

**UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION**

COMMODITY FUTURES TRADING
COMMISSION,

Plaintiff,

v.

Case No: 8:19-cv-886-T-33SPF

OASIS INTERNATIONL GROUP, et al.,

Defendants;

and

MAINSTREAM FUND SERVICES, INC., et al.

Relief Defendants.

SWORN STATEMENT AND ACCOUNTING

Pursuant to the Order Appointing Receiver and Staying Litigation (Dkt. 44), I, Andy MacDonald, Head of Cash Management for Relief Defendant Mainstream Fund Service, Inc. (hereinafter "Mainstream"), declare that, to the best of my knowledge and belief, the following information is true, correct, and complete:


A. On or about September 4, 2013, Defendant Oasis International Group, Ltd. (hereinafter "Oasis") retained Mainstream, f/k/a Fundadministration, to provide cash management services. Accordingly, on or about September 13, 2013, Mainstream opened two Wells Fargo accounts and, on October 7, 2013, a Bank of America account on Oasis's behalf. On or about December 13, 2016, Mainstream closed the two Wells Fargo accounts and replaced those accounts with two more Bank of America accounts for a total of three. On or about April 25, 2017, Mainstream consolidated all Oasis assets into one Citibank account ending in -0764. Mainstream is only in possession of bank statements pertaining to the aforementioned bank accounts dating

back to May 2017. Through Mainstream's cooperation, on or about April 23, 2019, Citibank transferred the entirety of the account ending in -0764 to the Receiver. Accordingly, Mainstream is no longer in control of any Oasis assets.


B. No credit, bank, charge, debit, or other deferred payment cards associated with any of the aforementioned bank accounts were ever issued.

C. Between May 31, 2019 and June 6, 2019, Mainstream provided the Receiver with documents, *Bates* numbered MS0000000001 – MS0000019378, which provide a detailed accounting of all Oasis assets managed by Mainstream since September 4, 2013. Though Mainstream is only in possession of bank statements dating back to May 2017, the provided wire requests, cash reconciliations, and emails provide a complete accounting history since September 4, 2013.

Sworn on 6 day of
June, 2019


Notary Public




Andy MacDonald
Head of Cash Management, Americas
Mainstream Fund Services, Inc.
4175 Veterans Memorial Hwy., Suite 204
Ronkonkoma, NY 11779

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on June 6, 2019, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system. I further certify that all parties to this case are equipped to receive service of documents via that system.

s/Dennis C. Vacco
Dennis C. Vacco, Esq.