

**UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION**

COMMODITY FUTURES TRADING
COMMISSION,

Case No. 8:19-cv-00886-VMC-SPF

Plaintiff,

vs.

OASIS INTERNATIONAL GROUP,
LIMITED, ET AL.,
Defendants,

and

MAINSTREAM FUND SERVICES,
INC., ET AL.,

Relief Defendants.

INTERIM MEDIATION REPORT

In accordance with the Plaintiff's Amended Notice of Mediation Conference [DE 734], an in-person Mediation was held with the parties and counsel on Thursday, June 22, 2023, at 9:00 a.m. The Plaintiff, COMMODITY FUTURES TRADING COMMISSION, appeared with lead trial counsel present. The Defendant, JOHN J. HAAS personally appeared, pro se. Defendant, MICHAEL J. DaCORTA is currently incarcerated and was not allowed to attend by the Federal Bureau of Prisons. Mr. DaCORTA's lead counsel appeared on his behalf.

All parties present participated in good faith. An IMPASSE was reached as to Defendant, DaCORTA. The Plaintiff, CFTC, and Defendant, JOHN J. HAAS reached an agreement in principle and the settlement documents are currently being prepared for execution by the parties. All parties expect to conclude these negotiations as to Defendant, JOHN J. HAAS by Friday, June

30, 2023. At that time, the mediator will file the Final Mediation Report with the Court.

Done June 22, 2023, in Tampa, Florida.

Respectfully submitted,

/s/ Gregory P. Holder

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CERTIFICATE OF SERVICE

I HEREBY CERTIFY that June 22, 2023, I electronically filed the foregoing document with the United States District Court Electronic Case Filing system, which will electronically send copies to counsel of record.

/s/ Gregory P. Holder

Gregory P. Holder, Esq., Mediator