC (WF-8192-00007 – WF-8192-00047)
				Intentionally left blank

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
55				New York State Department of State Division of Corporations entity information for Bowling Green Capital Corp. (MARINO PERFORMANCE-00044-00045)
56				Capital One Bank records of account ending in #7485 in the name of Bowling Green, LLC (CAPITALONE-00003; CAPITALONE-7485-00001 – CAPITALONE-7485-01708)
57				Email from Joseph S. Anile II to Mike Nolan dated December 12, 2017, re: “Oasis International Group Ltd. Wire Transfer to Bowling Green Capital Corp.” (MAINSTREAM FUND-22217)
				Intentionally left blank
60				Lagoon Investments, Inc. Application by Foreign Corporation for Authorization to Transact Business in Florida and Annual Reports filed in 2016, 2017, 2018, and 2019 (FLDOS-00145-00155)
60A				Promissory Note and Loan Agreement provided by Lagoon Investments, Inc. to Millennium Trust Company LLC Custodian for the benefit of C. Durham Barnes IRA, dated December 7, 2016 (Comp49_1-00001 - 00003)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
61				Regions Bank records of account ending in #1522 in the name of Lagoon Investments, LLC (REGIONS-1522-00001 – REGIONS-1522-00007; REGIONS3-1522-00010 – REGIONS-1522-00070)
				Intentionally left blank
65				4Oaks, LLC Articles of Organization filed on January 7, 2019 (FLDOS-00007–00010)
66				Wells Fargo Bank records of account ending in #2572 in the name of 4Oaks, LLC (WF-2572-00009 – WF-2572-00026)
67				Wells Fargo Bank records of account ending in #4635 in the name of 4Oaks, LLC (WF-4635-00009 – WF-4635-00027)
				Intentionally left blank
70				Wells Fargo Bank records of account ending in #5347 in the name of Satellite Holdings Company (INVEST-087100 – INVEST-087613; INVEST-087641 – INVEST-087866; INVEST-089798 – INVEST-089875; INVEST-095322 – INVEST-095368; INVEST-095628 – INVEST-095768)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
71				Wells Fargo Bank records of account ending in #8808 in the name of Satellite Holdings Company (INVEST-087309; INVEST-087614 – INVEST-087617; INVEST-087641 – INVEST-087654; INVEST-087867 – INVEST-088040; INVEST-089876 – INVEST-089883; INVEST-095369 – INVEST-095372)
				Intentionally left blank
74				Bank of America statements of Home Equity Loan Account ending in #5199 in the name of Sergio DaCorta (INVEST-41480 – INVEST-41729)
75				Wells Fargo Bank records of account ending in #1424 in the name of Michael DaCorta (WF-1424-00004 – WF-1424-00362; WF-1424-00371 -WF-1424-00622; WF-1424-00631 – WF-1424-00879)
76				Wells Fargo Bank records of account ending in #3678 in the name of Michael DaCorta (WF-3678-00009 – WF-3678-00084)
77				Wells Fargo Bank records of account ending in #5863 in the name of Michael DaCorta (WF-5863-00009 – WF-5863-00034; WF-5863-00043 – WF-5863-00054)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
78				Wells Fargo Bank records of account ending in #9642 in the name of Michael DaCorta (WF-9642-00009 – WF-9642-00028; WF-9642-00037 – WF-9642-00086)
				Intentionally left blank
80A				Letter from Lawrence Y. Vincent to Joseph S. Anile II dated October 16, 2012, re: “Due Diligence Review Performed...” (ANILE-00235 – 00239)
80B				Letter from Joseph S. Anile II to International Financial Services Commission (IFSC) dated November 28, 2012, re: “Oasis Capital Management S.A.” (ANILE-00228–00234)
80C				Letter from International Financial Services Commission (IFSC) Director General Gian C. Gandhi to Ms. Ava Lovell dated December 27, 2012, re: “Oasis Capital Management S.A.” (ANILE-00244–00245)
81A				ATC Corporate Application for Oasis Global FX Limited dated April 13, 2015 (MLAT-ATC-00031–00036)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
81B				ATC Financial Institution Questionnaire for Oasis Global FX Limited dated April 24, 2015 (MLAT-ATC-00008–00010)
81C				ATC Omnibus Agreement for Oasis Global FX Limited dated May 28, 2015 (INVEST-077019 – INVEST-077032)
82A				Letter from Joanne Davis Calvert, Head of Governance, Policy and Strategy, New Zealand Financial Markets Authority to Joseph S. Anile dated June 24, 2015, re: deregistration of Oasis Global FX Limited (ANILE-00225 – ANILE-00226)
82B				Email from Michael DaCorta to Dave Manoukian dated August 3, 2015, re: deregistration of Oasis Global FX Limited (INVEST-076978)
83				Email from Michael DaCorta to Dave Manoukian dated September 23, 2015, re: “Oasis pending Belize application” (INVEST-076980)
84				Email from Michael DaCorta to Dave Manoukian dated November 19, 2015, re: Belize IFSC broker-dealer license (INVEST-076981)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
85A				ATC Corporate Application for Oasis Global FX, S.A. dated December 28, 2016 (INVEST-079551 – INVEST-079557)
85B				ATC Omnibus Agreement for Oasis Global FX, S.A. dated January 6, 2017 (INVEST-079670 – INVEST-079682)
86				Letter from Glenn D. Godfrey & Co LLP to Joseph S. Anile II dated March 29, 2016, re: “Forex License Application” (INVEST-076975)
87				“Belize Licence” from International Financial Services Commission for Oasis Global FX, S.A. (INVEST-079600 – INVEST-079601)
				Intentionally left blank
90A				Oasis International Group, Ltd. account application forms and risk disclosures (INVEST-213151 – 213160)
90B(1)				Oasis International Group, Ltd. Daily Reporting spreadsheet dated December 31, 2015, prepared by Joseph Paniagua (INVEST-17606)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
90B(2)				Oasis International Group, Ltd. Daily Reporting spreadsheet dated December 14, 2016, prepared by Joseph Paniagua (INVEST-17730)
90B(3)				Oasis International Group, Ltd. Daily Reporting spreadsheet dated December 29, 2017, prepared by Joseph Paniagua (INVEST-17951)
90B(4)				Oasis International Group, Ltd. Daily Reporting spreadsheet dated December 31, 2018 ,prepared by Joseph Paniagua (INVEST-18405)
90B(5)				Oasis International Group, Ltd. Daily Reporting spreadsheet dated April 18, 2019, prepared by Joseph Paniagua (INVEST-18482)
90C				Oasis International Group Outstanding Account Balances spreadsheet prepared by Joseph Paniagua (INVEST-16983)
				Intentionally left blank

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
95A				August 2017 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing deposit, via wire transfer, of \$75,000 from John Paniagua (INVEST-097427–097431; INVEST-096911)
95B				Chase cashier's check #9700236892 in the amount of \$30,000 to Fundadministration, Inc. from John Paniagua (INVEST-097069)
95C				October 2018 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing deposit, via wire transfer, of \$20,000 from John Paniagua (INVEST-76975–76981; INVEST-77224)
95D				November 2018 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing deposits, via wire transfers, of \$25,000 on each of November 13 and November 19, 2018, from John Paniagua (INVEST-086522–086531; INVEST-086680; INVEST-086682)
95E				Excel spreadsheet summary of John Paniagua's investments in Oasis
97A				ECN Platform Architecture Diagrams (JOHN PANIAGUA-00003)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
97B				ECN Assumptions & Estimates (JOHN PANIAGUA-00017–00018)
97C				Amazon Web Services account information for “Customer Company” Oasis International Group Ltd. (AMAZON-00021–00025)
97D				New Oasis Application User Registration Steps (INVEST-213171 – INVEST-213183)
97E				Spotex Foreign Exchange ECN – Prime API SOAP Specification (JOHN PANIAGUA-00023–00059)
100(A)				Financial Industry Regulatory Authority (FINRA) Central Registration Depository (CRD) of Michael DaCorta (FINRA-00005 – FINRA-00022)
100(B)				FINRA Legacy Employment History for Michael DaCorta (FINRA-00001)
101				FINRA Oversight Summary
				Intentionally left blank

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
110				National Futures Association (NFA) Oversight Summary
111				Agreement between National Futures Association and International Currency Traders Ltd. and Michael DaCorta (NFA-00019–00020) (Redacted)
				Intentionally left blank
120				Certified copy of Complaint for Injunctive Relief, Civil Monetary Penalties, Restitution, Disgorgement and Other Equitable Relief filed by the Commodity Futures Trading Commission (CFTC) in <i>CFTC v. Oasis International Group, Limited, et al.</i> , Case No. 8:19-cv-00886-VMC-SPF (Doc. 1)
121				Certified copy of Plaintiff Commodity Futures Trading Commission’s Emergency Ex Parte Motion for a Statutory Restraining Order, Preliminary Injunction, and Other Equitable Relief and Memorandum in Support in <i>CFTC v. Oasis International Group, Limited, et al.</i> , Case No. 8:19-cv-00886-VMC-SPF (Doc. 4)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
122				Certified copy of Order Granting Plaintiff's Motion for an Ex Parte Statutory Restraining Order, Appointment of a Temporary Receiver, and Other Equitable Relief in <i>CFTC v. Oasis International Group, Limited, et al.</i> , Case No. 8:19-cv-00886-VMC-SPF (Doc. 7)
123				Order Appointing Receiver and Staying Litigation in <i>CFTC v. Oasis International Group, Limited, et al.</i> , Case No. 8:19-cv-00886-VMC-SPF (Doc. 44)
				Intentionally left blank
200A				Promissory Note and Loan Agreement of Kenneth Katter and Patti Katter in the amount of \$100,000 dated February 20, 2018 (INVEST-16771 – INVEST-16773)
200B				Email messages between Patti Katter and Gil Wilson dated February 19–26, 2018 (INVEST-16788 – INVEST-16790)
200C				Email from Joe Paniagua to Patti Katter dated February 27, 2018, with signed Promissory Note and Loan Agreement attached (INVEST-16767 – INVEST-16769)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
200D				February 2018 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing deposit, via wire transfer, of \$100,000 from Patti Katter (INVEST-096922; INVEST-097453 – INVEST-097457)
200E				Email messages between Patti Katter and Gil Wilson dated March 26, 2018, regarding Katter's account statement and the value of the investment (INVEST-04682)
200F				Email messages between Patti Katter and Gil Wilson dated May 7, 2018, regarding designating the Katter's children as beneficiaries (INVEST-16777)
200G				Email from Joe Paniagua to Patti Katter dated May 8, 2018, with loan statement ending April 30, 2018, attached (INVEST-16786 – INVEST-16787)
200H				Email from Michael DaCorta dated June 14, 2018, re: "July Bonus Month" (INVEST-16785)
200I				Email from Michael DaCorta dated August 24, 2018, regarding Oasis International Group's performance (INVEST-16811)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
200J				Email from Gil Wilson dated October 19, 2018, regarding OIG's policy for withdrawing funds effective October 30, 2018 (INVEST-16792)
200K				Email from Gil Wilson dated October 23, 2018, regarding upcoming holiday party to celebrate OIG's success (INVEST-16791)
200L				Email from Michele Utter dated December 14, 2018, regarding implementation of the new OIG back office (INVEST-16794 – INVEST-16795)
200M				New Oasis Application User Registration Steps (INVEST-16796 – INVEST-16810)
200N				Email from OIG Lender Services to Patti Katter dated January 31, 2019, re: "Important Time Critical Updates and Tax Information" (INVEST-16774 – INVEST-16776)
200O				Email from OIG Lender Services to Patti Katter dated February 5, 2019, re: "Good News from Oasis International Group Ltd." (INVEST-16781)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
200P				Email from Michael DaCorta to Patti Katter dated February 28, 2019, re: “February Interest” (INVEST-16779)
200Q				Printout of Kenneth Katter and Patti Katter’s OIG back office account from Amazon Web Service (AWS) platform (INVEST-16825 – INVEST-16834)
200R				Photographs of Katters at Oasis holiday party
201A				Promissory Note of Matthew Kihnke in the amount of \$1 million dated July 12, 2017 (KIHNKE-00034–00036)
201B				Email containing signed July 12, 2017 Promissory Note (KIHNKE-00032–00033)
201C				September 2, 2017 email referencing July statement (KIHNKE-00022–00024)
201D				August 2017 email from Oasis to Kihnke containing second Promissory Note (KIHNKE-00001–00002)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
201E				September 2017 email from Oasis to Kihnke containing second Promissory Note (KIHNKE-00037–00038)
201E-1				Oasis/Kihnke statement attached to Ex. 201E email (KIHNKE-00021)
201F				Second Promissory Note Kihnke – August 2, 2017 (KIHNKE-00003–00005).
201G				Third Promissory Note Kihnke – September 7, 2017 (KIHNKE-00011–00013)
201H				Email string containing third Promissory Note Kihnke – September 7, 2017 (KIHNKE-00006–00010)
201I				Emil string containing loan statement ending September 30, 2017. (KIHNKE-00026–27, 19)
201J				Fourth Promissory Note Kihnke – January 24, 2018 (KIHNKE-00016–00018)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
201K				Email containing Fourth Promissory Note Kihnke (KIHNKE-00014–00015)
201L				Email string containing January 31, 2018, Oasis statement (KIHNKE-00029–00030; KIHNKE-00020)
201M				Fifth Promissory Note – Kihnke – January 24, 2018 (KIHNKE-00044–00046)
201N				Email string containing fifth Promissory Note, January 26, 2018 (KIHNKE-00042–00043)
201O				Summary Exhibit – Kihnke Transactions & Net Loss
201P				2017 Ritz-Carlton Holiday Party Picture Kihnke (Comp33-00182)
201Q				Fund Administration Citibank Account Statements for 2017 and 2018 (INVEST-097424–097434; INVEST-097448–097452; INVEST-097468–097472; INVEST-086522–086531; INVEST-098079–098083; INVEST-098151–098155)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
201R				Kihnke Oasis Withdrawal Request Forms (MAINSTREAM FUND-07066, 08501, 09163, 25021)
201S				Outgoing Wires from Citibank account ending in #0764 in the name of Mainstream Fund Services, Inc. (INVEST-097044, 097850, 097853, 086702)
201T				Incoming Wires to Citibank account ending in #0764 in the name of Mainstream Fund Services, Inc. (INVEST-096909, 096910, 096912, 096919)
202A				Oasis Management, LLC Limited Partnership Agreement between Michael DaCorta and Deborah J. Cheslow Living Trust dated June 11, 2015, reflecting \$25,000 investment (INVEST-191619 – INVEST-191620)
202B				Bank of America check #5071 for \$25,000 to Oasis Management, LLC dated June 15, 2015, and corresponding deposit slip (WF-9302-01297 – WF-9302-01298)
202C				Email exchange between Deborah Cheslow and John Haas dated June 12, 2015 (INVEST-190451 – INVEST-190452)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
202D				Bank of America check #5070 for \$50,000 to Satellite Holdings Company dated June 12, 2015 (INVEST-087349)
202E				Promissory Note and Loan Agreement dated July 1, 2015, reflecting \$50,000 investment by Deborah Cheslow through Satellite Holdings Company (INVEST-191622 – INVEST-191624)
202F(1)				Email exchange between Michael DaCorta and Deborah Cheslow dated January 17, 2016, re: additional investment of \$60,000 (INVEST-190339 – INVEST-190340)
202F(2)				Bank of America check #5087 for \$60,000 to Oasis Management, LLC dated January 17, 2016 (WF-9302-01322)
202G				Bank of America check #5088 for \$35,000 to Satellite Holdings Company dated January 17, 2016 (INVEST-87379)
202H				Promissory Note and Loan Agreement dated February 1, 2016, reflecting \$35,000 investment by Deborah Cheslow through Satellite Holdings Company (INVEST-191625 – INVEST-191627)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
202I				Check #1046 for \$20,000 to Deborah J. Cheslow Living Trust dated September 1, 2016 (INVEST-087146)
202J				Check #1070 for \$15,000 to Deborah J. Cheslow dated April 1, 2017 (INVEST-087169)
202K				Evite to 2017 Oasis International Group Holiday Bash (INVEST-190661–190662)
202L				Email from Deborah Cheslow to Michael DaCorta dated December 13, 2017, thanking him for an incredible party (INVEST-190484)
202M				Sale of LLC Interest Agreement dated January 1, 2018, and Promissory Note and Loan Agreement dated January 2, 2018 (INVEST-191633 – INVEST-191637; INVEST-191639 – INVEST-191642)
202N				Statement and Wire details reflecting Oasis Management, LLC's transmission of \$108,719.35 to Deborah Cheslow dated February 1, 2018 (INVEST-104886)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
202O				Statement and Wire details reflecting Satellite Holdings Company's transmission of \$76,002.17 to Deborah Cheslow dated February 1, 2018 (WF-9302-02166-02170; INVEST-095672)
202P				Oasis Management, LLC statements of Deborah Cheslow's account from July 2015 – December 2017 (INVEST-191673 – INVEST-191702)
202Q				Satellite Holdings Company statements of Deborah Cheslow's account from September 2015 – March 31, 2018 (INVEST-191703 – INVEST-191713)
202R				February 2018 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing deposit, via wire transfer, of \$185,000 from Deborah Cheslow (INVEST-097453 – INVEST-097457; INVEST-096920)
202S				Email exchange between Deb Cheslow and Joe Paniagua dated January 23, 2018 and February 2, 2018, re: wiring instructions (INVEST-190164 – INVEST-190165)
202T				Oasis International Group statement of account of Deborah Cheslow as of April 30, 2018 (INVEST-191668)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
202U(1)				Audio Recording of October 30, 2018 Conference Call hosted by Michael DaCorta (INVEST-107359)
202U(2)				Transcript of Audio Recording of October 30, 2018 Conference Call hosted by Michael DaCorta
202V				November 2018 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing deposit, via wire transfer, of \$80,000 from Deborah Cheslow (INVEST-086522 – INVEST-086530; INVEST-086679)
202W				Email from Michell Utter to Deborah Cheslow dated November 29, 2018, re: “OIG Christmas Party–Message from Mike DaCorta” (INVEST-117124 – INVEST-117125)

EXHIBIT LIST—Continuation

202X				<p>Composite exhibit of emails from Michael DaCorta describing Oasis International Group's returns on investment (ROI) and economic conditions during the period July 2015 through March 30, 2019</p> <p>(INVEST-1991161; INVEST-190720; INVEST-190226–190227; INVEST-191154; INVEST-190486; INVEST-190948; INVEST-190642; INVEST-190168; INVEST-190920; INVEST-190772; INVEST-191035; INVEST-190880; INVEST-190115–190117; INVEST-191350; INVEST-191293; INVEST-190981; INVEST-190921; INVEST-191039; INVEST-190949; INVEST-191169; INVEST-190987; INVEST-191246; INVEST-190505; INVEST-190669; INVEST-190969; INVEST-190691; INVEST-191104; INVEST-190961; INVEST-190432; INVEST-191193; INVEST-190308; INVEST-190170; INVEST-190602; INVEST-191253; INVEST-190804; INVEST-191030; INVEST-190756; INVEST-191192; INVEST-190136; INVEST-190945; INVEST-190916; INVEST-190707; INVEST-190376; INVEST-190860; INVEST-191290; INVEST-190905; INVEST-190959; INVEST-191199; INVEST-191224; INVEST-190884; INVEST-191147; INVEST-190870; INVEST-190375; INVEST-190280; INVEST-190872; INVEST-191352; INVEST-190495–190496; INVEST-190658–190659; INVEST-191221; INVEST-191194; INVEST-190986; INVEST-190628; INVEST-191170; INVEST-190501–190502; INVEST-191197–191198; INVEST-190898; INVEST-191038; INVEST-191255; INVEST-190274–190275; INVEST-190828; INVEST-191057; INVEST-191140; INVEST-191145; INVEST-190830; INVEST-190364; INVEST-191278; INVEST-190885; INVEST-191109; INVEST-191062; INVEST-190967; INVEST-190434; INVEST-</p>
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EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
				190300; INVEST-190139; INVEST-190653–190654; INVEST-190293; INVEST-190362–190363; INVEST-190370–190371; INVEST-191103; INVEST-190356; INVEST-191063; INVEST-190946; INVEST-190627; INVEST-190970; INVEST-190192; INVEST-190757; INVEST-191105; INVEST-190472; INVEST-190302; INVEST-190670; INVEST-190976; INVEST-191070; INVEST-190284; INVEST-191027; INVEST-190260; INVEST-190229; INVEST-191108; INVEST-190971; INVEST-190617; INVEST-191241; INVEST-190985; INVEST-190924; INVEST-190253; INVEST-190702; INVEST-190989; INVEST-190616; INVEST-190304; INVEST-191155; INVEST-190123; INVEST-190281; INVEST-190231; INVEST-190493; INVEST-191148; INVEST-190676; INVEST-191230–191231; INVEST-190355; INVEST-190675; INVEST-191124; INVEST-191115; INVEST-191141; INVEST-190122; INVEST-190979; INVEST-190978; INVEST-191160; INVEST-190470; INVEST-190857; INVEST-190671; INVEST-191153; INVEST-190506; INVEST-190901–190902; INVEST-190626
202Y				March 2019 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing withdrawal, via wire transfer, of \$50,000 by Deborah Cheslow (CITI-0764-00072–00076; INVEST-08823)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
202Z				Oasis Loan Account Summary for account #16055301 from June 1, 2018 – April 16, 2019 (INVEST-191440 – INVEST-191449)
202AA				Excel spreadsheet of Oasis clients and accounts (INVEST-112276 – INVEST-112358)
202AB				OIG Prospective Lender Talking Points – Master; OIG Prospective Lender Talking Points – Team Member; OIG Team Talking Points (Confidential-Lois/Deb only); and Performance Report (INVEST-190060 - INVEST-190067; INVEST-116799)
203A				Promissory Note and Loan Agreement dated May 4, 2017, reflecting investment of \$250,000 by Michael Chalhub and Susan Chalhub (INVEST-16723 – INVEST-16725)
203B				May 2017 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing a deposit, via wire transfer, of \$250,000 by Michael Chalhub and Susana Chalhub (INVEST-096906; INVEST-097418 – INVEST-097420)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
203C				August 2017 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., showing withdrawal, via wire transfer, of \$10,000 by Michael Chalhub (INVEST-096958; INVEST-097427 - INVEST-097431)
203D				Email from Joe Paniagua to Michael DaCorta, forwarded to Michael Chalhub dated May 4, 2017, re: establishing an online account with Oasis International Group, Ltd. (INVEST-16722)
204A				Wire Confirmation Chase (CHARLES-00012)
204B				Mainstream Fund Services Wire Receipt Record (INVEST-096915)
204C				Oasis-Kerrigan – Charles Monthly Statements (CHARLES-0001 - 0003)
204D				Oasis Portal Account Set Up Email (CHARLES-00013)
204E				Mainstream Fund Services Citibank Statements (INVEST-097443 – 097447)
204F				Charles Summary Deposit and Net Loss Chart

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
205A				Oasis Agreement and Risk Disclosures (BOX-001-HOWARD IAN-00008 – 00015)
205B				Howard Promissory Note dated January 16, 2018 (HOWARD-00006 – 00008)
205C				Oasis Wiring Instructions (HOWARD-00009)
205D				Oasis Withdrawal Form (HOWARD-00010)
205E				Mainstream Fund Withdrawal Activity (INVEST-097005, INVEST-097015, INVEST- 097029, INVEST-097043, INVEST-097054, INVEST-097856, INVEST-097865)
205F				Howard Promissory Note – March 19, 2018 (HOWARD-00003 – 00005)
205G				Mainstream Fund Deposit Activity (INVEST-096918, INVEST-096924, INVEST- 096927)
205H				Oasis Loan Statement -Howard – April 30, 2018 (HOWARD-00002)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
205I				Oasis Withdrawal Request Form, June 29, 2018 (HOWARD-00001)
205J				Mainstream Fund Wire Activity (INVEST-086497)
205K				Mainstream Fund Wire Activity (INVEST-086508, INVEST-086699, INVEST-086711, INVEST-086732, INVEST-088803, INVEST-088825)
205L				Oasis Withdrawal Form Jan. 31, 2019 (MAINSTREAM FUND-23446)
205M				Howard Summary Spreadsheet Deposits, Withdrawals and Net Loss
205N				Mainstream Fund Services Citibank Statements (INVEST-097448 – 097472, INVEST-098079 - 098083, INVEST-098151 - 098155, INVEST-098225 - 098229, INVEST-76969 – 76981, INVEST-086522 – 086548, INVEST-088767 – 088773; CITI-0764–00072 – 00077)
				Intentionally left blank
210A				Video of 2018 Oasis holiday party at the Ritz Carlton hotel resort in Sarasota, Florida (FBI-UC-00003)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
210A-T				Transcript of Video of 2018 Oasis holiday party at the Ritz Carlton hotel resort in Sarasota, Florida
210B				Email from Frank Duran dated February 20, 2019 (INVEST-01692-01697)
210C				Video of meeting of Michael DaCorta, Frank Duran, and undercover agent at Oasis office March 7, 2019 (INVEST-00846-00849)
210C(1)				Excerpt of Video of meeting of Michael DaCorta, Frank Duran, and undercover agent at Oasis office March 7, 2019 (INVEST-00848-00849) [Time Stamp 1:15 through the end]
210C(2)				Excerpt of Video of meeting of Michael DaCorta, Frank Duran, and undercover agent at Oasis office March 7, 2019 (INVEST-00849) [Time Stamp 3:20 through the end]
210C-T				Transcript of Video of meeting of Michael DaCorta, Frank Duran, and undercover agent at Oasis office on March 7, 2019

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
210D				Email from Frank Duran dated March 22, 2019, with Promissory Note attached (INVEST-01729-01743)
210E				Audio recording of telephone conversation of Michael DaCorta, Frank Duran and undercover agent on April 5, 2019 (FBI-UC-00006; FBI-UC-00010; FBI-UC-00137)
210E-T				Transcript of Audio recording of telephone conversation of Michael DaCorta, Frank Duran and undercover agent April 5, 2019
210F				Anile Residence – search warrant photographs (SW FOUNDERS-00017 – 18, 00033, 00035 – 36, 00103, 00067, 00053)
210G				444 Gulf of Mexico Drive – search warrant photographs (SW-MEXICO-00018, 00023, 00029, 00034, 00046, 00047, 00049, 00082)
				Intentionally left blank
300A				6922 LaCantera Circle – photograph of exterior backyard (SW-LACANTERA-00059)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
300B				6922 LaCantera Circle – photograph of exterior showing pool and hot tub (SW-LACANTERA-00101)
300C				6922 LaCantera Circle – photograph of exterior front of house (SW-LACANTERA-00199)
301				Photograph depicting 6 seized vehicles (SW-VEHICLES-00216)
302A				13318 Lost Key Place – photograph of exterior front of house (SW-LOSTKEY-00016)
302B				13318 Lost Key Place – photograph showing interior office in house (SW-LOSTKEY-00019)
302C				13318 Lost Key Place – photograph showing interior living area of house (SW-LOSTKEY-00047)
302D				13318 Lost Key Place – photograph showing interior living area with kitchen and staircase in the distance (SW-LOSTKEY-00048)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
302E				13318 Lost Key Place – photograph showing boxed silver eagles with invoices (SW-LOSTKEY-00075)
302F				13318 Lost Key Place – photograph showing interior of bedroom closet (SW-LOSTKEY-00073)
302G				13318 Lost Key Place – photograph showing boxed silver eagles and other boxed items from Sarasota Rare Coin Gallery (SW-LOSTKEY -00074)
302H				13318 Lost Key Place – photograph showing boxed silver coins (SW-LOSTKEY-00078)
302I				13318 Lost Key Place – photograph showing boxed envelopes of U.S. currency (SW-LOSTKEY-00082)
302J				13318 Lost Key Place – photographs of opened envelopes of U.S. currency (SW-LOSTKEY-00085)
302K				13318 Lost Key Place – photograph of paint bucket containing silver bar and silver coins (SW-LOSTKEY-00087)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
302L				13318 Lost Key Place – photograph of packaged APMEX silver bars (SW-LOSTKEY-00089)
302M				13318 Lost Key Place – photograph of packaged and unpackaged APMEX silver bars (SW-LOSTKEY-00090)
302N				13318 Lost Key Place – photograph of contents of paint bucket containing assorted gold and silver coins, silver bars (SW-LOSTKEY-00095)
302O				13318 Lost Key Place – photograph of pool table inside rec room (SW-LOSTKEY-00098)
302P				13318 Lost Key Place – photograph of rec room (SW-LOSTKEY-00099)
302Q				Invoices for renovation work at 6922 LaCantera Circle (1B003-6922 LACANTERA CIRCLE—000010, 000012, 000013, 000014, 000015, 000018, 000022, 000023, 000024, 000025, 000026, 000027, 000028, 000029, 000034, 000059, 000062, 000063)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
302R				Invoices re: vehicle purchases (1B003-CARS-0000014, 0000015, 0000016, 0000018, 0000019, 0000022, 0000038, 0000039, 0000040, 0000041, 0000042, 0000043, 0000044, 0000065, 0000066, 0000067, 0000068)
302S				Invoice re: Oasis event at Ritz-Carlton Hotel (1B003-THE RITZ CARLTON-000021–000023)
				Intentionally left blank
305				Invoices and wire transfer records from Sarasota Rare Coin Gallery (SARASOTA RARE COIN 00002–00031; WF-9302-00094; WF-9302-00099; WF-9302-00103; WF-9302-000105; WF-9302-02274; WF-9302-02280 – 02281; WF-9302-02286)
				Intentionally left blank
306A				Composite exhibit of records re: purchase of 11318 Lost Key Place, Bradenton, Florida on March 18, 2016 (MOYER-00002 – 00021; MOYER-00076 – 00078)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
306A(1)				March 2016 Bank of America statement of account ending in #8346 in the name of Fundadministration, Inc., showing wire to Moyer Real Estate Services in the amount of \$341,161.70 on March 16, 2016 (INVEST-76599 – 76606)
306A(2)				February 2019 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., and Wire Details showing wire to Berlin Patten Ebling PLLC Trust in the amount of \$653,293.67 on February 12, 2019 (INVEST-088767 – 088773; INVEST-088815)
306B				Satisfaction of Mortgage on 11318 Lost Key Place, Bradenton, Florida on February 18, 2019 (BERLIN-00044)
307A				Composite exhibit of records re: purchase of 4064 Founders Club Drive, Sarasota, Florida on October 20, 2017 (BERLIN-00009 – 00015)
307A(1)				October 2017 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., and Wire Details showing wire to Berlin Patten Ebling PLLC Trust in the amount of \$703,753 on October 19, 2017 (INVEST-097435 – 097437; INVEST-096971)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
307B				Composite exhibit of records re: purchase of 4058 Founders Club Drive, Sarasota, Florida on March 16, 2018 (BERLIN-00016 – 00022)
307B(1)				March 2018 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., and Wire Details showing wire to Berlin Patten Ebling PLLC Trust in the amount of \$191,916.67 on March 15, 2018 (INVEST-097458 – 097462; INVEST-097023)
308				Composite exhibit of records re: purchase of 70312 Desert Ridge Glen, Bradenton, Florida on November 7, 2017 (BERLIN-00023 – 00029)
308A				September 2017 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., and Wire Details showing wire to Berlin Patten Ebling PLLC Trust in the amount of \$600,000 on September 22, 2017 (INVEST-097432 – 097434; INVEST-096964)
309				Composite exhibit of records re: purchase of 444 Gulf of Mexico Drive, Longboat Key, Florida on December 21, 2017 (BERLIN-00030 – 00036)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
309A				November 2017 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., and Wire Details showing wire to Berlin Patten Ebling PLLC Trust in the amount of \$1,250,000 on November 7, 2017 (INVEST-097438 – 097442; INVEST-096976)
309B				November 2018 Citibank statement of account ending in #0764 in the name of Mainstream Fund Services, Inc., and Wire Details showing wire to Williams Parker Harris Dietz in the amount of \$501,655.60 on November 6, 2018 (INVEST-086522 – 086530; INVEST-086698)
310				Composite exhibit of records re: purchase of 16804 Vardon Terrace #307, Lakewood Ranch, Florida on January 5, 2018 (NAT-01390 – 01392; NAT-01395 – 01396; NAT-01409)
310A				January 2018 Wells Fargo Bank statement of account ending in #9302 in the name of Oasis Management, LLC and Wire Details showing wire to Berlin Patten Ebling PLLC Trust in the amount of \$194,772.36 on January 5, 2018 (WF-9302-02158 - 02163; INVEST-104873 – 0104874)
310B				Promissory Note between Vincent Raia and Oasis Management, LLC dated July 2018 (Comp33-00161 – 00167)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
311				Composite exhibit of records re: purchase of 16804 Vardon Terrace #108, Lakewood Ranch, Florida on February 8, 2018 (NAT-01533 – 01539)
311A				February 2018 Wells Fargo Bank statement of account ending in #9302 in the name of Oasis Management, LLC and Wire Details showing wire to Berlin Patten Ebling PLLC Trust in the amount of \$202,777.02 on February 12, 2018 (WF-9302-02166 - 02171; INVEST-104881 – 0104882)
312				Composite exhibit of records re: purchase of 16904 Vardon Terrace #106, Lakewood Ranch, Florida on July 13, 2018 (NAT-00477 – 00483)
312A				July 2018 Wells Fargo Bank statement of account ending in #9302 in the name of Oasis Management, LLC and Wire Details showing wire to North American Title Company in the amount of \$195,922.20 on July 13, 2018 (WF-9302-02211 - 02216; INVEST-106036)
313				Composite exhibit of records re: purchase of 17006 Vardon Terrace #105, Lakewood Ranch, Florida on August 28, 2018 (NAT-00745 – 00747; NAT-00845 – 00846)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
313A				August 2018 Wells Fargo Bank statement of account ending in #9302 in the name of Oasis Management, LLC and Wire Details showing wire to North American Title Company in the amount of \$192,169.27 on August 27, 2018 (WF-9302-02220 - 02225; INVEST-106045)
314				Composite exhibit of records re: purchase of 6922 LaCantera Circle, Lakewood Ranch, Florida on October 1, 2018 (BERLIN-00003 – 00008)
314A				October 2018 Wells Fargo Bank statement of account ending in #9302 in the name of Oasis Management, LLC and Wire Details showing wire to Berlin Patten Ebling PLLC Trust in the amount of \$608,345.88 on October 1, 2018 (WF-9302-02236 - 02240; INVEST-77605)
315				Composite exhibit of Estimates, Invoices, Receipts re: residential repairs and improvements and insurance (Comp33-00142; Comp33-00082 - 00088; Comp33-000133 – 000134; Comp33-000139 – 000140; Comp33-00143 – 000144; Comp49_1-00004 – 00005; Comp33-00160)
316				Records re: Residential Lease for 221 Holt Avenue #5, Winter Park, FL 32789 for Crystal DaCorta (Comp33-00064 – 00081)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
				Intentionally left blank
320				Wilde Jaguar Maserati records re: Michael DaCorta's purchase of 2015 Range Rover (INVEST-40818 – 40840)
321				Wilde Jaguar Land Rover Maserati records re: Michael DaCorta's purchase of 2017 Maserati Ghibli (INVEST-40853 – 40871)
322				Wilde Jaguar Land Rover Maserati records re: Michael DaCorta's purchase of 2018 Land Rover (INVEST-40841 – 40852)
323				Dealer records re: purchase of 2015 Mercedes SLK350 (INVEST-05657 – 05671)
324				Dealer records re: purchase of 2016 Mercedes GLE400 (ENCORE-00001 – 00015)
325				Dealer records re: purchase of 2013 Maserati Granturismo (MARINO PERFORMANCE-00028 – 00050; MARINO PERFORMANCE-00001)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
326				Dealer records re: purchase of 2015 Ferrari California T (MARINO PERFORMANCE-00003 – 00026)
327				Dealer records re: purchase of 2018 Mercedes SL50R (INVEST-06089 – 06096)
328				Dealer records re: purchase of 2018 Porsche 911 (INVEST-43530 – 43658)
329				Dealer records re: lease of 2019 Land Rover Range Rover (TT NAPLES-00004 – 00008)
				Intentionally left blank
340				Ritz Carlton records for Oasis International Group Holiday Party 2017 (MARRIOTT-00002 – 00090)
340A				Oasis International Group Holiday Party 2017 photographs (Comp33-00168 – Comp33-00186)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
340B				Email exchanges between Michael DaCorta and Envision Music Group dated September 22, 26, and 28, 2017, re: OIG Holiday Party 2017 (Comp33-00020 – 00026; Comp33-00011)
341				Ritz Carlton records for Oasis International Group Holiday Party 2018
341A				Oasis International Group Holiday Party 2018 photographs (Comp33-00187 – Comp33-00196)
341B				Email to Michael DaCorta from Envision Music Group dated July 18, 2018, re: OIG Holiday Party 2018, with contract attached (Comp33-00010; Comp33-00001 - 00003)
341C				Ritz Carlton Estimate of Banquet Charges, Meal Selection and Table Assignment Chart, and Guest List for OIG Holiday Party 2018 (Comp33-00153 – 00156; Comp33-00158 - Comp33-00159)
				Intentionally left blank

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
345				Emails between Michael DaCorta and Unity Jets and Invoices and Itineraries for private plane charters – 2017 and 2018 (Comp33-00032 – 00034; Comp33-00004 – 00009; Comp33-00039; Comp33-00135)
346				DaCorta family photos in private plane (Comp33-000197 – 000198)
347				Michael DaCorta and Carolyn DaCorta – photograph on steps of historic building (Comp33-00063)
348				Disneyworld Yacht Club Resort folio (Comp33-00061 – 00062)
				Intentionally left blank
350				Composite exhibit: Invoices from Sound Concepts and Roar of the Lion brochure (Comp33-00089 – 00099)
				Intentionally left blank
360A				June 10, 2017 – July 10, 2017 statement of Wells Fargo Bank account ending in #3678 in the name of Michael DaCorta (WF-3678-00027 – WF-3678-00030)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
360B				August 11, 2017 – September 8, 2017 statement of Wells Fargo Bank account ending in #3678 in the name of Michael DaCorta (WF-3678-00035 – WF-3678-00038)
360C				December 11, 2017 – January 10, 2018 statement of Wells Fargo Bank account ending in #3678 in the name of Michael DaCorta (WF-3678-00057 – WF-3678-00060)
361A				June 9, 2018 – July 10, 2018 statement of Wells Fargo Bank credit card account ending in #9642 in the name of Michael DaCorta (WF-9642-00041 – WF-9642-00044)
361B				August 11, 2018 – September 9, 2018 statement of Wells Fargo Bank credit card account ending in #9642 in the name of Michael DaCorta (WF-9642-00053 – WF-9642-00060)
				Intentionally left blank
400				Records of Oasis International Group, Ltd. trading and related data from the Spotex back office
401				Spotex Account Statement Summary re: Oasis Global FX, S.A. Account #OB60055 (SPOTEX-PRINTOUTS-00108 – 00227)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
402				Spotex Account Statement Summary re: Oasis Global FX, Limited Account #OB60050 (SPOTEX-PRINTOUTS-00228 – 00297)
403				Spotex Account Statement Summary re: Account #16055310 (Kenneth Katter and Patti Katter) (SPOTEX-PRINTOUTS-00056 – 00107)
404				Spotex Account Statement Summary re: Account #16055301 (Deborah Cheslow) (SPOTEX-PRINTOUTS-00001 – 00054)
405				Spotex report in Excel spreadsheet format re: historical silver trades (SPOTEX-PRINTOUTS-00055)
				Intentionally left blank
407				Video of Spotex back office – Steps to Create a Client
408				Video of Spotex back office – Steps to Create a Spread Group
409				Video of Spotex back office – Steps to Input Spread

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
410				Video of Spotex back office – PAMM Account Investor Allocation
411				Video of Spotex back office – Steps to Apply Adjustment to an Account
412				Diagram of relationship between ATC Brokers Ltd. and Spotex
413				Oasis Monthly Reports and Statements: OB60050 and OB60055
414				Oasis Daily Reports and Statements: OB60050 and OB6055
				Intentionally left blank
425A				Intentionally left blank
425B				Exported SPOTEX data file: OB6055_FX_XAU_Trades.xlsx
425B-1				Net with Spread demonstrative exhibit
425C				SPOTEX Raw Trading Data Export file: OASISREADONLY51_Raw_Export_trades.xlsx

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
425D				Exported SPOTEX data file: OB60050_FX_XAU_Trades.xlsx
425E				Trading Spread demonstrative exhibit
425F				Profit & Loss data from SPOTEX: Statement Export_chart.xlsx
425F-1				Bar Graph, Monthly Profit & Loss demonstrative exhibit
425G				Historical Silver Trading Data SPOTEX: Historical Silver Trades – Oasis.xlsx
425H				Matthew Childers's silver trading position analysis summary chart: Silver Position_MSC analysis.xlsx
425I				Chicago Mercantile Exchange Silver Margins 2014–2019
				Intentionally left blank
500				Master Financial Summary: Oasis Investor Funds – January 1, 2015 – April 18, 2019
500A				Summary Diagram: Investor Funds Distribution

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
500B				Summary Diagram: DaCorta and Anile Residential Properties and Related Entities
500C				Summary Diagram: Investor Funds Received By Month
500D				Summary Diagram: Net Trading Profit/Loss Calculation
500E				Summary Diagram: Trading Account Ending Balance Calculation
500F				Summary Diagram: Net Trading Profit/Loss Calculation With/Without Spread
500G				Summary Diagram: Net Trading Profit/Loss 2017–2019
501				Transfers for FOREX Trading: January 1, 2015 – April 18, 2019
502				Oasis Staff and Passive Oasis Members: January 1, 2015 – April 18, 2019
503A				Master Financial Summary: Michael DaCorta – January 1, 2015 – April 18, 2019

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
503B				Financial Summary: Michael DaCorta – 2017
504A				Michael DaCorta Residences: January 1, 2015 – April 18, 2019
504B				Michael DaCorta Residences: 2017
505A				Michael DaCorta: Vehicles, Personal Credit Cards, Home Equity Loan, Education Expenses: January 1, 2015 – April 18, 2019
505B				Michael DaCorta: Vehicles, Personal Credit Cards, Home Equity Loan, Education Expenses: 2017
506A				Full Spectrum Wellness and Roar of the Lion Fitness: January 1, 2015 – April 18, 2019
506B				Full Spectrum Wellness and Roar of the Lion Fitness: 2017
507A				Travel and Plane Charters: January 1, 2015 – April 18, 2019
507B				Travel and Plane Charters: 2017

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
508				Joseph Anile's Residence and Lot: January 1, 2015 – April 18, 2019
509				Joseph Anile and Related Entities: January 1, 2015 – April 18, 2019
510				Oasis Holiday Parties: 2017 and 2018
511				Oasis Office Building: January 1, 2015 – April 18, 2019
512				Condominium Purchases: January 1, 2015 – April 18, 2019
513				Desert Ridge Glen Property: January 1, 2015 – April 18, 2019
514				Gold and Silver Purchases: January 1, 2015 – April 18, 2019
515				Charity, Gas Stations, Entertainment, Groceries, Restaurants, Retail Shopping, Home Supplies, and Health: January 1, 2015 – April 18, 2019
516				Attorneys and Accountants: January 1, 2015 – April 18, 2019

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
517				Office Rent: January 1, 2015 – April 18, 2019
518				Miscellaneous: January 1, 2015 – April 18, 2019
519				Bank Fees and Utilities: January 1, 2015 – April 18, 2019
520				Unknown: January 1, 2015 – April 18, 2019
521				Investor Deposits and Payments Back to Investors: January 1, 2015 – April 18, 2019
				Intentionally left blank
550				Certified Copy of Oasis Management, LLC Form 1065 – U.S. Return of Partnership Income for tax year 2015 (IRS-02542 – IRS-02986)
551				Certified Copy of Oasis Management, LLC Form 1065 – U.S. Return of Partnership Income for tax year 2016 (IRS-02987 – IRS-03455)
552				Certified Copy of Oasis Management, LLC Form 1065 – U.S. Return of Partnership Income for tax year 2017 (IRS-03456 – IRS-03897)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
553				Certified Copy of Michael DaCorta and Carolyn DaCorta Form 1040 – U.S. Individual Income Tax Return for tax year 2015 (IRS-02273 – IRS-02310)
554				Certified Copy of Michael DaCorta and Carolyn DaCorta Form 1040 – U.S. Individual Income Tax Return for tax year 2016 (IRS-02311 – IRS-02349)
555				Certified Copy of Michael DaCorta and Carolyn DaCorta Form 1040 – U.S. Individual Income Tax Return for tax year 2017 (IRS-02350 – IRS-02389)
556(A)				Certified Copy of 13318 Lost Key Place, LLC Online Entity Transcript (IRS-02253 – IRS-02256)
556(B)				Certified Copy of 13318 Lost Key Place, LLC Business Master File (IRS-02250 – IRS-02252)
557(A)				Certified Copy of Integrated Data Retrieval System for Andrew DaCorta for tax year 2016 (IRS-03898 – IRS-03903)
557(B)				Certified Copy of Integrated Data Retrieval System for Andrew DaCorta for tax year 2017 (IRS-03904 – IRS-03906)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
557(C)				Certified Copy of Integrated Data Retrieval System for Andrew DaCorta for tax year 2018 (IRS-03907 – IRS-03909)
558(A)				Certified Copy of Integrated Data Retrieval System for Steven DaCorta for tax year 2016 (IRS-03910 – IRS-03912)
558(B)				Certified Copy of Integrated Data Retrieval System for Andrew DaCorta for tax year 2017 (IRS-03913 – IRS-03915)
558(C)				Certified Copy of Integrated Data Retrieval System for Andrew DaCorta for tax year 2018 (IRS-03916 – IRS-03922)
559				Certified Copy of Joseph S. Anile, II and Mary Ann Anile Form 1040 – U.S. Individual Income Tax Return for tax year 2017 (IRS-02445 – IRS-02476)
				Intentionally left blank
575				Burling Consultants, LLC engagement letter dated January 3, 2018 (COMP33-00130 – COMP33-00132)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
576				Email exchanges between Mark Burling and Butch Lyle, cc to Mike DaCorta, dated January 9–27, 2018, re: Oasis Management, LLC 2017 K-1 spreadsheet (BURLING-CONSULT-00177 – BURLING-CONS-00178)
577				Email from Butch Lyle to Mark Burling dated January 28, 2018, re: “Oasis 2017 K-1’s with email” with spreadsheet attached (BURLING-CONSULT-00151; BURLING-CONSULT-01416)
578				Email from Michael DaCorta to Mark Burling dated January 31, 2018, re: “Interest” with spreadsheet attached (BURLING-CONSULT-00148; BURLING-CONSULT-01431)
579				Email exchanges between Michael DaCorta and Mark Burling dated March 10, 2018, re: “Oasis Partnership Extension” (BURLING-CONSULT-00184 – BURLING-CONSULT-00187)
580				Email from Michael DaCorta to Mark Burling dated July 25, 2018, re: “Checks” with check register attached (BURLING-CONSULT-00140; BURLING-CONSULT-00115)

EXHIBIT LIST—Continuation

EXHIBIT NUMBER	DATE IDENTIFIED	DATE ADMITTED	WITNESS	DESCRIPTION OF EXHIBIT
581				File Copy of Oasis Management, LLC Form 1065 – U.S. Return of Partnership Income for tax year 2017 (BURLING-CONSULT-00866 – BURLING-CONSULT-01338)
582				File Copy of Schedule K-1 for Oasis Management, LLC for tax year 2017 (BURLING-CONSULT-00033 – BURLING-CONSULT-00036)
583				File Copy of Michael DaCorta and Carolyn DaCorta Form 1040 – U.S. Individual Income Tax Return for tax year 2017 (BURLING-CONSULT-00063 – BURLING-CONSULT-00106)
584				Email from Michael DaCorta to Mark Burling dated November 20, 2018, re: “Suspension of Payroll Services” (BURLING-CONSULT-00136 – BURLING-CONSULT-00137)