

**UNITED STATES DISTRICT COURT  
MIDDLE DISTRICT OF FLORIDA  
TAMPA DIVISION**

BURTON W. WIAND, as Receiver for  
OASIS INTERNATIONAL GROUP,  
LTD.; OASIS MANAGEMENT, LLC;  
AND SATELLITE HOLDINGS  
COMPANY,

Plaintiff,

Case No. 8:22-cv-01512-KKM-TGW

v.

CLARK ASSET MANAGEMENT  
CO. and DOUGLAS B. CLARK,

Defendants.

\_\_\_\_\_ /

**CERTIFICATE OF INTERESTED PERSONS AND  
CORPORATE DISCLOSURE STATEMENT**

I hereby disclose the following pursuant to this Court's interested persons order:

1. The name of each person, attorney, association of persons, firm, law firm, partnership, and corporation that has or may have an interest in the outcome of this action, including subsidiaries, conglomerates, affiliates, parent corporations, publicly-traded companies that own 10% or more of a party's stock, and all other identifiable legal entities related to any party in the case:

**No publicly-traded company owns 10% or more of a party's stock. The entities over which Burton W. Wiand has been appointed Receiver (along with their known affiliates and former principals) are listed in Exhibit 1. The Receiver's attorneys, accountants, and other professionals are also listed in Exhibit 1.**

The Court that appointed the Receiver in *Commodity Futures Trading Commission v. Oasis International Group, Limited, et al.*, Case No. 8:19-cv-00886-VMC-SPF (M.D. Fla.) (the “CFTC Action”) has approved a claims process to handle 791 claims filed by numerous victim-investors and entities that seek funds from the Receivership Estate, which could include money recovered through this action (among numerous other sources). The Receiver has made determinations regarding those claims that have been approved by the Court. But some claims that the Receiver denied or allowed in part are subject to an objection process. The names of the 791 claimants include numerous victims of the foreign-exchange Ponzi scheme charged in the CFTC action. In requesting Court approval for his determinations, and to protect the claimants’ privacy, the Receiver filed under seal, with the Court’s approval, Doc. S-441 in Case No. 8:19-cv-00886-VMC-SPF that identifies those individuals and entities that are entitled to distributions from the Receivership Estate. To the extent that the Receiver recovers assets in this action that could be added to the Receivership Estate, those individuals and entities have an interest in the outcome of this case.

Finally, the Receiver has also asserted fraudulent transfer and unjust enrichment claims in a related case, *Burton W. Wiand, as Receiver for Oasis International Group, Ltd.; Oasis Management, LLC; and Satellite Holdings Company v. Chris and Shelley Arduini, et al.*, 8:20-cv-862-VMC-TGW. Those defendants are listed in Exhibit 2.

2. The name of every other entity whose publicly-traded stock, equity, or debt may be substantially affected by the outcome of the proceedings:

**None.**

3. The name of every other entity which is likely to be an active participant in the proceedings, including the debtor and members of the creditors’ committee (or twenty largest unsecured creditors) in bankruptcy cases:

**None.**

4. The name of each victim (individual and corporate) of civil and criminal conduct alleged to be wrongful, including every person who may be entitled to restitution:

**The Receiver seeks to recover damages and transfers on behalf of the plaintiff entities. He is not prosecuting claims that belong to investor-victims in the Ponzi scheme underlying this action. The list of investor-victims who may be creditors of the Receivership Estate are described in the answer to Question No. 1 above.**

I hereby certify that, except as disclosed above, I am unaware of any actual or potential conflict of interest involving the District Judge and Magistrate Judge assigned to this case and will immediately notify the Court in writing on learning of any such conflict.

Dated: July 21, 2022

**/s/ Lawrence J. Dougherty**  
Lawrence J. Dougherty, FBN 68637  
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*Counsel for Burton W. Wiand, as  
Receiver for Oasis International  
Group, Ltd.; Oasis Management,  
LLC; and Satellite Holdings  
Company*

**CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that on July 21, 2022, I electronically filed the foregoing with the Clerk of the Court by using the CM/ECF system, which will send a notice of electronic filing.

**/s/ Lawrence J. Dougherty**  
Attorney

**EXHIBIT 1 – INTERESTED PERSONS AND  
CORPORATE DISCLOSURE STATEMENT**

<b>INTERESTED PERSONS/ CORPORATE DISCLOSURE</b>	<b>AFFILIATED ATTORNEYS OR OTHER PROFESSIONALS</b>
<p>Burton W. Wiand, as Receiver for the following entities:</p> <p>Oasis International Group, Limited;                      Oasis Management, LLC;                      Satellite Holdings Company;                      Mainstream Fund Services, Inc.;                      Bowling Green Capital Management, LLC;                      Lagoon Investments, Inc.;                      Roar of the Lion Fitness, LLC;                      444 Gulf of Mexico Drive, LLC;                      4064 Founders Club Drive, LLC;                      6922 Lacantera Circle, LLC;                      13313 Lost Key Place, LLC;                      4OAKS LLC;                      Oasis Global FX, Limited; and                      Oasis Global FX, S.A.</p>	<p>Counsel for the Receiver:</p> <p>Lawrence J. Dougherty                      Maya Lockwood                      Cindy M. Innocent                      Eric R. Feld                      Max McKinley                      Guerra King P.A.</p> <p>Leonard S. Englander                      John W. Waechter                      Courtney L. Fernald                      Beatriz McConnell                      Alicia Gangi                      Englander &amp; Fischer,                      P.A.</p> <p>James D. Sallah                      Patrick J. Rengstl                      Joshua Katz                      Sallah Astarita &amp;                      Cox, LLC</p> <p>Wayne A. Piper                      Flores Piper LLP</p>

<b>INTERESTED PERSONS/ CORPORATE DISCLOSURE</b>	<b>AFFILIATED ATTORNEYS OR OTHER PROFESSIONALS</b>
KapilaMukamal CPAs, Receiver's Forensic Accountants	Soneet R. Kapila, CPA Melissa Davis, CPA Rachel Weiss, CPA
PDR CPAs, Receiver's Tax Accountants	William E. Price, CPA
U.S. Commodity Futures Trading Commission	Jo Mettenburg, Esquire Jenny Chapin, Esquire Jane Alison Auxter, Esquire
U.S. Attorney's Office for the Middle District of Florida	Rachelle Bedke, Esquire David W.A. Chee, Esquire Anita M. Cream, Esquire Suzanne Nebesky, Esquire
Michael J. DaCorta	Matthew P. Farmer, Esquire
Joseph S. Anile, II	Gerard Marrone, Esquire
Raymond P. Montie, III	Mark L. Horwitz, Esquire Vincent A. Citro, Esquire
Francisco "Frank" L. Duran	<i>Pro se</i>
John J. Haas	A. Brian Phillips, Esquire

**EXHIBIT 2 – INTERESTED PERSONS AND  
CORPORATE DISCLOSURE STATEMENT**

**OTHER CLAWBACK DEFENDANTS**

*Burton W. Wiand, as Receiver for Oasis International Group, Ltd.; Oasis Management, LLC; and Satellite Holdings Company v. Chris and Shelley Arduini, et al., 8:20-cv-862-VMC-TGW*

Chris and Shelley Arduini  
Scott and Tracy Arnold  
Offer Attia  
John Bacon  
Steven and Margaret Barrie  
Sherry Barry  
Ann Barton  
Todd Berry  
Black Dragon Capital, LLC  
Maria and Louis Cardiello  
Michael and Lesley Chambless  
Mary Charuk  
Ron and Kim Clark  
Gregory Corcoran  
Crichlow Computer Concepts  
Kayla Crowley  
Joseph and Cushawn Charles  
Thomas and Anne Daidone  
Benedetto Dalia  
Sabrina Dalia  
Gregory and Silvia Davis  
Michael DeYoung  
Divergent Investments, LLC  
Betsy Doolin  
Mariana Duenas  
Patrick Flander  
Henry and Anna Fuksman  
Rocco Garbellano  
Robert and Loreta Giamboi  
Jason Gladman

Ethel Haley  
Elmore Runee Harris  
Anne Hennessey  
Chad Hicks  
Kenji and Tami Higuchi  
Richard and Courtney Hubbard  
Charles Huckabee  
Tim Hunte DBA KATT Distribution  
Timothy Hunte  
James Jackson  
Impulse Ventures, Inc.  
Alan Johnston  
Bradley and Carrie Kantor  
Kevin Kerrigan  
Kerrigan Management, Inc.  
Brenda Krown  
Tami Lacy  
Joseph and Lynne LaVecchia  
Matthew Leach  
David Paul Lipinczyk  
Piotr Luda  
Wayne Lynch  
Shawn Marshall  
Joseph Martini  
Joseph Martini, Jr.  
Kathryn McClare  
Mary McClare  
Elizabeth McMahan  
Stephen and Jean Monahan  
Frank Nagel  
Nick Patterson  
Vince Petralis  
Vince Petralis, Sr.  
Anthony Procopio  
Jerry Puccio  
Jay Renner  
Michael Rubel  
Margaret Sims  
Allen and Loreen Steinfeld  
Ford Sumner  
Edgar and Donna Uhler



Carminc Vona  
David Wilkerson  
Stefania Wood  
Zhuo Xu