

**UNITED STATES DISTRICT COURT  
MIDDLE DISTRICT OF FLORIDA  
TAMPA DIVISION**

COMMODITY FUTURES TRADING  
COMMISSION,

Plaintiff,

v.

Case No: 8:19-cv-886-T-33SPF

OASIS INTERNATIONAL GROUP,  
LIMITED; ET AL.,

Defendants;

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**NOTICE OF CHANGE OF PARTY NAME**

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that Relief Defendant Mainstream Fund Services, Inc. hereby informs the Court and parties to this action that Mainstream Fund Services, Inc. has changed its name to Fundadministration, Inc. Attached as Exhibit A is the filing evidence from the New York State Secretary of State of such name change, effective October 28, 2020. The entity's address otherwise remains unchanged.

Dated: December 2, 2020

Respectfully submitted,

s/ Dennis Vacco

Dennis C. Vacco

Lippes Mathias Wexler Friedman LLP

50 Fountain Plaza, Suite 1700

Buffalo, New York 14202

Tel.: (716) 853-5100

Fax: (716) 853-5199

dvacco@lippes.com

**CERTIFICATE OF SERVICE**

**I HEREBY CERTIFY** that on December 2, 2020, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system.

Lippes Mathias Wexler Friedman LLP

By: s/ Dennis Vacco  
Dennis C. Vacco

# Exhibit A

FILING RECEIPT

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ENTITY NAME: FUNDADMINISTRATION, INC.

DOCUMENT TYPE: AMENDMENT (DOMESTIC BUSINESS)  
NAME

COUNTY: WEST

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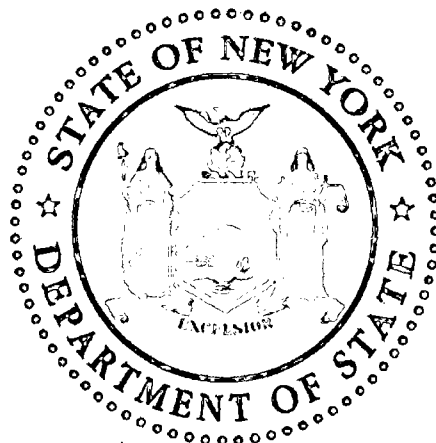
FILED:10/28/2020 DURATION:\*\*\*\*\* CASH#:201028000397 FILM #:201028000375

FILER:

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LIPPES MATHIAS WEXLER FRIEDMAN LLP  
50 FOUNTAIN PLAZA  
SUITE 1700  
BUFFALO, NY 14202

ADDRESS FOR PROCESS:  
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REGISTERED AGENT:  
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SERVICE COMPANY: C T CORPORATION SYSTEM 07

SERVICE CODE: 07

FEEs            210.00  
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FILING           60.00  
TAX              0.00  
CERT             0.00  
COPIES           0.00  
HANDLING        150.00

PAYMENTS       210.00  
-----  
CASH             0.00  
CHECK            0.00  
CHARGE           0.00  
DRAWDOWN       210.00  
OPAL             0.00  
REFUND           0.00

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13327600JC

DOS-1025 (04/2007)

# ***STATE OF NEW YORK***

## ***DEPARTMENT OF STATE***

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on October 30, 2020.

*Brendan C. Hughes*

Brendan C. Hughes  
Executive Deputy Secretary of State

CT07

201028000375

**CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION  
OF  
MAINSTREAM FUND SERVICES, INC.**

Under Section 805 of the Business Corporation Law

The undersigned, being the Chief Executive Officer of Mainstream Fund Services, Inc. does hereby certify:

1. The name of the corporation is: Mainstream Fund Services, Inc. The name under which the corporation was originally formed is: Fundadministration.com Inc.
2. The Certificate of Incorporation of Mainstream Fund Services, Inc. was filed with the Department of State on November 4, 1999
3. The Certificate of Incorporation of Mainstream Fund Services, Inc., as heretofore changed, is hereby amended to change the name of the Corporation to Fundadministration, Inc. In order to effect said amendment, Article 1<sup>st</sup> is hereby amended to read in its entirety as follows:  
  
"1<sup>st</sup>. The name of the corporation is: Fundadministration, Inc. (hereinafter the "Corporation")."
4. The foregoing amendment to the Corporation's Certificate of Incorporation was authorized by: The vote of the board of directors followed by the unanimous written consent of the holders of all outstanding shares.

IN WITNESS WHEREOF, the undersigned has executed this Certificate this 27<sup>th</sup> day of October, 2020



Gerald (Jay) Maher, Chief Executive Officer

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CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION  
OF  
MAINSTREAM FUND SERVICES, INC.

Under Section 805 of the Business Corporation Law

FILED  
2020 OCT 28 AM 11:51

Filed by: Lippes Mathias Wexler Friedman LLP  
50 Fountain Plaza, Suite 1700  
Buffalo, New York 14202

STATE OF NEW YORK  
DEPARTMENT OF STATE

FILED OCT 28 2020  
TAX \$ AB  
BY: \_\_\_\_\_

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RECEIVED  
2020 OCT 28 AM 10:19

DRAWDOWN  
Cst Ref:  
13327600JC