

**UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION**

BURTON W. WIAND, as Receiver for
OASIS INTERNATIONAL GROUP, LTD.;
OASIS MANAGEMENT, LLC; AND
SATELLITE HOLDINGS COMPANY,

Plaintiff,

Case No.:

v.

CHRIS AND SHELLEY ARDUINI, et al.,

Defendants.

COMPLAINT

Burton W. Wiand (the “**Receiver**”), as Receiver for Oasis International Group, Limited; Oasis Management, LLC; and Satellite Holdings Company (collectively, the “**Oasis Entities**”), by and through his undersigned counsel, hereby files suit against the parties identified in paragraphs 10 through 84 of this complaint (collectively, the “**Defendants**”) and alleges as follows:

INTRODUCTION

1. On April 15, 2019, the Commodity Futures Trading Commission (“**CFTC**” or “**Commission**”) filed an enforcement action against (1) defendants Oasis International Group, Limited (“**OIG**”); Oasis Management, LLC (“**Oasis Management**”); Michael J. DaCorta (“**DaCorta**”); Joseph S. Anile, II (“**Anile**”); Francisco “Frank” L. Duran (“**Duran**”); Satellite Holdings Company (“**Satellite Holdings**”); John J. Haas (“**Haas**”); and Raymond P. Montie, III (“**Montie**”) (the “**CFTC Defendants**”) and (2) relief defendants

Mainstream Fund Services, Inc. (“**Mainstream**”); Bowling Green Capital Management, LLC (“**Bowling Green**”); Lagoon Investments, Inc. (“**Lagoon**”); Roar of the Lion Fitness, LLC (“**Roar of the Lion**”); 444 Gulf of Mexico Drive, LLC (“**444 Gulf of Mexico**”); 4064 Founders Club Drive, LLC (“**4064 Founders Club**”); 6922 Lacantera Circle, LLC (“**6922 Lacantera**”); 13318 Lost Key Place, LLC (“**13318 Lost Key**”); and 4Oaks, LLC (“**4Oaks**”) (the “**CFTC Relief Defendants**” and, collectively with the CFTC Defendants, the “**Receivership Defendants**”). *See C.F.T.C. v. Oasis International Group, Ltd.*, Case No. 8:19-CV-886-T-33SPF (M.D. Fla.) (the “**CFTC Action**”).

2. The CFTC alleged that the defendants in the CFTC Action “have engaged, are engaging, or are about to engage in acts and practices in violation of Sections 4b(a)(2)(A)-(C), 4k(2), 4m(1), 4o(1)(A)-(B), and 2(c)(2)(iii)(I)(cc) of the Commodity Exchange Act (the “**CFTC Act**”), 7 U.S.C. §§ 6b(a)(2)(A)-(C), 6(k)(2), 6m(1), 6o(1)(A)-(B), 2(c)(2)(iii)(I)(cc) (2012), and Commission Regulations (“**CFTC Regulations**”) 4.20(b)-(c), 4.21, 5.2(b)(1)-(3), and 5.3(a)(2), 17 C.F.R. § 4.20(b)-(c), 4.21, 5.2(b)(1)-(3), 5.3(a)(2) (2018). Accordingly, the Commission brought the CFTC Action pursuant to Section 6c of the CFTC Act, 7 U.S.C. § 13a-1 (2012), and Section 2(c)(2)(C) of the CFTC Act, 7 U.S.C. § 2(c)(2)(C) (2012), to enjoin the CFTC Defendants’ “unlawful acts and practices, to compel their compliance with the [CFTC] Act and the [CFTC] Regulations promulgated thereunder, and to enjoin them from engaging in any commodity-related activity.” CFTC Doc. 1 ¶¶ 5. 7.

3. Also, on April 15, 2019, the court supervising the CFTC Action (the “**Receivership Court**”) entered a temporary order appointing the Receiver. CFTC Doc. 7. The Receivership Court directed him, in relevant part, to “[t]ake exclusive custody, control,

and possession of the Receivership Estate,” which includes “all the funds, properties, premises, accounts, income, now or hereafter due or owing to the Receivership Defendants, and other assets directly or indirectly owned, beneficially or otherwise, by the Receivership Defendants.” *See id.* at p. 14, ¶ 32 & p. 15, ¶ 30.b. It also imposed a temporary injunction against the Receivership Defendants and froze their assets. *Id.* at p. 19. Subsequently, each Receivership Defendant either defaulted or consented to the entry of a preliminary injunction. *See* CFTC Docs. 35, 43, 44, 82, 85, 172, 174-77.

4. On July 11, 2019, the Receivership Court entered a Consolidated Receivership Order (CFTC Doc. 177) (the “**Consolidated Order**”), which combined and superseded two prior orders (CFTC Docs. 7 & 44) and is now the operative document governing the Receiver’s activities. The Receivership Court found that entry of the Consolidated Order was necessary and appropriate for the purposes of marshaling and preserving all assets, including in relevant part, assets that “were fraudulently transferred by the [CFTC] Defendants and/or [CFTC] Relief Defendants.” CFTC Doc. 177 at 2. The Receivership Court also expressly authorized the Receiver “to sue for and collect, recover, receive and take into possession all Receivership Property” (*id.* ¶ 8.B.) and “[t]o bring such legal actions based on law or equity in any state, federal, or foreign court as the Receiver deems necessary or appropriate in discharging his duties as Receiver” (*id.* ¶ 8.I.). Similarly, the Court authorized, empowered, and directed the Receiver to “prosecute” actions “of any kind as may in his discretion, and in consultation with the CFTC’s counsel, be advisable or proper to recover and/or conserve Receivership Property.” *Id.* ¶ 43.

5. The CFTC Action is stayed to protect an ongoing criminal investigation into the CFTC Defendants' activities by the Department of Justice through the United States Attorney's Office for the Middle District of Florida. As explained below, CFTC Defendant Anile has pled guilty to several felonies based, in relevant part, on his operation of the Oasis Entities as a classic Ponzi scheme. He is awaiting sentencing. CFTC Defendant DaCorta has also been indicted based on his fraudulent operation of the Oasis Entities. He is awaiting trial. Anile and DaCorta are hereinafter referred to collectively as the "**Insiders**." CFTC Defendants Duran, Haas, and Montie have not yet been indicted, but the government's investigation is ongoing.

6. The Receiver's activities under the Consolidated Order are exempt from the stay. *See* CFTC Doc. 228. As such, on February 28, 2020, the Receiver moved the Receivership Court to authorize his filing of "clawback" litigation and to retain additional counsel to assist with the litigation, which motion the Receivership Court granted on April 13, 2020. CFTC Doc. 237. The Receiver files this complaint pursuant to that express authority, the Consolidated Order, the principles governing federal equity receiverships, and pertinent law, including the Florida Uniform Fraudulent Transfer Act, Fla. Stat. § 726.101, *et seq.* ("**FUFTA**").

7. The Receiver brings this action to recover money transferred to each Defendant by the Insiders through or on behalf of the Oasis Entities (or their fund administrator) in an amount that exceeds the amount invested by each Defendant in the Oasis Entities. Such excess amounts are generally referred to as "**false profits**" because they are not derived from legitimate activity but from money the Ponzi perpetrators stole from other

defrauded investors. This scheme also included a multi-level-marketing component, and as a result, some Defendants received fraudulent transfers for recommending or convincing others to invest in the Oasis Entities. In either case, the Receiver is entitled to recover the transfers under governing and well-settled law.

JURISDICTION AND VENUE

8. This court has personal jurisdiction over the Defendants pursuant to 28 U.S.C. § 754 and 28 U.S.C. § 1692, which provide jurisdiction over receivership property, including money and the individuals in possession of that money, and authorize nationwide service of process. The Receiver has complied with the statutory requirements.

9. Schedules of the false profits or other fraudulent transfers the Receiver seeks to recover from the Defendants are attached to this complaint as “**Exhibit A.**” The Defendants are arranged in alphabetical order, both below and in Exhibit A.

10. On information and belief, defendants Chris and Shelley Arduini are residents of Montgomery County, New York. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 1.

11. On information and belief, defendants Scott and Tracy Arnold are residents of Ulster County, New York. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 2.

12. On information and belief, defendant Offer Attia is a resident of Westchester County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 3.

13. On information and belief, defendant John Bacon is a resident of Dutchess County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 4.

14. On information and belief, defendants Steven and Margaret Barrie are residents of Wanaka, New Zealand. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 5.

15. On information and belief, defendant Sherry Barry is a resident of Warren County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 6.

16. On information and belief, defendant Ann Barton is a resident of Dutchess County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 7.

17. On information and belief, defendant Todd Berry is a resident of Dutchess County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 8.

18. On information and belief, defendant Black Dragon Capital, LLC is a New York limited liability company with its principal place of business located in Richmond County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 9.

19. On information and belief, defendants Maria and Louis Cardiello are residents of Putnam County, New York. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 10.

20. On information and belief, defendants Michael and Lesley Chambless are residents of Dallas County, Texas. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 11.

21. On information and belief, defendants Joseph and Cushaun Charles are residents of Richmond County, New York. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 12.

22. On information and belief, defendant Mary Charuk is a resident of Broome County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 13.

23. On information and belief, defendants Ron and Kim Clark are residents of New Castle County, Delaware. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 14.

24. On information and belief, defendant Commonwealth Network Marketing Corp is a New York corporation with its principal place of business located in Nassau County, New York. The transfers the Receiver seeks to record from this defendant are set forth in Exhibit A at 15.

25. On information and belief, defendant Gregory Corcoran is a resident of Sussex County, New Jersey. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 16.

26. On information and belief, defendant Crichlow Computer Concepts is a New York corporation with its principal place of business located in Kings County, New York.

The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 17.

27. On information and belief, defendant Kayla Crowley is a resident of Wayne County, Pennsylvania. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 18.

28. On information and belief, defendants Thomas and Anne Daidone are residents of Bergen County, New Jersey. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 19.

29. On information and belief, defendant Benedetto Dalia is a resident of Dutchess County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 20-21.

30. On information and belief, defendant Sabrina Dalia is a resident of Hartford County, Connecticut. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 22.

31. On information and belief, defendants Gregory and Silvia Davis are residents of Dutchess County, New York. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 23.

32. On information and belief, defendant Michael DeYoung is a resident of Broward County, Florida. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 24.

33. On information and belief, defendant Divergent Investments, LLC is a Maryland limited liability company with its principal place of business located in Baltimore

County, Maryland. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 25.

34. On information and belief, defendant Betsy Doolin is a resident of Putnam County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 26.

35. On information and belief, defendant Mariana Duenas is a resident of Westchester County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 27.

36. On information and belief, defendant Patrick Flander is a resident of Montgomery County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 28-29.

37. On information and belief, defendants Henry and Anna Fuksman are residents of Chemung County, New York. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 30.

38. On information and belief, defendant Rocco Garbellano is a resident of Dutchess County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 31-32.

39. On information and belief, defendants Robert and Loreta Giamboi are residents of Richmond County, New York. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 33.

40. On information and belief, defendant Jason Gladman is a resident of Fairfield County, Connecticut. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 34.

41. On information and belief, defendant Ethel Haley is a resident of Fairfield County, Connecticut. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 35.

42. On information and belief, defendant Elmore Runee Harris is a resident of Fairfield County, Connecticut. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 36.

43. On information and belief, defendant Anne Hennessey is a resident of Putnam County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 37.

44. On information and belief, defendant Chad Hicks is a resident of Jackson County, Illinois. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 38.

45. On information and belief, defendants Kenji and Tami Higuchi are residents of Fairfield County, Connecticut. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 39.

46. On information and belief, defendants Richard and Courtney Hubbard are residents of Bergen County, New Jersey. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 40.

47. On information and belief, defendant Charles Huckabee is a resident of Orange County, Florida. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 41.

48. On information and belief, defendant Tim Hunte DBA KATT Distribution is a resident of Lehigh County, Pennsylvania. Mr. Hunte has a second account in the name of Timothy Hunte and James Jackson. On information and belief, James Jackson is a resident of Lehigh County, Pennsylvania. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 42-43.

49. On information and belief, defendant Impulse Ventures, Inc. is a Delaware corporation with its principal place of business located in New Castle County, Delaware. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 44.

50. On information and belief, defendant Alan Johnston is a resident of Smith County, Texas. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 45.

51. On information and belief, defendants Bradley and Carrie Kantor are residents of Monroe County, Florida. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 46.

52. On information and belief, defendant Kerrigan Management, Inc. is a New York corporation with its principal place of business located in Putnam County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 47-48.

53. On information and belief, defendant Kevin Kerrigan is a resident of Putnam County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 49-50.

54. On information and belief, defendant Brenda Krown is a resident of Broward County, Florida. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 51.

55. On information and belief, defendant Tami Lacy is a resident of Greene County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 52.

56. On information and belief, defendants Joseph and Lynne LaVecchia are residents of Columbia County, New York. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 53-54.

57. On information and belief, defendant Matthew Leach is a resident of Greene County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 55-57.

58. On information and belief, defendant Life's Elements, Inc. is a New York corporation with its principal place of business located in Suffolk County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 58.

59. On information and belief, defendant David Paul Lipinczyk is a resident of Monroe County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 59-60.

60. On information and belief, defendant Piotr Luda is a resident of Collin County, Texas. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 61.

61. On information and belief, defendant Wayne Lynch is a resident of Montgomery County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 62.

62. On information and belief, defendant Shawn Marshall is a resident of Nassau County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 63.

63. On information and belief, defendant Joseph Martini, Jr. is a resident of Westchester County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 64.

64. On information and belief, defendant Joseph Martini is a resident of Westchester County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 65.

65. On information and belief, defendant Kathryn McClare is a resident of Monroe County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 66.

66. On information and belief, defendant Mary McClare is a resident of Monroe County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 67.

67. On information and belief, defendant Elizabeth McMahon is a resident of Alameda County, California. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 68.

68. On information and belief, defendants Stephen and Jean Monahan are residents of Sarasota County, Florida. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 69.

69. On information and belief, defendant Frank Nagel is a resident of Putnam County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 70.

70. On information and belief, defendant Nick Patterson is a resident of Wayne County, Pennsylvania. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 71.

71. On information and belief, defendant Vince Petralis, Sr. is a resident of Monroe County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 72-73.

72. On information and belief, defendant Vince Petralis is a resident of Monroe County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 74.

73. On information and belief, defendant Anthony Procopio is a resident of Fairfield County, Connecticut. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 75.

74. On information and belief, defendant Jerry Puccio is a resident of Bergen County, New Jersey. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 76.

75. On information and belief, defendant Jay Renner is a resident of Delaware County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 77.

76. On information and belief, defendant Michael Rubel is a resident of Richmond County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 78.

77. On information and belief, defendant Margaret Sims is a resident of Fairfield County, Connecticut. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 79.

78. On information and belief, defendants Allen and Loreen Steinfeld are residents of Sarasota County, Florida. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 80.

79. On information and belief, defendant Ford Sumner is a resident of Wellington, New Zealand. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 81.

80. On information and belief, defendants Edgar and Donna Uhler are residents of Pike County, Pennsylvania. The transfers the Receiver seeks to recover from these defendants are set forth in Exhibit A at 82.

81. On information and belief, defendant Carmine Vona is a resident of Dutchess County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 83.

82. On information and belief, defendant David Wilkerson is a resident of Monroe County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 84.

83. On information and belief, defendant Stefania Wood is a resident of Dutchess County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 85.

84. On information and belief, defendant Zhuo Xu is a resident of Queens County, New York. The transfers the Receiver seeks to recover from this defendant are set forth in Exhibit A at 86.

85. The Court has subject matter jurisdiction over this case pursuant to 7 U.S.C. § 13a-1, 28 U.S.C. § 754, and principles of ancillary or supplemental jurisdiction under 28 U.S.C. § 1367. The Receiver brings this complaint to accomplish the objectives of the CFTC Action and Consolidated Order and its predecessors, and thus this matter is ancillary to the Receivership Court's exclusive jurisdiction over the receivership estate.

86. Venue in this District and Division is proper under 28 U.S.C. § 754, as this proceeding is related to the CFTC Action pending in this District, and the Receiver was appointed in this District.

OTHER PARTIES AND RELATED INDIVIDUALS AND ENTITIES

87. Burton W. Wiand is the duly appointed and acting Receiver for the Oasis Entities and other Receivership Defendants. He is a citizen of Florida and a resident of Pinellas County, Florida.

88. Oasis International Group, Limited is a corporation formed in the Cayman Islands by DaCorta, Anile, and Montie, who were OIG's only members – *i.e.*, owners. As further explained below, they also served on OIG's board of directors and operated OIG from its office at 444 Gulf of Mexico Drive, Longboat Key, Florida, which was purchased entirely with money they misappropriated from investors. OIG acted as a commodity pool operator by soliciting, receiving, and accepting funds purportedly for trading by a related company: first, Oasis Global FX, Limited and then Oasis Global FX, SA – *i.e.*, the “**Oasis Pools**.” These companies were registered in New Zealand and Belize, respectively, and were purportedly introducing brokers that would trade currencies or currency-related contracts. In truth, very little trading occurred, and almost all money allocated for that purpose was lost. OIG was not registered with the Commission in any capacity.

89. OIG is a creditor of, at minimum, the Insiders under pertinent fraudulent transfer law. The Consolidated Order and its predecessors transferred control of OIG to the Receiver, who has also executed documents to convey ownership from DaCorta, Anile, and Montie. As such, the Receiver now controls OIG, which has been cleansed of its former owners' wrongdoing and is thus entitled to the return of fraudulently transferred funds.

90. Oasis Management, LLC is a Wyoming limited liability corporation formed in November 2011. DaCorta controlled Oasis Management and its bank accounts. Oasis Management acted as a commodity pool operator for the Oasis Pools by accepting and

receiving funds from pool participants. As set forth in Exhibit A, many of the fraudulent transfers the Receiver seeks to recover were made from Oasis Management's bank accounts. Oasis Management was not registered with the Commission in any capacity.

91. Oasis Management is a creditor of, at minimum, the Insiders under pertinent fraudulent transfer law. The Consolidated Order and its predecessors transferred control of Oasis Management to the Receiver from DaCorta. As such, the Receiver now controls Oasis Management, which under pertinent law, has been cleansed of DaCorta's wrongdoing and is thus entitled to the return of fraudulently transferred funds.

92. Michael J. DaCorta was a resident of Lakewood Ranch, Florida (where he lived in a lavish home purchased entirely with investor funds). In 2006, DaCorta was listed with the National Futures Association ("NFA") as a principal and registered with the Commission as an associated person of a registered commodity trading advisor, but he withdrew his listing and registration as part of a 2010 settlement with the NFA. He was also permanently banned from registering with the Commission in any capacity.

93. On January 7, 2010, DaCorta filed a Chapter 7 petition in the United States Bankruptcy Court for the Southern District of New York. He listed almost \$600,000 in debt, including delinquent credit card payments and unpaid property taxes. He also disclosed ownership of two businesses – Strata Capital, Inc. and DaCorta Group, Inc. d/b/a International Currency Traders, Ltd. ("ICT") – both of which he valued at only \$1.00. Prior to DaCorta's bankruptcy, ICT failed, and its trading accounts were terminated, causing massive losses for its customers. On April 8, 2010, a lawsuit was filed against DaCorta and

International Currency Traders, Ltd., which was addressed through his bankruptcy proceeding. *See Giudice v. DaCorta, et al.*, Case No. 1:10-cv-03028-VM (S.D.N.Y. 2010).

94. Finally, on April 9, 2014 (years after he began this scheme), a foreclosure action was filed against DaCorta with respect to property he owned in New York. *See Goshen Mortgage LLC v. DaCorta et al.*, Case No. 03-2014-50105 (N.Y. Sup. Ct. 2014). All or almost all of this information was available to the public and thus to DaCorta's business partners.

95. Nevertheless, DaCorta co-founded OIG with Anile and Montie in 2013. At all relevant times, he was a principal shareholder and director of OIG. He was also the chief executive officer and the chief investment officer and opened and was the sole signatory on Oasis Management's bank accounts.

96. Joseph S. Anile, II was a resident of Sarasota, Florida (where he also lived in a lavish home purchased entirely with investor funds). Anile co-founded OIG with DaCorta and Montie and was its president as well as a principal shareholder and director. Anile controlled OIG's bank accounts. Additionally, Anile opened trading accounts for the Oasis Pools. Anile assisted in facilitating real estate purchases with pool funds and making non-forex investments with pool funds. Anile has never been registered with the Commission in any capacity.

97. Raymond P. Montie, III, is a resident of Jackson, New Hampshire. Montie co-founded OIG with Anile and DaCorta and was a vice president as well as a principal shareholder and director. He was also OIG's executive director of sales. Montie has never been registered with the Commission in any capacity.

98. Satellite Holdings Company is a South Dakota corporation formed in October 2014. CFTC Defendant Haas was Satellite Holdings' director. The company acted as a commodity pool operator by soliciting, receiving, and accepting funds from pool participants for investment in the Oasis Pools. Haas assisted pool participants who wished to invest their retirement funds in the Oasis Pools. Haas has never been registered with the Commission in any capacity. Satellite Holdings is not registered with the Commission in any capacity.

99. Satellite Holdings is a creditor of, at minimum, the Insiders under pertinent fraudulent transfer law. The Consolidated Order and its predecessors transferred control of Satellite Holdings to the Receiver from Haas. As such, the Receiver now controls Satellite Holdings, which under pertinent law, has been cleansed of the Insiders' and Haas' wrongdoing and is thus entitled to the return of fraudulently transferred funds.

100. Finally, the Oasis Entities used a company called Fundadministration, Inc. and later Mainstream Fund Services, Inc. (collectively, "**Mainstream**") to, among other things, make transfers to investors. As demonstrated by Exhibit A, most investors received transfers from accounts controlled by Oasis Management, but some received transfers from accounts operated by Mainstream for the benefit of the Oasis Entities.

FACTS COMMON TO ALL CAUSES OF ACTION

101. Insiders defrauded investors through their control of the Oasis Entities. No investor in the Oasis Entities received actual profits from forex trading because there were none. All purported trading gains were fabricated and fictitious. Many investors never received any transfers from the Oasis Entities, or they received transfers in an amount that was less than the amount they invested. As such, each of those investors suffered a net loss.

102. On the other hand, some investors received transfers from the Oasis Entities of purported trading profits, principal redemptions, and/or referral fees in an amount that exceeded the amount they invested. As such, each of those investors experienced a net gain – *i.e.*, false profits. Whether characterized as interest, principal, trading gains, spread income, referral fees or any other label, all transfers to investors were funded exclusively with money stolen from other investors. As such, the Insiders operated the Oasis Entities as a classic Ponzi scheme. *See, e.g., Wiand v. Lee*, 753 F.3d 1194, 1201 (11th Cir. 2014) (“A Ponzi scheme uses the principal investments of newer investors, who are promised large returns, to pay older investors what appear to be high returns, but which are in reality a return of their own principal or that of other investors.”).

103. The Defendants were among the investors who received false profits. In other words, each Defendant received transfers in connection with the Defendant’s investment(s) in one or more of the Oasis Entities (or referral fees, if the Defendant did not make an investment and only referred others) that exceeded the amount(s) the Defendant invested. The Receiver seeks to avoid these transfers under FUFTA. In the alternative, the Receiver seeks disgorgement of the transfers pursuant to equitable claims of unjust enrichment.

A. Insiders Operated The Oasis Entities As A Common Enterprise

104. Although certain Oasis Entities had different owners, there was no meaningful distinction between them. For example, the sole purpose of Satellite Holdings was to funnel retirement money to OIG and Oasis Management. If an individual wanted to transfer retirement money from his or her IRA to the scheme, the individual would typically execute

a “promissory note” with Satellite Holdings (signed by Haas), which would immediately execute a substantively identical “promissory note” with OIG.

105. Among other things, OIG, Oasis Management, and Satellite Holdings shared the same office and employees, commingled funds, and operated under one overarching name – “Oasis.” Additionally, DaCorta and/or Anile owned and controlled OIG, Oasis Management, and the Oasis Pools. Haas owned and controlled Satellite Holdings, but also worked for OIG.

106. The Oasis Entities also operated one common website located at www.oasisinternationalgrouppltd.com.¹ According to this website, Oasis “provides an array of asset management and advisory services, including corporate finance and investment banking . . . investment sales/trading and clearing services . . . financial product development, and alternative investment products.” Investors were able to use the website to view their purported account balances. On a daily basis, those balances reflected allocations of so-called “spread” income the Ponzi perpetrators claimed to have earned through affiliates of the Oasis Entities (*i.e.*, the Oasis Pools), but in truth, any purported spread income (approximately \$40 million) was subsumed by trading losses (approximately \$60 million). The data the website made available to investors was thus false and completely fabricated.

107. The website also had a banner prominently displayed across the bottom of each page, which stated:

The services and products offered by Oasis International Group Ltd. are *not being offered* within the United States (US) and [are] not being offered to US

¹ Given the Receiver’s appointment and the collapse of the scheme, this website is no longer operational.

persons, as defined under US law. As such, should you reside in, or be a citizen, or a taxpayer of the US or any US territory, any email message received is not intended to serve as a solicitation or inducement on behalf of any of the aforementioned entities.

Despite this disclaimer, the Insiders solicited hundreds (if not thousands) of U.S. residents to invest in the Oasis Pools and accepted funds from at least 700 U.S. residents.

108. OIG, Oasis Management, and Satellite Holdings had no policies, procedures or financial controls, did not keep regular or accurate books and records, and did not prepare regular or accurate financial or pool performance statements.

B. The Insiders Operated The Oasis Entities As A Ponzi Scheme

109. From as early as 2011 through April 2019, the Insiders and others raised millions of dollars from approximately 700 investors on behalf of one or more of the Oasis Entities through the offer and sale of securities in the form of “partnership interests” and later “promissory notes” as part of a single, continuous Ponzi scheme (the “**scheme**”).

110. In relevant part, the Insiders and others represented to investors and potential investors that their money would be used to trade forex contracts and to generate “spread income” by matching trades. Insiders and others fraudulently guaranteed investors that the Oasis Pools would earn substantial income and, in fact, could not lose money using this purported strategy. More specifically, the Insiders and other CFTC Defendants made material misrepresentations to investors, including that (a) all investor funds would be traded in forex; (b) investors would receive a minimum guaranteed annual return of 12%; (c) the Oasis Pools were always profitable, had made returns of approximately 22% in 2017 and approximately 21% in 2018; (d) the Oasis Pools never lost money; (e) returns were from profitable trading; (f) the Oasis Pools were “no risk” investments; (g) investors would receive

additional returns by referring other investors; and (h) investments were secured by \$15-\$16 million in real estate owned by OIG. On information and belief, investors transferred money to the Oasis Entities based on those representations.

111. The representations, however, were patently false, and in fact (a) tens of millions of dollars raised were used for Ponzi payments and unauthorized personal and business expenses; (b) investor returns were completely fraudulent and funded by Ponzi payments of new investor money repaying older investors; (c) the Oasis Pools were never profitable and had large negative returns in 2017 and 2018; (d) the Oasis Pools always lost money, including purported spread income; (e) returns were not from profitable trading, but were, again, Ponzi payments of new investor money repaying older investors; (f) the Oasis Pools were high risk investments that had a leverage ratio of 100:1; (g) investors' referral fees were, again, Ponzi payments of new investor money paying older investors; and (h) investments were not secured by \$15-\$16 million in real estate owned by OIG.

112. In truth, the Oasis Entities derived their assets from investors' principal investments, which were pooled and commingled in common accounts, including a single trading account. Specifically, the Receiver's forensic accountants have conducted a preliminary analysis of the principal bank account (0764 – the “**Account**”) through which the Insiders (via the Oasis Entities and their fund administrator) conducted transactions worth tens of millions of dollars in connection with the scheme. According to that preliminary analysis:

- the sole source of inflows to the Account appears to have been money, directly or indirectly, from defrauded investors;

- the Insiders (acting through Oasis Entities and their fund administrator) transferred more than \$18 million from the Account (and approximately only \$21.4 million in total) to ATC Brokers Ltd. (“**ATC**”) – a company based in the United Kingdom through which fraudulent and unprofitable trading occurred;
- ATC never transferred any money back to the Account, which is reflected in both the fund administrator’s and ATC’s records – in other words, there were no profits;
- nevertheless, the Insiders and their fund administrator transferred millions of dollars from the Account to the CFTC Defendants and other wrongdoers;
- the Insiders and their fund administrator also transferred millions of dollars from the Account to CFTC Relief Defendants and others to buy real estate (in which certain CFTC Defendants resided at the investors’ expense) and gold and silver, which transactions were inconsistent with OIG’s stated purpose; and finally
- the Insiders and their fund administrator transferred millions of dollars to investors from the Account, including the Defendants here, despite the lack of any trading profits from ATC.

In other words, the Insiders and their fund administrator used investor money to make payments to other investors without ever processing any actual trading profits. Again, that is the definition of a Ponzi scheme.

113. An examination of daily records further illustrates the scheme. For example, On January 7, 2019 (only weeks before the CFTC terminated this fraud), the opening balance of OIG “Account 8346” was \$5,228,038.91. (In comparison, OIG owed investors more than \$100 million, according to its records.) Mainstream received a \$1 million wire from two investors (who, according to the Receiver’s records, lost approximately \$942,000 in the scheme) and immediately used that money (and more) to make 52 transfers to other

investors, sales agents, and defendants.² After these transfers, the balance of Account 8346 was \$4,971,382.51. *See, e.g., Exhibit B.* New investors were lured into the scheme, and the Insiders and their fund administrator immediately transferred their money to prior investors, sales agents, and associated wrongdoers. The balance of Account 8346 at the end of January 7, 2019 was lower than the balance at the beginning of that day, and this pattern repeated itself until the CFTC terminated the fraud.

114. The Oasis Entities' investment returns and performance as represented to investors and potential investors from the inception of the scheme were false and were based on grossly overstated performance numbers created by the Insiders. The true results of the trading activity that occurred were never reported to investors or potential investors.

115. The Insiders caused the Oasis Entities to pay millions of dollars in fees and similar compensation. Because those fees were based on fabricated returns, the Insiders improperly and wrongfully diverted money from the Oasis Entities.

116. Aside from paying fees, the Insiders caused the Oasis Entities to make transfers to investors that the investment performance of the Oasis Entities never supported. Through those transfers, the Insiders improperly and wrongfully diverted money from the Oasis Entities.

117. Similarly, following requests from investors for redemptions of their principal investments, the Insiders intentionally and wrongfully caused the Oasis Entities to pay relevant investors sums of money that were equivalent to all or part of the principal invested by those investors.

² Certain Defendants in Exhibit A were among the transferees.

118. For investors who did not request distributions, fictitious trading and investment profits were “credited” to the investors’ purported accounts with the Oasis Entities. These fictitious profits were likewise unsupported by the Oasis Entities’ investment performance and only served to further increase the Oasis Entities’ insolvency.

119. These (and all other) transfers that the Insiders caused the Oasis Entities and their fund administrator to make to investors were paid from the fruits of the scheme. Specifically, they were paid almost exclusively from: (1) principal investment money from new investors; (2) existing investors’ principal investment money; and (3) additional principal investment money from existing investors.

120. These distributions were not distributions of actual trading gains or of the recipients’ principal investments. Indeed, there were no actual trading gains. All of the money transferred to ATC (which was only a fraction of the money raised) was lost with the exception of approximately \$2 million that was frozen and seized by the Department of Justice in cooperation with the United Kingdom’s National Crime Agency.

121. Because the “account statements” and investor website did not reflect the true nature of the Insider’s and the Oasis Entities’ activities, by intentionally and wrongfully causing the Oasis Entities to pay those amounts to investors, the Insiders improperly diverted assets of the Oasis Entities to both perpetrate and perpetuate the scheme.

122. The investors relied upon the fictitious and overstated trading gains purportedly achieved by the Insiders and the purported payment of principal redemptions upon request to make additional investments with the Insiders and the Oasis Entities and to refer friends, family, and business colleagues to do the same.

123. The principal investment money from new investors, the existing investors' principal investment money, and the existing investors' additional principal investment money should have been used for the stated purpose of the Oasis Entities' business, which was to conduct profitable forex trading.

124. The Oasis Entities were harmed by this unauthorized course of conduct, which was effectuated by the Insiders through the Oasis Entities in furtherance of the scheme. This conduct dissipated assets of the Oasis Entities.

125. The negative cash flow of the Oasis Entities made the eventual collapse of the scheme inevitable.

C. Insider Anile's Guilty Plea and Insider DaCorta's Indictment

126. On August 8, 2019, defendant Anile pled guilty to three counts involving the scheme – (1) conspiracy to commit wire and mail fraud; (2) engaging in an illegal monetary transaction; and (3) filing a false income tax return. *See United States of America v. Joseph S. Anile, II*, Case No. 8:19-cr-334-T-35CPT (M.D. Fla.) (the “**Anile Criminal Action**” or “**ACA**”). A copy of Anile's plea agreement is attached as **Exhibit C**, which contains the following admissions:

From at least as early as November 2011, through and including at least April 18, 2019, in the Middle District of Florida, the defendant, Joseph S. Anile, II, conspired with others to commit wire fraud and mail fraud. The defendant and coconspirators made false and fraudulent representations to victim-investors and potential investors to persuade them to transmit their funds, via wire and mail, to entities and accounts controlled by conspirators to be traded in the foreign exchange market (“FOREX”). In fact, the defendant and coconspirators used only a portion of the victim-investors' funds for FOREX trading, and the trading resulted in losses which conspirators concealed. **They used the balance of the victim-investors' funds to make Ponzi-style payments, to perpetuate the scheme**, and for their own personal enrichment....

In soliciting investments, the defendant and coconspirators made multiple false and fraudulent representations and material omissions in their communications to victim-investors and potential investors. In particular, they promoted one of the conspirators as an experienced FOREX trader with a record of success, but concealed the fact that he had been permanently banned from registering with the CFTC and was prohibited from soliciting U.S. residents to trade in FOREX and from trading FOREX for U.S. residents in any capacity. They also fraudulently represented that: (a) conspirators did not charge any fees or commissions; (b) investors were guaranteed a minimum 12 percent per year return on their investments; (c) conspirators had never had a month when they had lost money on FOREX trades; (d) interest and principal payments made to investors were funded by profitable FOREX trading; (e) conspirators owned other assets sufficient to repay investors' principal investments; and (f) an investment with conspirators was safe and without risk.

Ex. C at 26-28 (emphasis added). Anile's guilty plea has been accepted, and he is currently awaiting sentencing.

127. On December 17, 2019, a federal grand jury returned a two-count indictment against defendant DaCorta, alleging conspiracy to commit wire and mail fraud as well as engaging in an illegal monetary transaction. *See United States of America v. Michael J. DaCorta*, Case No. 8:19-cr-605-T-02CPT (M.D. Fla.) (the "**DaCorta Criminal Action**" or "**DCA**"). A copy of the indictment is attached as **Exhibit D**. According to the grand jury, as early as November 2011, DaCorta entered into a conspiracy to defraud investors by making numerous fraudulent representations. *See DCA Doc. 1 ¶ 14b.-d.*

It was a further part of the conspiracy that conspirators would and did use funds "loaned" by victim-investors to: (i) conduct trades, via an offshore broker, in the FOREX market, which trades resulted in catastrophic losses; (ii) make Ponzi-style payments to victim-investors; (iii) pay expenses associated with perpetuating the scheme; and (iv) purchase million-dollar residential properties, high-end vehicles, gold, silver, and other liquid assets, to fund a lavish lifestyle for conspirators, their family members and friends, and otherwise for their personal enrichment.

Id. at ¶ 14k (emphasis added). As of this filing, DaCorta is awaiting trial. The government has not yet indicted CFTC Defendants Montie, Hass, or Duran, but its investigation remains open and ongoing.

D. Transfers To The Defendants

128. The Defendants were generally investors in one or more of the Oasis Entities and received purported trading gains and/or purported principal redemptions in an amount which exceeded each Defendant's principal investment. Some Defendants did not invest in the scheme; rather, they were paid fees for referring investors, and those payments are also recoverable as fraudulent transfers. While the Defendants and other investors received false profits, all but a few of the rest of the investors in the Oasis Entities lost money.

129. Specifically, as detailed in Exhibit A attached hereto and incorporated herein, based on the records reviewed by the Receiver as of the filing of this complaint, between 2012 and 2018, the Insiders caused one or more of the Oasis Entities and their fund administrator to pay purported trading gains and/or purported principal redemptions to each Defendant. These transfers are itemized in Exhibit A, which details the date and amount of each such transfer and the bank account from which the transfer was paid.

130. Further, as detailed in Exhibit A, based on the records reviewed by the Receiver as of the filing of this complaint, between 2012 and 2019, most Defendants invested an amount in one or more Oasis Entities. Those investments are itemized in Exhibit A, which details the date and amount of each such deposit and the bank account to which each deposit was made.

131. Also as detailed on Exhibit A, in light of those deposits and transfers, the distributions to each Defendant exceeded the Defendant's deposits. The amount of that excess represents the Defendant's false profits or otherwise avoidable transfers, which is itemized on Exhibit A. Each Defendant's false profits or otherwise avoidable transfers (whatever the final amount is determined to be following discovery) is the amounts the Receiver seeks to recover in this suit.

132. To allow the Defendants to keep their false profits would be inequitable and unjust, including to the investors in the Oasis Entities as a whole.

133. All money the Insiders wrongfully caused the Oasis Entities to transfer or pay to the Defendants was diverted and misappropriated by the Insiders in furtherance of the scheme. Thus, all the money transferred or paid to the Defendants were improperly diverted assets of one or more of the Oasis Entities.

COUNT I

Florida Statutes § 726: Uniform Fraudulent Transfer Act False Profits

134. The Receiver re-alleges each and every allegation contained in Paragraphs 1 through 133.

135. Because the Insiders intentionally and wrongfully caused the transfer to the Defendants of investors' commingled principal investment money in an amount equivalent to each Defendant's false profits from one or more of the Oasis Entities as identified in Exhibit A under the circumstances alleged in this complaint, the Oasis Entities, through the Receiver, have a right to repayment of at least that amount from each Defendant.

136. In light of this right to repayment (and independently because the Insiders' conduct alleged in this complaint with respect to the Oasis Entities amounted to embezzlement, breach of fiduciary duty, breach of contract, fraud, and/or other violations of law), the Oasis Entities have a claim against the Insiders and consequently are creditors of the Insiders under FUFTA. Accordingly, the Insiders are debtors under that act.

137. The transfers of false profits that the Insiders caused the Oasis Entities to make to each Defendant were inherently fraudulent because the transfers were made as part of the scheme.

138. Those transfers were fraudulent under Florida Statutes § 726.105(1)(a) because the Insiders caused Oasis Entities to make the transfers with actual intent to hinder, delay, or defraud creditors of the Insiders and/or the Oasis Entities.

139. Those transfers also were fraudulent under Florida Statutes § 726.105(1)(b) because: (a) the Insiders caused Oasis Entities to make those transfers; and (b)(i) the Insiders and the Oasis Entities were engaged or were about to engage in a business or transaction for which their remaining assets were unreasonably small in relation to the business or transaction; or (ii) the Insiders intended that they and/or the Oasis Entities incur, or believed or reasonably should have believed they would incur, debts beyond their ability to pay as they became due.

140. Those transfers also were fraudulent under Florida Statutes § 726.106(1) because neither the Insiders nor the Oasis Entities received a reasonably equivalent value in exchange for those transfers to each Defendant, and the Insiders and the Oasis Entities were insolvent at all relevant times.

141. On behalf of the Oasis Entities from which money was transferred to each Defendant as identified in Exhibit A, the Receiver is entitled to avoid and recover transfers equal to the amount of false profits that the Insiders caused those Oasis Entities to make to each Defendant (and to any other pertinent remedy, including those available under Florida Statutes § 726.108).

142. On behalf of the other Oasis Entities, the Receiver is entitled to avoid and recover those transfers because (i) money was commingled among the Oasis Entities and (ii) the Insiders used the Oasis Entities as a single, continuous scheme.

WHEREFORE, the Receiver asks this Court to enter judgment against each Defendant avoiding transfers from the Oasis Entities in the amount of each Defendant's false profits, together with interest and costs, and for such other and further relief as the Court may deem just and proper.

COUNT II
Unjust Enrichment
False Profits

143. The Receiver re-alleges each and every allegation contained in Paragraphs 1 through 133.

144. This unjust enrichment claim is asserted in the alternative, in the event the statutory remedy asserted in Count I does not provide an adequate remedy at law.

145. Each Defendant received a benefit when during the course of the scheme, the Insiders wrongfully caused Oasis Entities to transfer money to each Defendant in an amount equal to each Defendant's false profits.

146. Each Defendant knowingly and voluntarily accepted and retained a benefit in the form of those false profits.

147. The circumstances alleged in this complaint render each Defendant's retention of that benefit inequitable and unjust, including to the investors of the Oasis Entities as a whole, so each Defendant must pay the Receiver, acting on behalf of the Oasis Entities, the value of the benefit received.

148. Each Defendant has been unjustly enriched at the expense of the Oasis Entities (and, ultimately, their investors) in the amount of each Defendant's false profits, and the Oasis Entities, through the Receiver, are entitled to judgments in the amount of those false profits.

149. The Receiver, on behalf of the Oasis Entities, is entitled to the return of that money through disgorgement or any other applicable remedy.

WHEREFORE, the Receiver asks this Court to enter judgment against each Defendant in the amount of each Defendant's false profits, together with interest and costs, and for such other and further relief as the Court may deem just and proper.

Dated: April 14, 2020

Respectfully submitted,

s/ **Jared J. Perez**

Jared J. Perez, FBN 0085192

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Larry Dougherty, FBN 0068637

ldougherty@wiandlaw.com

WIAND GUERRA KING P.A.

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Tampa, Florida 33609

Tel.: (813) 347-5100

Fax: (813) 347-5198

Counsel for Burton W. Wiand, Receiver

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS

Burton W. Wiand, not individually, but solely in his capacity as Receiver

(b) County of Residence of First Listed Plaintiff _____
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)

Jared J. Perez and Larry Dougherty, Wiand Guerra King P.A.
5505 W Gray Street, Tampa, FL 33609 (813) 347-5100**DEFENDANTS**

See Exhibit A

County of Residence of First Listed Defendant _____
(IN U.S. PLAINTIFF CASES ONLY)NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF
THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff
- ☒ 3 Federal Question
(U.S. Government Not a Party)
- ☐ 2 U.S. Government Defendant
- ☐ 4 Diversity
(Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- | | PTF | DEF | | PTF | DEF |
|---|----------------------------|----------------------------|---|----------------------------|----------------------------|
| Citizen of This State | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

IV. NATURE OF SUIT (Place an "X" in One Box Only)

Click here for: Nature of Suit Code Descriptions.

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	PERSONAL INJURY <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other LABOR <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act IMMIGRATION <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 835 Patent - Abbreviated New Drug Application <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 376 Qui Tam (31 USC 3729(a)) <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit (15 USC 1681 or 1692) <input type="checkbox"/> 485 Telephone Consumer Protection Act <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input checked="" type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes
REAL PROPERTY <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	CIVIL RIGHTS <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 448 Education	PRISONER PETITIONS Habeas Corpus: <input type="checkbox"/> 463 Alien Detainee <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty Other: <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement			

V. ORIGIN (Place an "X" in One Box Only)

- ☒ 1 Original Proceeding
- ☐ 2 Removed from State Court
- ☐ 3 Remanded from Appellate Court
- ☐ 4 Reinstated or Reopened
- ☐ 5 Transferred from Another District (specify)
- ☐ 6 Multidistrict Litigation - Transfer
- ☐ 8 Multidistrict Litigation - Direct File

VI. CAUSE OF ACTIONCite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):
28 U.S.C. § 754Brief description of cause:
Ch 726 Fraudulent Transfers**VII. REQUESTED IN COMPLAINT:**☐ CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P.

DEMAND \$

CHECK YES only if demanded in complaint:

JURY DEMAND: ☐ Yes ☒ No**VIII. RELATED CASE(S) IF ANY**

(See instructions):

JUDGE Virginia M. Hernandez-Covington

DOCKET NUMBER 19-cv-00886-VMC-SPF

DATE 4/14/20

SIGNATURE OF ATTORNEY OF RECORD

FOR OFFICE USE ONLY

RECEIPT # _____ AMOUNT _____ APPLYING IFP _____ JUDGE _____ MAG. JUDGE _____

EXHIBIT A

Defendants Chris and Shelley Arduini, Residents of Montgomery County, New York

Defendants Scott and Tracy Arnold, Residents of Ulster County, New York

Defendant Offer Attia, Resident of Westchester County, New York

Defendant John Bacon, Resident of Dutchess County, New York

Defendants Steven and Margaret Barrie, Residents of Wanaka, New Zealand

Defendant Sherry Barry, Resident of Warren County, New York

Defendant Ann Barton, Resident of Dutchess County, New York

Defendant Todd Berry, Resident of Dutchess County, New York

Defendant Black Dragon Capital, LLC, a New York Limited Liability Company with its Principal Place of Business Located in Richmond County, New York

Defendants Maria and Louis Cardiello, Residents of Putnam County, New York

Defendants Michael and Lesley Chambless, Residents of Dallas County, Texas

Defendant Mary Charuk, Resident of Broome County, New York

Defendants Ron and Kim Clark, Residents of New Castle County, Delaware

Defendant Commonwealth Network Marketing Corp, a New York Corporation with its Principal Place of Business Located in Nassau County, New York

Defendant Gregory Corcoran, Resident of Sussex County, New Jersey

Defendant Crichlow Computer Concepts, a New York Corporation with its Principal Place of Business Located in Kings County, New York

Defendant Kayla Crowley, Resident of Wayne County, Pennsylvania

Defendants Joseph and Cushaun Charles, Residents of Richmond County, New York

Defendants Thomas and Anne Daidone, Residents of Bergen County, New Jersey

Defendant Benedetto Dalia, Resident of Dutchess County, New York

Defendant Sabrina Dalia, Resident of Hartford County, Connecticut

Defendants Gregory and Silvia Davis, Residents of Dutchess County, New York

Defendant Michael DeYoung, Resident of Broward County, Florida

Defendant Divergent Investments, LLC, a Maryland Limited Liability Company with its Principal Place of Business Located in Baltimore County, Maryland

Defendant Betsy Doolin, Resident of Putnam County, New York

Defendant Mariana Duenas, Resident of Westchester County, New York

Defendant Patrick Flander, Resident of Montgomery County, New York

Defendants Henry and Anna Fuksman, Residents of Chemung County, New York

Defendant Rocco Garbellano, Resident of Dutchess County, New York

Defendants Robert and Loreta Giamboi, Residents of Richmond County, New York

Defendant Jason Gladman, Resident of Fairfield County, Connecticut

Defendant Ethel Haley, Resident of Fairfield County, Connecticut

Defendant Elmore Runee Harris, Resident of Fairfield County, Connecticut

Defendant Anne Hennessey, Resident of Putnam County, New York

Defendant Chad Hicks, Resident of Jackson County, Illinois

Defendants Kenji and Tami Higuchi, Residents of Fairfield County, Connecticut

Defendants Richard and Courtney Hubbard, Residents of Bergen County, New Jersey

Defendant Charles Huckabee, Resident of Orange County, Florida

Defendant Tim Hunte DBA KATT Distribution, Resident of Lehigh County, Pennsylvania

Defendant Timothy Hunte, Resident of Lehigh County, Pennsylvania

Defendant James Jackson, Resident of Lehigh County, Pennsylvania

Defendant Impulse Ventures, Inc., a Delaware Corporation With its Principal Place of Business Located in New Castle County, Delaware

Defendant Alan Johnston, Resident of Smith County, Texas

Defendants Bradley and Carrie Kantor, Residents of Monroe County, Florida

Defendant Kevin Kerrigan, Resident of Putnam County, New York

Defendant Kerrigan Management, Inc., a New York Corporation With its Principal Place of Business Located in Putnam County, New York

Defendant Brenda Krown, Resident of Broward County, Florida

Defendant Tami Lacy, Resident of Greene County, New York

Defendants Joseph and Lynne LaVecchia, Residents of Columbia County, New York

Defendant Matthew Leach, Resident of Greene County, New York

Defendant Life's Elements, Inc., a New York Corporation With its Principal Place of Business Located in Suffolk County, New York

Defendant David Paul Lipinczyk, Resident of Monroe County, New York

Defendant Piotr Luda, Resident of Collin County, Texas

Defendant Wayne Lynch, Resident of Montgomery County, New York

Defendant Shawn Marshall, Resident of Nassau County, New York

Defendant Joseph Martini, Resident of Westchester County, New York

Defendant Joseph Martini, Jr., Resident of Westchester County, New York

Defendant Kathryn McClare, Resident of Monroe County, New York

Defendant Mary McClare, Resident of Monroe County, New York

Defendant Elizabeth McMahon, Resident of Alameda County, California

Defendants Stephen and Jean Monahan, Residents of Sarasota County, Florida

Defendant Frank Nagel, Resident of Putnam County, New York

Defendant Nick Patterson, Resident of Wayne County, Pennsylvania

Defendant Vince Petralis, Resident of Monroe County, New York

Defendant Vince Petralis, Sr., Resident of Monroe County, New York

Defendant Anthony Procopio, Resident of Fairfield County, Connecticut

Defendant Jerry Puccio, Resident of Bergen County, New Jersey

Defendant Jay Renner, Resident of Delaware County, New York

Defendant Michael Rubel, Resident of Richmond County, New York

Defendant Margaret Sims, Resident of Fairfield County, Connecticut

Defendants Allen and Loreen Steinfeld, Residents of Sarasota County, Florida

Defendant Ford Sumner, Resident of Wellington, New Zealand

Defendants Edgar and Donna Uhler, Residents of Pike County, Pennsylvania

Defendant Carmine Vona, Resident of Dutchess County, New York

Defendant David Wilkerson, Resident of Monroe County, New York

Defendant Stefania Wood, Resident of Dutchess County, New York

Defendant Zhuo Xu, Resident of Queens County, New York

EXHIBIT A

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Chris and Shelley Arduini Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/01/12	WF-9302	Oasis Management, LLC	\$ 20,000.00	\$ -
05/29/12	WF-9302	Oasis Management, LLC	-	1,771.12
07/06/12	WF-9302	Oasis Management, LLC	-	2,086.27
07/09/12	WF-9302	Oasis Management, LLC	30,000.00	-
07/10/14	WF-9302	Oasis Management, LLC	-	25,000.00
08/13/15	WF-9302	Oasis Management, LLC	-	20,000.00
05/11/16	WF-9302	Oasis Management, LLC	-	5,000.00
09/13/16	WF-9302	Oasis Management, LLC	-	10,159.07
07/11/17	WF-9302	Oasis Management, LLC	-	10,000.00
09/18/18	WF-9302	Oasis Management, LLC	-	2,431.99
Total			50,000.00	76,448.45
False Profits				\$ (26,448.45)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Scott and Tracy Arnold Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/21/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 1,512.33
04/16/14	BOA-8346	Fundadminstration Inc	-	1,479.45
07/21/14	BOA-8346	Fundadminstration Inc	-	1,495.89
10/20/14	BOA-8346	Fundadminstration Inc	-	1,512.33
01/21/15	BOA-8346	Fundadminstration Inc	-	1,512.33
04/20/15	BOA-8346	Fundadminstration Inc	-	1,479.45
07/20/15	BOA-8346	Fundadminstration Inc	-	1,495.89
10/19/15	BOA-8346	Fundadminstration Inc	-	1,512.33
01/19/16	BOA-8346	Fundadminstration Inc	-	1,512.33
04/19/16	BOA-8346	Fundadminstration Inc	-	1,491.80
07/19/16	BOA-8346	Fundadminstration Inc	-	1,491.80
10/28/16	BOA-8346	Fundadminstration Inc	-	1,508.20
01/17/17	BOA-8346	Fundadminstration Inc	-	1,508.20
05/05/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	1,479.45
07/19/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	1,495.89
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	35,000.00
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	30,482.30
Total			-	87,969.97
False Profits				\$ (87,969.97)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Offer Attia Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/25/12	WF-9302	Oasis Management, LLC	\$ 15,000.00	\$ -
07/03/12	WF-9302	Oasis Management, LLC	25,000.00	-
07/31/12	WF-9302	Oasis Management, LLC	10,000.00	-
11/06/12	WF-9302	Oasis Management, LLC	13,000.00	-
01/04/13	WF-9302	Oasis Management, LLC	29,020.00	-
03/01/13	WF-9302	Oasis Management, LLC	-	28,009.92
05/06/13	WF-9302	Oasis Management, LLC	-	26,678.00
06/06/13	WF-9302	Oasis Management, LLC	-	25,000.00
09/13/13	WF-9302	Oasis Management, LLC	-	24,000.00
01/16/15	WF-9302	Oasis Management, LLC	-	2,579.37
Total			92,020.00	106,267.29
False Profits				\$ (14,247.29)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

John Bacon Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/30/12	WF-9302	Oasis Management, LLC	\$ 10,000.00	\$ -
03/16/12	WF-9302	Oasis Management, LLC	-	300.00
04/20/12	WF-9302	Oasis Management, LLC	-	300.00
05/08/12	WF-9302	Oasis Management, LLC	-	300.00
08/02/12	WF-9302	Oasis Management, LLC	-	900.00
11/05/12	WF-9302	Oasis Management, LLC	-	300.00
11/05/12	WF-9302	Oasis Management, LLC	-	300.00
12/18/12	WF-9302	Oasis Management, LLC	-	300.00
02/06/13	WF-9302	Oasis Management, LLC	-	300.00
02/06/13	WF-9302	Oasis Management, LLC	-	300.00
03/12/13	WF-9302	Oasis Management, LLC	-	300.00
07/22/13	WF-9302	Oasis Management, LLC	-	1,500.00
09/30/13	WF-9302	Oasis Management, LLC	-	300.00
11/25/13	WF-9302	Oasis Management, LLC	-	600.00
12/12/13	WF-9302	Oasis Management, LLC	-	300.00
05/14/14	WF-9302	Oasis Management, LLC	-	11,200.00
Total			10,000.00	17,500.00
False Profits				\$ (7,500.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Steven and Margaret Barrie Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/19/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 7,700.00
07/02/14	BOA-8346	Fundadminstration Inc	-	2,508.00
10/09/14	BOA-8346	Fundadminstration Inc	-	3,750.00
12/31/14	BOA-8346	Fundadminstration Inc	-	4,500.00
03/30/15	BOA-8346	Fundadminstration Inc	-	3,000.00
Total			-	21,458.00
False Profits				\$ (21,458.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Sherry Barry Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
02/13/12	WF-9302	Oasis Management, LLC	\$ 50,000.00	\$ -
03/30/12	WF-9302	Oasis Management, LLC	50,000.00	-
04/05/12	WF-9302	Oasis Management, LLC	-	2,591.97
05/14/12	WF-9302	Oasis Management, LLC	-	6,773.50
05/31/12	WF-9302	Oasis Management, LLC	-	8,885.58
07/06/12	WF-9302	Oasis Management, LLC	-	10,431.35
08/08/12	WF-9302	Oasis Management, LLC	-	3,885.00
09/07/12	WF-9302	Oasis Management, LLC	-	3,506.15
10/05/12	WF-9302	Oasis Management, LLC	-	11,260.00
11/06/12	WF-9302	Oasis Management, LLC	-	6,800.01
12/11/12	WF-9302	Oasis Management, LLC	-	943.50
12/14/12	WF-9302	Oasis Management, LLC	-	1,500.00
01/03/13	WF-9302	Oasis Management, LLC	-	416.42
01/07/13	WF-9302	Oasis Management, LLC	-	65,000.00
02/14/13	WF-9302	Oasis Management, LLC	-	18,500.00
02/14/13	WF-9302	Oasis Management, LLC	-	217.37
Total			100,000.00	140,710.85
False Profits				\$ (40,710.85)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Ann Barton Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
02/18/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 453.70
06/17/14	BOA-8346	Fundadminstration Inc	-	443.84
08/05/14	BOA-8346	Fundadminstration Inc	-	448.77
10/27/14	BOA-8346	Fundadminstration Inc	-	453.70
02/04/15	BOA-8346	Fundadminstration Inc	-	453.70
04/23/15	BOA-8346	Fundadminstration Inc	-	443.84
07/27/15	BOA-8346	Fundadminstration Inc	-	448.77
10/26/15	BOA-8346	Fundadminstration Inc	-	453.70
01/25/16	BOA-8346	Fundadminstration Inc	-	453.70
04/20/16	BOA-8346	Fundadminstration Inc	-	447.54
07/25/16	BOA-8346	Fundadminstration Inc	-	447.54
10/19/16	BOA-8346	Fundadminstration Inc	-	452.46
01/19/17	BOA-8346	Fundadminstration Inc	-	452.46
04/21/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	443.84
07/27/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	448.77
09/21/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	15,290.96
Total			-	22,037.29
False Profits				\$ (22,037.29)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

**Case No. 8:19-cv-00886-VMC-SPF
United States District Court
Middle District of Florida
Tampa Division**

Todd Berry Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/07/12	WF-9302	Oasis Management, LLC	\$ -	\$ 512.50
04/03/12	WF-9302	Oasis Management, LLC	-	1,037.00
05/07/12	WF-9302	Oasis Management, LLC	-	1,354.70
05/21/12	WF-9302	Oasis Management, LLC	-	200.00
06/12/12	WF-9302	Oasis Management, LLC	-	1,984.87
07/05/12	WF-9302	Oasis Management, LLC	-	2,086.27
07/09/12	WF-9302	Oasis Management, LLC	-	208.00
08/28/12	WF-9302	Oasis Management, LLC	-	1,010.10
09/11/12	WF-9302	Oasis Management, LLC	-	911.60
10/03/12	WF-9302	Oasis Management, LLC	-	327.60
10/31/12	WF-9302	Oasis Management, LLC	-	413.00
11/16/12	WF-9302	Oasis Management, LLC	-	520.00
12/07/12	WF-9302	Oasis Management, LLC	-	497.60
01/14/13	WF-9302	Oasis Management, LLC	-	209.00
01/14/13	WF-9302	Oasis Management, LLC	-	209.00
01/14/13	WF-9302	Oasis Management, LLC	-	129.66
02/08/13	WF-9302	Oasis Management, LLC	-	615.00
03/18/13	WF-9302	Oasis Management, LLC	-	564.90
04/19/13	WF-9302	Oasis Management, LLC	-	481.00
05/13/13	WF-9302	Oasis Management, LLC	-	305.50
06/07/13	WF-9302	Oasis Management, LLC	-	338.11
07/10/13	WF-9302	Oasis Management, LLC	-	273.00
08/09/13	WF-9302	Oasis Management, LLC	-	275.60
09/11/13	WF-9302	Oasis Management, LLC	-	160.36
10/03/13	WF-9302	Oasis Management, LLC	-	183.30
11/12/13	WF-9302	Oasis Management, LLC	-	126.10
01/08/14	WF-9302	Oasis Management, LLC	-	391.57
04/02/14	WF-9302	Oasis Management, LLC	-	182.68
06/16/14	WF-9302	Oasis Management, LLC	-	165.21
06/16/14	WF-9302	Oasis Management, LLC	-	71.55
Total			-	15,744.78
False Profits				\$ (15,744.78)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Black Dragon Capital Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/18/17	Citi-0764	Fundadminstration Inc F/B/O	\$ 100,000.00	\$ -
12/04/17	Citi-0764	Fundadminstration Inc F/B/O	500,000.00	-
02/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	653,429.71
Total			600,000.00	653,429.71
False Profits				\$ (53,429.71)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED, ET
AL**
Case No. 8:19-cv-00886-VMC-SPF
United States District Court
Middle District of Florida
Tampa Division

Maria and Louis Cardiello Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/31/12	WF-9302	Oasis Management, LLC	\$ 100,000.00	\$ -
07/30/12	WF-9302	Oasis Management, LLC	-	10,431.35
08/13/12	WF-9302	Oasis Management, LLC	-	3,885.00
09/11/12	WF-9302	Oasis Management, LLC	-	3,506.15
10/09/12	WF-9302	Oasis Management, LLC	-	1,260.00
11/06/12	WF-9302	Oasis Management, LLC	-	2,000.02
12/05/12	WF-9302	Oasis Management, LLC	-	1,111.00
01/04/13	WF-9302	Oasis Management, LLC	-	498.70
02/06/13	WF-9302	Oasis Management, LLC	-	1,175.00
03/07/13	WF-9302	Oasis Management, LLC	-	1,365.00
03/29/13	WF-9302	Oasis Management, LLC	-	5,000.00
04/05/13	WF-9302	Oasis Management, LLC	-	997.50
04/17/13	WF-9302	Oasis Management, LLC	-	40,000.00
05/07/13	WF-9302	Oasis Management, LLC	-	646.25
06/07/13	WF-9302	Oasis Management, LLC	-	715.23
07/11/13	WF-9302	Oasis Management, LLC	-	577.50
08/05/13	WF-9302	Oasis Management, LLC	-	15,583.00
09/16/13	WF-9302	Oasis Management, LLC	-	246.71
10/09/13	WF-9302	Oasis Management, LLC	-	282.00
11/14/13	WF-9302	Oasis Management, LLC	-	10,194.00
01/22/14	WF-9302	Oasis Management, LLC	-	10,451.81
03/14/14	WF-9302	Oasis Management, LLC	-	210.86
04/11/14	WF-9302	Oasis Management, LLC	-	10,082.58
05/19/14	WF-9302	Oasis Management, LLC	-	95.44
06/09/14	WF-9302	Oasis Management, LLC	-	38.33
07/14/14	WF-9302	Oasis Management, LLC	-	76.66
08/06/14	WF-9302	Oasis Management, LLC	-	3,000.00
11/14/14	WF-9302	Oasis Management, LLC	-	24.84
11/24/14	WF-9302	Oasis Management, LLC	-	3,053.39
02/10/15	WF-9302	Oasis Management, LLC	-	31.75
02/10/15	WF-9302	Oasis Management, LLC	-	34.80
04/03/15	WF-9302	Oasis Management, LLC	-	35.72
04/20/15	WF-9302	Oasis Management, LLC	-	76.32
05/20/15	WF-9302	Oasis Management, LLC	-	4,070.56
Total			100,000.00	130,757.47
False Profits				\$ (30,757.47)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

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Michael and Lesley Chambless Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
09/06/12	WF-9302	Oasis Management, LLC	\$ 20,000.00	\$ -
02/24/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	237,380.10	-
02/25/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	239,968.03	-
06/14/18	Citi-0764	Fundadminstration Inc F/B/O	-	350,000.00
07/10/18	Citi-0764	Fundadminstration Inc F/B/O	-	175,000.00
Total			497,348.13	525,000.00
False Profits				\$ (27,651.87)

COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED, ET
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Joseph and Cushaun Charles Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/29/12	WF-9302	Oasis Management, LLC	\$ 125,000.00	\$ -
10/10/12	WF-9302	Oasis Management, LLC	-	1,575.00
11/07/12	WF-9302	Oasis Management, LLC	-	2,500.02
12/10/12	WF-9302	Oasis Management, LLC	-	1,387.50
12/31/12	WF-9302	Oasis Management, LLC	-	623.38
02/12/13	WF-9302	Oasis Management, LLC	-	1,468.75
03/07/13	WF-9302	Oasis Management, LLC	-	1,706.25
04/01/13	WF-9302	Oasis Management, LLC	-	1,312.50
05/07/13	WF-9302	Oasis Management, LLC	-	1,468.75
06/10/13	WF-9302	Oasis Management, LLC	-	1,625.53
07/16/13	WF-9302	Oasis Management, LLC	-	1,312.50
08/06/13	WF-9302	Oasis Management, LLC	-	1,325.00
09/09/13	WF-9302	Oasis Management, LLC	-	770.96
10/10/13	WF-9302	Oasis Management, LLC	-	881.25
11/13/13	WF-9302	Oasis Management, LLC	-	606.25
02/27/14	WF-9302	Oasis Management, LLC	-	1,882.53
03/10/14	WF-9302	Oasis Management, LLC	-	1,317.41
04/08/14	WF-9302	Oasis Management, LLC	-	515.98
05/13/14	WF-9302	Oasis Management, LLC	-	1,191.43
06/09/14	WF-9302	Oasis Management, LLC	-	478.45
07/18/14	WF-9302	Oasis Management, LLC	-	956.90
10/10/14	WF-9302	Oasis Management, LLC	-	442.35
12/01/14	WF-9302	Oasis Management, LLC	-	950.58
01/14/15	WF-9302	Oasis Management, LLC	-	984.32
02/09/15	WF-9302	Oasis Management, LLC	-	1,078.96
03/31/15	WF-9302	Oasis Management, LLC	-	26,107.36
05/05/15	WF-9302	Oasis Management, LLC	-	1,897.40
07/17/15	WF-9302	Oasis Management, LLC	-	145.53
08/17/15	WF-9302	Oasis Management, LLC	-	75,000.00
08/17/15	WF-9302	Oasis Management, LLC	-	198.42
08/17/15	WF-9302	Oasis Management, LLC	-	500.00
09/10/15	WF-9302	Oasis Management, LLC	-	20,000.00
Total			125,000.00	152,211.26
False Profits				\$ (27,211.26)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

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Maria Charuk Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/13/15	WF-9302	Oasis Management, LLC	\$ -	\$ 76.17
10/20/15	WF-9302	Oasis Management, LLC	-	1,000.00
06/17/16	WF-9302	Oasis Management, LLC	-	9,648.85
Total			-	10,725.02
False Profits				\$ (10,725.02)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

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Ron and Kim Clark Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/25/12	WF-9302	Oasis Management, LLC	\$ -	\$ 213.25
05/31/12	WF-9302	Oasis Management, LLC	1,000.00	-
07/02/12	WF-9302	Oasis Management, LLC	-	667.61
08/10/12	WF-9302	Oasis Management, LLC	-	306.55
09/07/12	WF-9302	Oasis Management, LLC	-	367.20
09/07/12	WF-9302	Oasis Management, LLC	-	38.85
10/09/12	WF-9302	Oasis Management, LLC	-	161.70
11/09/12	WF-9302	Oasis Management, LLC	-	259.29
12/06/12	WF-9302	Oasis Management, LLC	-	146.25
01/07/13	WF-9302	Oasis Management, LLC	-	66.31
02/05/13	WF-9302	Oasis Management, LLC	-	241.61
03/04/13	WF-9302	Oasis Management, LLC	-	283.62
04/02/13	WF-9302	Oasis Management, LLC	-	220.83
05/06/13	WF-9302	Oasis Management, LLC	-	249.44
06/06/13	WF-9302	Oasis Management, LLC	-	344.00
07/10/13	WF-9302	Oasis Management, LLC	-	281.07
08/05/13	WF-9302	Oasis Management, LLC	-	286.48
09/10/13	WF-9302	Oasis Management, LLC	-	168.32
10/08/13	WF-9302	Oasis Management, LLC	-	193.48
11/08/13	WF-9302	Oasis Management, LLC	-	133.97
01/21/14	WF-9302	Oasis Management, LLC	-	418.14
03/07/14	WF-9302	Oasis Management, LLC	-	222.42
04/15/14	WF-9302	Oasis Management, LLC	-	85.14
04/22/14	WF-9302	Oasis Management, LLC	-	1,018.87
Total			1,000.00	6,374.40
False Profits				\$ (5,374.40)

COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED, ET AL
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Middle District of Florida
Tampa Division

Commonwealth Network Marketing Corp Transactions

Source: Bank Records

Date	Bank-ID	Bank Account Name	Receipts	Disbursements	Net Funds
06/12/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 4,875.00	\$ (4,875.00)
04/01/15	BOA-8346	Fundadminstration Inc	-	3,500.00	(3,500.00)
05/05/15	BOA-8346	Fundadminstration Inc	-	3,850.00	(3,850.00)
05/28/15	BOA-8346	Fundadminstration Inc	-	3,550.00	(3,550.00)
06/23/15	BOA-8346	Fundadminstration Inc	-	3,550.00	(3,550.00)
07/02/15	BOA-8346	Fundadminstration Inc	-	1,250.00	(1,250.00)
07/23/15	BOA-8346	Fundadminstration Inc	-	4,500.00	(4,500.00)
08/25/15	BOA-8346	Fundadminstration Inc	-	4,500.00	(4,500.00)
09/24/15	BOA-8346	Fundadminstration Inc	-	4,500.00	(4,500.00)
10/27/15	BOA-8346	Fundadminstration Inc	-	4,500.00	(4,500.00)
11/24/15	BOA-8346	Fundadminstration Inc	-	4,500.00	(4,500.00)
12/07/15	BOA-8346	Fundadminstration Inc	-	4,500.00	(4,500.00)
01/26/16	BOA-8346	Fundadminstration Inc	-	2,000.00	(2,000.00)
Total			\$ -	\$ 49,575.00	\$ (49,575.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

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Tampa Division

Gregory Corcoran Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/28/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 302.47
04/21/14	BOA-8346	Fundadminstration Inc	-	295.89
07/24/14	BOA-8346	Fundadminstration Inc	-	299.18
10/17/14	BOA-8346	Fundadminstration Inc	-	302.47
01/26/15	BOA-8346	Fundadminstration Inc	-	302.47
04/20/15	BOA-8346	Fundadminstration Inc	-	295.89
07/22/15	BOA-8346	Fundadminstration Inc	-	299.18
10/23/15	BOA-8346	Fundadminstration Inc	-	302.47
01/22/16	BOA-8346	Fundadminstration Inc	-	302.47
04/22/16	WF-9302	Oasis Management, LLC	25,000.00	-
04/22/16	BOA-8346	Fundadminstration Inc	-	298.36
07/22/16	BOA-8346	Fundadminstration Inc	-	298.36
10/21/16	BOA-8346	Fundadminstration Inc	-	301.64
01/20/17	BOA-8346	Fundadminstration Inc	-	301.64
04/26/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	295.89
07/19/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	299.18
08/15/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	10,147.95
01/09/18	WF-9302	Oasis Management, LLC	-	29,666.00
Total			25,000.00	44,311.51
False Profits				\$ (19,311.51)

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Crichlow Computer Concepts Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/30/12	WF-9302	Oasis Management, LLC	\$ -	\$ 1,794.89
07/03/12	WF-9302	Oasis Management, LLC	-	2,086.27
08/07/12	WF-9302	Oasis Management, LLC	-	784.77
09/10/12	WF-9302	Oasis Management, LLC	-	708.24
10/09/12	WF-9302	Oasis Management, LLC	-	254.52
11/06/12	WF-9302	Oasis Management, LLC	-	404.00
12/07/12	WF-9302	Oasis Management, LLC	-	224.22
01/03/13	WF-9302	Oasis Management, LLC	-	100.74
02/07/13	WF-9302	Oasis Management, LLC	-	15,237.35
03/11/13	WF-9302	Oasis Management, LLC	-	70.98
04/02/13	WF-9302	Oasis Management, LLC	-	54.60
05/28/13	WF-9302	Oasis Management, LLC	-	61.10
06/07/13	WF-9302	Oasis Management, LLC	-	67.62
07/12/13	WF-9302	Oasis Management, LLC	-	54.60
08/07/13	WF-9302	Oasis Management, LLC	-	55.12
09/09/13	WF-9302	Oasis Management, LLC	-	32.07
10/10/13	WF-9302	Oasis Management, LLC	-	36.66
10/15/13	WF-9302	Oasis Management, LLC	-	5,000.00
Total			-	27,027.75
False Profits				\$ (27,027.75)

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Kayla Crowley Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
02/08/18	WF-5347	Satellite Holdings Company	\$ 100.00	\$ -
02/12/18	WF-5347	Satellite Holdings Company	-	500.00
03/15/18	WF-5347	Satellite Holdings Company	-	385.00
04/05/18	WF-5347	Satellite Holdings Company	-	370.00
05/14/18	WF-5347	Satellite Holdings Company	-	75.00
06/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,050.00
07/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	4,760.88
08/07/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,412.98
08/14/18	WF-5347	Satellite Holdings Company	10,000.00	-
09/04/18	WF-5347	Satellite Holdings Company	-	712.35
10/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,236.29
11/05/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,500.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,450.99
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	1,492.12
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	1,700.00
Total			10,100.00	19,645.61
False Profits				\$ (9,545.61)

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Thomas and Anne Daidone Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/30/12	WF-9302	Oasis Management, LLC	\$ 10,000.00	\$ -
06/01/12	WF-9302	Oasis Management, LLC	-	1,190.67
01/11/13	WF-9302	Oasis Management, LLC	20,000.00	-
06/17/14	WF-9302	Oasis Management, LLC	-	41,648.20
Total			30,000.00	42,838.87
False Profits				\$ (12,838.87)

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Benedetto Dalia Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/19/13	WF-9302	Oasis Management, LLC	\$ -	\$ 7,000.00
03/06/14	WF-9302	Oasis Management, LLC	-	2,100.00
04/01/14	WF-9302	Oasis Management, LLC	-	2,200.00
05/08/14	WF-9302	Oasis Management, LLC	-	3,850.00
06/10/14	WF-9302	Oasis Management, LLC	-	3,800.00
07/11/14	WF-9302	Oasis Management, LLC	-	3,500.00
07/31/14	WF-9302	Oasis Management, LLC	-	3,850.00
10/01/14	WF-9302	Oasis Management, LLC	-	3,800.00
10/08/14	WF-9302	Oasis Management, LLC	-	1,500.00
01/05/15	WF-9302	Oasis Management, LLC	-	1,500.00
01/15/15	WF-9302	Oasis Management, LLC	-	10,800.00
02/17/15	WF-9302	Oasis Management, LLC	-	6,200.00
03/03/15	WF-9302	Oasis Management, LLC	-	1,950.00
03/31/15	WF-9302	Oasis Management, LLC	-	6,000.00
04/07/15	WF-9302	Oasis Management, LLC	-	1,500.00
04/09/15	WF-9302	Oasis Management, LLC	-	1,950.00
05/12/15	WF-9302	Oasis Management, LLC	-	1,950.00
05/12/15	WF-9302	Oasis Management, LLC	-	4,500.00
06/05/15	WF-9302	Oasis Management, LLC	-	1,950.00
06/22/15	WF-9302	Oasis Management, LLC	-	2,500.00
06/22/15	WF-9302	Oasis Management, LLC	-	6,200.00
07/08/15	WF-9302	Oasis Management, LLC	-	1,500.00
07/10/15	WF-9302	Oasis Management, LLC	-	1,950.00
08/03/15	WF-9302	Oasis Management, LLC	50,000.00	-
08/11/15	WF-9302	Oasis Management, LLC	-	6,950.00
09/09/15	WF-9302	Oasis Management, LLC	-	5,950.00
01/12/16	WF-9302	Oasis Management, LLC	-	1,500.00
01/12/16	WF-9302	Oasis Management, LLC	-	3,500.00
04/04/16	WF-9302	Oasis Management, LLC	-	6,000.00
04/19/16	WF-9302	Oasis Management, LLC	-	4,500.00
07/12/16	WF-9302	Oasis Management, LLC	-	11,500.00
08/22/16	WF-9302	Oasis Management, LLC	-	5,100.00
09/23/16	WF-9302	Oasis Management, LLC	-	3,000.00
10/24/16	WF-9302	Oasis Management, LLC	-	4,750.00
01/31/17	WF-9302	Oasis Management, LLC	-	14,250.00
04/07/17	WF-9302	Oasis Management, LLC	-	8,200.00

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Benedetto Dalia Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/14/17	WF-9302	Oasis Management, LLC	-	7,200.00
06/27/17	WF-9302	Oasis Management, LLC	-	14,500.00
07/13/17	WF-9302	Oasis Management, LLC	-	7,500.00
08/02/17	WF-9302	Oasis Management, LLC	20,000.00	-
09/25/17	WF-9302	Oasis Management, LLC	-	15,000.00
10/10/17	WF-9302	Oasis Management, LLC	-	8,000.00
11/13/17	WF-9302	Oasis Management, LLC	-	9,250.00
01/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	14,830.82
01/17/18	WF-9302	Oasis Management, LLC	60,000.00	-
02/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	26,168.64
02/05/18	WF-9302	Oasis Management, LLC	20,000.00	-
03/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	15,810.99
04/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	13,830.08
05/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	7,665.77
06/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,240.97
07/11/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,819.04
08/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	6,347.26
09/07/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,928.76
10/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,408.12
10/09/18	WF-9302	Oasis Management, LLC	-	3,000.00
11/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,131.38
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	12,589.16
01/07/19	WF-9302	Oasis Management, LLC	-	3,000.00
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	14,317.37
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	7,145.00
03/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
04/05/19	Citi-0764	Fundadminstration Inc F/B/O	-	21,871.22
04/09/19	WF-9302	Oasis Management, LLC	-	3,000.00
Total			150,000.00	422,804.58
False Profits				<u>\$ (272,804.58)</u>

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Sabrina Dalia Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/12/16	WF-9302	Oasis Management, LLC	\$ -	\$ 1,500.00
04/12/16	WF-9302	Oasis Management, LLC	-	1,500.00
07/12/16	WF-9302	Oasis Management, LLC	-	1,500.00
10/12/16	WF-9302	Oasis Management, LLC	-	1,500.00
01/18/17	WF-9302	Oasis Management, LLC	-	1,500.00
04/13/17	WF-9302	Oasis Management, LLC	-	1,500.00
07/13/17	WF-9302	Oasis Management, LLC	-	1,500.00
09/18/17	WF-9302	Oasis Management, LLC	10,000.00	-
10/10/17	WF-9302	Oasis Management, LLC	-	2,300.00
01/11/18	WF-9302	Oasis Management, LLC	-	2,100.00
04/11/18	WF-9302	Oasis Management, LLC	-	2,100.00
07/13/18	WF-9302	Oasis Management, LLC	-	2,100.00
10/09/18	WF-9302	Oasis Management, LLC	-	2,100.00
01/07/19	WF-9302	Oasis Management, LLC	-	2,100.00
03/14/19	WF-9302	Oasis Management, LLC	6,000.00	-
04/09/19	WF-9302	Oasis Management, LLC	-	2,280.00
Total			16,000.00	25,580.00
False Profits				\$ (9,580.00)

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Gregory and Silvia Davis Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/30/12	WF-9302	Oasis Management, LLC	\$ 5,000.00	\$ -
05/04/12	WF-9302	Oasis Management, LLC	-	338.68
05/15/12	WF-9302	Oasis Management, LLC	10,000.00	-
06/11/12	WF-9302	Oasis Management, LLC	-	1,332.84
07/06/12	WF-9302	Oasis Management, LLC	-	1,669.01
10/11/12	WF-9302	Oasis Management, LLC	-	2,000.00
01/07/13	WF-9302	Oasis Management, LLC	10,000.00	-
09/22/15	WF-9302	Oasis Management, LLC	-	10,000.00
12/21/16	WF-9302	Oasis Management, LLC	-	12,000.00
02/01/17	WF-9302	Oasis Management, LLC	-	10,000.00
03/09/17	WF-9302	Oasis Management, LLC	-	19,044.48
Total			25,000.00	56,385.01
False Profits				\$ (31,385.01)

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Michael DeYoung Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
09/16/16	N/A	Withdrawal Request	\$ -	\$ 5,600.00
08/16/17	Citi-0764	Fundadminstration Inc F/B/O	150,000.00	-
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	192,251.21
Total			150,000.00	197,851.21
False Profits				\$ (47,851.21)

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Divergent Investments LLC Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/28/17	BOA-8346	Fundadminstration Inc	\$ 100,000.00	\$ -
05/05/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	2,861.99
06/01/17	Citi-0764	Fundadminstration Inc F/B/O	-	3,002.05
07/03/17	Citi-0764	Fundadminstration Inc F/B/O	-	101,661.27
07/24/17	Citi-0764	Fundadminstration Inc F/B/O	80,000.00	-
09/08/17	Citi-0764	Fundadminstration Inc F/B/O	-	2,114.00
10/11/17	Citi-0764	Fundadminstration Inc F/B/O	-	80,987.37
02/13/18	Citi-0764	Fundadminstration Inc F/B/O	60,000.00	-
06/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	4,494.88
08/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	6,254.69
10/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	61,863.26
Total			240,000.00	263,239.51
False Profits				\$ (23,239.51)

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Betsy Doolin Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
12/30/14	WF-9302	Oasis Management, LLC	\$ 50,000.00	\$ -
04/29/15	WF-9302	Oasis Management, LLC	10,000.00	-
12/07/16	WF-9302	Oasis Management, LLC	-	8,000.00
01/11/17	WF-9302	Oasis Management, LLC	-	6,000.00
02/06/17	WF-9302	Oasis Management, LLC	-	5,000.00
03/07/17	WF-9302	Oasis Management, LLC	-	6,000.00
04/11/17	WF-9302	Oasis Management, LLC	-	4,500.00
05/08/17	WF-9302	Oasis Management, LLC	-	4,000.00
06/12/17	WF-9302	Oasis Management, LLC	-	5,400.00
07/11/17	WF-9302	Oasis Management, LLC	-	3,100.00
08/07/17	WF-9302	Oasis Management, LLC	-	4,100.00
09/11/17	WF-9302	Oasis Management, LLC	-	4,200.00
10/02/17	WF-9302	Oasis Management, LLC	-	3,100.00
11/08/17	WF-9302	Oasis Management, LLC	-	3,800.00
12/12/17	WF-9302	Oasis Management, LLC	-	3,400.00
01/02/18	WF-9302	Oasis Management, LLC	-	4,300.00
01/12/18	WF-9302	Oasis Management, LLC	-	3,200.00
03/12/18	WF-9302	Oasis Management, LLC	-	7,600.00
05/11/18	WF-9302	Oasis Management, LLC	-	2,300.00
08/10/18	WF-9302	Oasis Management, LLC	-	1,913.04
Total			60,000.00	79,913.04
False Profits				\$ (19,913.04)

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Mariana Duenas Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
12/04/12	WF-9302	Oasis Management, LLC	\$ -	\$ 4,222.00
12/31/12	WF-9302	Oasis Management, LLC	-	79.79
02/05/13	WF-9302	Oasis Management, LLC	-	3,188.00
03/04/13	WF-9302	Oasis Management, LLC	-	3,177.45
04/02/13	WF-9302	Oasis Management, LLC	-	105.00
05/07/13	WF-9302	Oasis Management, LLC	-	117.50
06/10/13	WF-9302	Oasis Management, LLC	-	130.04
07/11/13	WF-9302	Oasis Management, LLC	-	105.00
08/08/13	WF-9302	Oasis Management, LLC	-	106.00
09/23/13	WF-9302	Oasis Management, LLC	-	5,061.68
11/27/13	WF-9302	Oasis Management, LLC	-	35.25
11/27/13	WF-9302	Oasis Management, LLC	-	24.25
01/28/14	WF-9302	Oasis Management, LLC	-	75.30
02/07/14	WF-9302	Oasis Management, LLC	-	4,000.00
03/11/14	WF-9302	Oasis Management, LLC	-	10.57
05/27/14	WF-9302	Oasis Management, LLC	-	9.60
04/11/16	WF-9302	Oasis Management, LLC	-	1,174.65
Total			-	21,622.08
False Profits				\$ (21,622.08)

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Patrick Flander Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/10/12	WF-9302	Oasis Management, LLC	\$ 5,000.00	\$ -
05/17/12	WF-9302	Oasis Management, LLC	-	338.68
05/30/12	WF-9302	Oasis Management, LLC	-	941.87
05/31/12	WF-9302	Oasis Management, LLC	5,000.00	-
07/03/12	WF-9302	Oasis Management, LLC	5,000.00	-
07/20/12	WF-9302	Oasis Management, LLC	-	1,689.88
08/08/12	WF-9302	Oasis Management, LLC	-	1,056.72
09/18/12	WF-9302	Oasis Management, LLC	-	981.32
10/05/12	WF-9302	Oasis Management, LLC	-	357.24
11/06/12	WF-9302	Oasis Management, LLC	-	569.77
12/18/12	WF-9302	Oasis Management, LLC	-	318.64
01/04/13	WF-9302	Oasis Management, LLC	-	143.77
02/19/13	WF-9302	Oasis Management, LLC	-	339.40
03/05/13	WF-9302	Oasis Management, LLC	-	396.09
04/04/13	WF-9302	Oasis Management, LLC	-	306.32
05/14/13	WF-9302	Oasis Management, LLC	-	344.22
06/24/13	WF-9302	Oasis Management, LLC	-	382.75
07/11/13	WF-9302	Oasis Management, LLC	-	310.56
08/08/13	WF-9302	Oasis Management, LLC	-	314.95
09/09/13	WF-9302	Oasis Management, LLC	-	184.05
10/08/13	WF-9302	Oasis Management, LLC	-	210.91
11/12/13	WF-9302	Oasis Management, LLC	-	145.52
01/22/14	WF-9302	Oasis Management, LLC	-	452.92
03/06/14	WF-9302	Oasis Management, LLC	-	265.35
04/21/14	WF-9302	Oasis Management, LLC	-	104.29
05/30/14	WF-9302	Oasis Management, LLC	-	241.16
06/18/14	WF-9302	Oasis Management, LLC	-	97.16
07/10/14	WF-9302	Oasis Management, LLC	-	194.65
10/20/14	WF-9302	Oasis Management, LLC	-	73.80
12/03/14	WF-9302	Oasis Management, LLC	-	8,158.75
01/29/15	WF-9302	Oasis Management, LLC	-	102.25
02/11/15	WF-9302	Oasis Management, LLC	-	112.47
04/01/15	WF-9302	Oasis Management, LLC	-	115.88
04/15/15	WF-9302	Oasis Management, LLC	-	248.61
06/25/15	WF-9302	Oasis Management, LLC	-	71.21
07/15/15	WF-9302	Oasis Management, LLC	-	74.48

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Middle District of Florida

Tampa Division

Patrick Flander Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/12/15	WF-9302	Oasis Management, LLC	-	101.82
10/05/15	WF-9302	Oasis Management, LLC	-	41.40
11/16/15	WF-9302	Oasis Management, LLC	-	26.89
11/16/15	WF-9302	Oasis Management, LLC	-	73.20
12/21/15	WF-9302	Oasis Management, LLC	-	31.79
02/08/16	WF-9302	Oasis Management, LLC	-	54.68
03/01/16	WF-9302	Oasis Management, LLC	-	107.10
03/28/16	WF-9302	Oasis Management, LLC	-	139.45
05/11/16	WF-9302	Oasis Management, LLC	-	77.45
05/11/16	WF-9302	Oasis Management, LLC	-	78.46
06/10/16	WF-9302	Oasis Management, LLC	-	2,054.46
08/01/16	WF-9302	Oasis Management, LLC	-	85.90
08/16/16	WF-9302	Oasis Management, LLC	-	96.58
09/12/16	WF-9302	Oasis Management, LLC	-	64.04
10/24/16	WF-9302	Oasis Management, LLC	-	67.53
11/16/16	WF-9302	Oasis Management, LLC	-	107.46
12/12/16	WF-9302	Oasis Management, LLC	-	42.09
01/12/17	WF-9302	Oasis Management, LLC	-	49.19
02/10/17	WF-9302	Oasis Management, LLC	-	40.07
03/15/17	WF-9302	Oasis Management, LLC	-	101.42
04/12/17	WF-9302	Oasis Management, LLC	-	23.42
05/10/17	WF-9302	Oasis Management, LLC	-	2,025.62
08/14/18	WF-9302	Oasis Management, LLC	-	4,064.23
Total			15,000.00	29,199.89
False Profits				\$ (14,199.89)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Henry and Anna Fuksman Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/19/16	WF-9302	Oasis Management, LLC	\$ 10,000.00	\$ -
04/25/16	WF-9302	Oasis Management, LLC	10,000.00	-
07/10/17	WF-9302	Oasis Management, LLC	10,000.00	-
09/15/17	WF-9302	Oasis Management, LLC	30,000.00	-
03/19/18	WF-9302	Oasis Management, LLC	26,753.00	-
06/18/18	WF-9302	Oasis Management, LLC	7,789.00	-
06/29/18	Citi-0764	Fundadminstration Inc F/B/O	10,000.00	-
01/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	128,480.69
Total			104,542.00	128,480.69
False Profits				\$ (23,938.69)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Rocco Garbellano Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/02/12	WF-9302	Oasis Management, LLC	\$ -	\$ 500.00
04/04/12	WF-9302	Oasis Management, LLC	-	700.00
04/19/12	WF-9302	Oasis Management, LLC	-	1,000.00
05/04/12	WF-9302	Oasis Management, LLC	-	1,000.00
05/22/12	WF-9302	Oasis Management, LLC	-	3,000.00
06/13/12	WF-9302	Oasis Management, LLC	-	5,984.87
06/13/12	WF-9302	Oasis Management, LLC	-	5,015.13
06/22/12	WF-9302	Oasis Management, LLC	-	1,000.00
07/03/12	WF-9302	Oasis Management, LLC	-	7,500.00
07/19/12	WF-9302	Oasis Management, LLC	-	1,000.00
07/23/12	WF-9302	Oasis Management, LLC	-	6,500.00
08/06/12	WF-9302	Oasis Management, LLC	-	1,000.00
08/27/12	WF-9302	Oasis Management, LLC	-	1,000.00
09/10/12	WF-9302	Oasis Management, LLC	-	750.00
11/06/12	WF-9302	Oasis Management, LLC	-	1,000.00
12/17/12	WF-9302	Oasis Management, LLC	-	500.00
01/25/13	WF-9302	Oasis Management, LLC	-	200.00
10/04/13	WF-9302	Oasis Management, LLC	-	2,000.00
03/04/14	WF-9302	Oasis Management, LLC	-	1,500.00
03/24/14	WF-9302	Oasis Management, LLC	-	2,700.00
05/07/14	WF-9302	Oasis Management, LLC	-	3,000.00
06/06/14	WF-9302	Oasis Management, LLC	-	3,200.00
07/07/14	WF-9302	Oasis Management, LLC	-	3,000.00
07/24/14	WF-9302	Oasis Management, LLC	-	4,000.00
08/29/14	WF-9302	Oasis Management, LLC	-	1,800.00
12/01/14	WF-9302	Oasis Management, LLC	-	4,000.00
12/01/14	WF-9302	Oasis Management, LLC	-	1,800.00
12/31/14	WF-9302	Oasis Management, LLC	-	2,000.00
02/02/15	WF-9302	Oasis Management, LLC	-	2,000.00
03/27/15	WF-9302	Oasis Management, LLC	-	4,000.00
05/18/15	WF-9302	Oasis Management, LLC	-	1,000.00
06/04/15	WF-9302	Oasis Management, LLC	-	1,800.00
06/22/15	WF-9302	Oasis Management, LLC	-	1,500.00
08/20/15	WF-9302	Oasis Management, LLC	-	2,000.00
09/14/15	WF-9302	Oasis Management, LLC	-	2,500.00
03/14/16	WF-9302	Oasis Management, LLC	-	2,000.00

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Rocco Garbellano Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/18/16	WF-9302	Oasis Management, LLC	-	1,000.00
11/03/17	Citi-0764	Fundadminstration Inc F/B/O	-	6,164.47
12/04/17	Citi-0764	Fundadminstration Inc F/B/O	-	4,715.54
01/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,893.05
02/07/18	Citi-0764	Fundadminstration Inc F/B/O	-	15,875.42
02/23/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
03/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	9,503.71
03/22/18	Citi-0764	Fundadminstration Inc F/B/O	-	20,000.00
04/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	7,916.96
05/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	4,147.32
06/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	4,446.87
07/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,814.67
07/05/18	Citi-0764	Fundadminstration Inc F/B/O	-	13,000.00
08/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,523.86
08/31/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,150.74
10/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	7,918.90
11/05/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,733.91
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,863.89
12/13/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	7,131.80
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	10,870.51
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	12,279.66
04/05/19	Citi-0764	Fundadminstration Inc F/B/O	-	7,291.23
Total			-	268,692.51
False Profits				<u>\$(268,692.51)</u>

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Robert and Loreta Giamboi Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
02/27/12	WF-9302	Oasis Management, LLC	\$ 5,000.00	\$ -
04/05/12	WF-9302	Oasis Management, LLC	-	259.20
04/27/12	WF-9302	Oasis Management, LLC	5,000.00	-
05/07/12	WF-9302	Oasis Management, LLC	-	338.68
05/29/12	WF-9302	Oasis Management, LLC	27.85	-
07/03/12	WF-9302	Oasis Management, LLC	2,784.24	-
08/08/12	WF-9302	Oasis Management, LLC	-	621.60
08/31/12	WF-9302	Oasis Management, LLC	5,000.00	-
09/10/12	WF-9302	Oasis Management, LLC	-	560.98
10/05/12	WF-9302	Oasis Management, LLC	-	264.60
11/09/12	WF-9302	Oasis Management, LLC	-	420.00
12/06/12	WF-9302	Oasis Management, LLC	-	233.10
01/04/13	WF-9302	Oasis Management, LLC	-	104.73
02/06/13	WF-9302	Oasis Management, LLC	-	246.75
03/05/13	WF-9302	Oasis Management, LLC	-	286.65
04/02/13	WF-9302	Oasis Management, LLC	-	220.50
05/07/13	WF-9302	Oasis Management, LLC	-	246.75
06/17/13	WF-9302	Oasis Management, LLC	-	273.09
07/15/13	WF-9302	Oasis Management, LLC	-	220.50
08/08/13	WF-9302	Oasis Management, LLC	-	222.60
08/16/13	WF-9302	Oasis Management, LLC	-	5,000.00
09/09/13	WF-9302	Oasis Management, LLC	-	10,098.68
02/10/14	WF-9302	Oasis Management, LLC	-	5,004.08
02/10/14	WF-9302	Oasis Management, LLC	-	90.37
02/10/14	WF-9302	Oasis Management, LLC	-	42.30
02/10/14	WF-9302	Oasis Management, LLC	-	29.10
Total			17,812.09	24,784.26
False Profits				\$ (6,972.17)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

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Jason Gladman Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
09/14/15	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	\$ 25,000.00	
09/15/15	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	25,000.00	
09/15/15	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		25,000.00
11/03/15	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	25,015.50	
10/27/16	BOA-8346	Fundadminstration Inc	-	3,900.00
01/11/17	BOA-8346	Fundadminstration Inc	-	7,000.00
02/14/17	BOA-8346	Fundadminstration Inc	-	9,900.00
04/07/17	BOA-9631	Fundadminstration Inc F/B/O Oasis Global (Nevis) Limited	-	5,000.00
05/05/17	Citi-0764	Fundadminstration Inc F/B/O	-	8,500.00
06/02/17	Citi-0764	Fundadminstration Inc F/B/O	-	6,500.00
08/18/17	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
09/06/17	Citi-0764	Fundadminstration Inc F/B/O	-	1,238.57
09/06/17	Citi-0764	Fundadminstration Inc F/B/O	-	22,541.37
Total			75,015.50	99,579.94
False Profits				\$ (24,564.44)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Ethel Haley Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/27/12	WF-9302	Oasis Management, LLC	\$ 5,000.00	\$ -
05/23/12	WF-9302	Oasis Management, LLC	20,000.00	-
05/25/12	WF-9302	Oasis Management, LLC	-	444.28
07/02/12	WF-9302	Oasis Management, LLC	-	2,607.84
08/10/12	WF-9302	Oasis Management, LLC	-	971.25
09/07/12	WF-9302	Oasis Management, LLC	-	876.54
10/10/12	WF-9302	Oasis Management, LLC	-	315.00
11/08/12	WF-9302	Oasis Management, LLC	-	500.00
12/13/12	WF-9302	Oasis Management, LLC	-	277.50
01/03/13	WF-9302	Oasis Management, LLC	-	124.68
02/07/13	WF-9302	Oasis Management, LLC	-	293.75
03/06/13	WF-9302	Oasis Management, LLC	-	341.25
04/02/13	WF-9302	Oasis Management, LLC	-	262.60
05/08/13	WF-9302	Oasis Management, LLC	-	293.75
06/07/13	WF-9302	Oasis Management, LLC	-	15,325.11
07/11/13	WF-9302	Oasis Management, LLC	-	105.00
08/12/13	WF-9302	Oasis Management, LLC	-	106.00
09/19/13	WF-9302	Oasis Management, LLC	-	61.68
10/09/13	WF-9302	Oasis Management, LLC	-	70.50
11/12/13	WF-9302	Oasis Management, LLC	-	48.50
12/11/13	WF-9302	Oasis Management, LLC	-	10,006.80
Total			25,000.00	33,032.03
False Profits				\$ (8,032.03)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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United States District Court

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Elmore Runee Harris Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
12/29/11	WF-9302	Oasis Management, LLC	\$ 1,000.00	\$ -
02/09/12	WF-9302	Oasis Management, LLC	-	50.82
03/09/12	WF-9302	Oasis Management, LLC	-	41.00
03/30/12	WF-9302	Oasis Management, LLC	2,000.00	-
04/11/12	WF-9302	Oasis Management, LLC	-	51.84
05/04/12	WF-9302	Oasis Management, LLC	-	230.30
05/30/12	WF-9302	Oasis Management, LLC	-	844.13
07/02/12	WF-9302	Oasis Management, LLC	-	1,554.27
08/07/12	WF-9302	Oasis Management, LLC	-	578.86
09/10/12	WF-9302	Oasis Management, LLC	-	522.42
10/15/12	WF-9302	Oasis Management, LLC	-	182.70
11/07/12	WF-9302	Oasis Management, LLC	-	290.00
12/05/12	WF-9302	Oasis Management, LLC	-	160.95
01/03/13	WF-9302	Oasis Management, LLC	-	72.31
02/06/13	WF-9302	Oasis Management, LLC	-	170.37
03/05/13	WF-9302	Oasis Management, LLC	-	156.97
04/02/13	WF-9302	Oasis Management, LLC	-	120.75
05/07/13	WF-9302	Oasis Management, LLC	-	135.13
06/07/13	WF-9302	Oasis Management, LLC	-	149.55
07/15/13	WF-9302	Oasis Management, LLC	-	89.25
08/07/13	WF-9302	Oasis Management, LLC	-	90.10
09/09/13	WF-9302	Oasis Management, LLC	-	52.43
10/08/13	WF-9302	Oasis Management, LLC	-	59.92
11/15/13	WF-9302	Oasis Management, LLC	-	36.37
08/28/15	WF-9302	Oasis Management, LLC	-	3,666.59
Total			3,000.00	9,307.03
False Profits				\$ (6,307.03)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Anne Hennessey Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/09/13	WF-9302	Oasis Management, LLC	\$ -	\$ 100.00
01/09/13	WF-9302	Oasis Management, LLC	-	63.00
01/09/13	WF-9302	Oasis Management, LLC	-	55.50
09/06/13	WF-9302	Oasis Management, LLC	-	68.25
09/06/13	WF-9302	Oasis Management, LLC	-	65.02
09/06/13	WF-9302	Oasis Management, LLC	-	58.75
09/06/13	WF-9302	Oasis Management, LLC	-	58.75
09/06/13	WF-9302	Oasis Management, LLC	-	52.50
09/06/13	WF-9302	Oasis Management, LLC	-	52.50
09/06/13	WF-9302	Oasis Management, LLC	-	24.94
09/30/13	WF-9302	Oasis Management, LLC	-	53.00
09/30/13	WF-9302	Oasis Management, LLC	-	30.84
12/11/15	WF-9302	Oasis Management, LLC	-	5,121.49
Total			-	5,804.54
False Profits				\$ (5,804.54)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

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Chad Hicks Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/19/18	WF-9302	Oasis Management, LLC	\$ 10,000.00	\$ -
11/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,590.42
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,944.90
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	4,052.98
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	1,273.38
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	2,529.62
04/05/19	Citi-0764	Fundadminstration Inc F/B/O		4,156.64
Total			10,000.00	15,547.94
False Profits				\$ (5,547.94)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Kenji and Tami Higuchi Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/05/15	WF-9302	Oasis Management, LLC	\$ 20,000.00	\$ -
04/16/15	WF-9302	Oasis Management, LLC	-	600.00
07/10/15	WF-9302	Oasis Management, LLC	-	600.00
10/19/15	WF-9302	Oasis Management, LLC	-	600.00
01/13/16	WF-9302	Oasis Management, LLC	-	600.00
04/06/16	WF-9302	Oasis Management, LLC	20,000.00	-
04/15/16	WF-9302	Oasis Management, LLC	-	600.00
07/14/16	WF-9302	Oasis Management, LLC	-	1,200.00
10/12/16	WF-9302	Oasis Management, LLC	-	1,200.00
02/06/17	WF-9302	Oasis Management, LLC	-	1,200.00
04/12/17	WF-9302	Oasis Management, LLC	-	1,200.00
07/11/17	WF-9302	Oasis Management, LLC	-	41,200.00
Total			40,000.00	49,000.00
False Profits				\$ (9,000.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Richard and Courtney Hubbard Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/21/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 604.93
04/17/14	BOA-8346	Fundadminstration Inc	-	591.78
08/08/14	BOA-8346	Fundadminstration Inc	-	598.36
10/27/14	BOA-8346	Fundadminstration Inc	-	604.93
01/20/15	BOA-8346	Fundadminstration Inc	-	604.93
04/20/15	BOA-8346	Fundadminstration Inc	-	591.78
07/20/15	BOA-8346	Fundadminstration Inc	-	598.36
10/19/15	BOA-8346	Fundadminstration Inc	-	604.93
01/20/16	BOA-8346	Fundadminstration Inc	-	604.93
04/19/16	BOA-8346	Fundadminstration Inc	-	596.72
04/26/16	WF-9302	Oasis Management, LLC	15,000.00	-
06/13/16	WF-9302	Oasis Management, LLC	-	78.75
07/12/16	WF-9302	Oasis Management, LLC	-	124.01
07/19/16	BOA-8346	Fundadminstration Inc	-	596.72
08/15/16	WF-9302	Oasis Management, LLC	-	172.13
09/12/16	WF-9302	Oasis Management, LLC	-	113.62
10/17/16	WF-9302	Oasis Management, LLC	-	141.75
10/18/16	BOA-8346	Fundadminstration Inc	-	603.28
11/08/16	WF-9302	Oasis Management, LLC	-	1,724.99
01/23/17	BOA-8346	Fundadminstration Inc	-	603.28
02/13/17	WF-9302	Oasis Management, LLC	-	13,748.88
03/07/17	BOA-8346	Fundadminstration Inc	-	20,387.95
Total			15,000.00	44,297.01
False Profits				\$ (29,297.01)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

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Charles Huckabee Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/21/18	Citi-0764	Fundadminstration Inc F/B/O	\$ -	\$ 7,500.00
10/10/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,500.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,500.00
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	4,000.00
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	2,000.00
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	2,000.00
03/25/19	Citi-0764	Fundadminstration Inc F/B/O		1,000.00
04/05/19	Citi-0764	Fundadminstration Inc F/B/O		2,800.00
Total			-	28,300.00
False Profits				\$ (28,300.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Tim Hunte DBA KATT Distribution Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/02/18	WF-9302	Oasis Management, LLC	\$ -	\$ 25,000.00
04/06/18	WF-9302	Oasis Management, LLC	-	11,898.94
05/21/18	WF-9302	Oasis Management, LLC	-	4,101.06
10/16/18	Citi-0764	Fundadminstration Inc F/B/O	-	31,327.74
Total			-	72,327.74
False Profits				\$ (72,327.74)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Timothy Hunte and James Jackson Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/06/18	WF-9302	Oasis Management, LLC	\$ -	\$ 16,898.94
Total			-	16,898.94
False Profits				\$ (16,898.94)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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United States District Court

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Impulse Ventures, Inc. Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/01/15	BOA-8346	Fundadminstration Inc	\$ 60,000.00	\$ -
01/25/16	BOA-8346	Fundadminstration Inc	-	3,480.00
04/06/16	BOA-8346	Fundadminstration Inc	-	1,000.00
07/05/16	BOA-8346	Fundadminstration Inc	-	3,000.00
02/06/17	BOA-8346	Fundadminstration Inc	-	4,550.00
04/07/17	BOA-8346	Fundadminstration Inc	-	5,576.00
05/09/17	Citi-0764	Fundadminstration Inc F/B/O	-	4,000.00
08/02/17	Citi-0764	Fundadminstration Inc F/B/O	-	70,776.05
Total			60,000.00	92,382.05
False Profits				\$ (32,382.05)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED,
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Case No. 8:19-cv-00886-VMC-SPF
United States District Court
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Alan Johnston Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/05/12	WF-9302	Oasis Management, LLC	\$ 30,000.00	\$ -
05/23/12	WF-9302	Oasis Management, LLC	-	2,032.05
06/15/12	WF-9302	Oasis Management, LLC	-	2,665.67
07/31/12	WF-9302	Oasis Management, LLC	-	3,129.41
08/17/12	WF-9302	Oasis Management, LLC	-	1,165.50
09/10/12	WF-9302	Oasis Management, LLC	-	1,051.85
09/28/12	WF-9302	Oasis Management, LLC	-	30,000.00
03/21/18	WF-9302	Oasis Management, LLC	-	24.47
03/21/18	WF-9302	Oasis Management, LLC	-	36.70
03/22/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,830.67
04/25/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,500.00
07/27/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,912.50
Total			30,000.00	52,348.82
False Profits				\$ (22,348.82)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

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Bradley and Carrie Kantor Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/19/15	WF 0957	Fundadminstration Inc F/B/O	\$ 50,000.00	
		Oasis Global FX Limited		
06/25/15	WF 0957	Fundadminstration Inc F/B/O		760.13
		Oasis Global FX Limited		
08/03/16	WF 0957	Fundadminstration Inc F/B/O	250,015.50	
		Oasis Global FX Limited		
10/31/16	BOA-8346	Fundadminstration Inc	-	14,233.28
12/05/16	BOA-8346	Fundadminstration Inc	-	20,083.18
01/18/17	BOA-9631	Fundadminstration Inc F/B/O	-	100,000.00
		Oasis Global (Nevis) Limited		
02/24/17	BOA-9631	Fundadminstration Inc F/B/O	-	139,000.00
		Oasis Global (Nevis) Limited		
12/05/17	Citi-0764	Fundadminstration Inc F/B/O	-	116,300.71
Total			300,015.50	390,377.30
False Profits				\$ (90,361.80)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED,
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Kerrigan Management Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/20/14	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	\$ 115,000.00	
08/20/14	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	9,966.52	
06/25/15	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		2,283.87
10/20/15	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	4,973.66	
06/20/16	BOA-8346	Fundadminstration Inc	-	7,000.00
07/08/16	BOA-8346	Fundadminstration Inc	-	9,000.00
10/18/16	BOA-8346	Fundadminstration Inc	-	6,800.00
11/07/16	BOA-8346	Fundadminstration Inc	-	7,400.00
11/14/16	BOA-8346	Fundadminstration Inc	-	8,400.00
12/09/16	BOA-8346	Fundadminstration Inc	-	8,800.00
01/04/17	BOA-8346	Fundadminstration Inc	-	8,800.00
01/11/17	BOA-8346	Fundadminstration Inc	-	4,500.00
01/12/17	BOA-8346	Fundadminstration Inc	-	4,500.00
02/03/17	BOA-9631	Fundadminstration Inc F/B/O Oasis Global (Nevis) Limited	-	60,000.00
03/09/17	BOA-8346	Fundadminstration Inc	-	3,500.00
04/07/17	BOA-8346	Fundadminstration Inc	-	1,900.00
04/20/17	BOA-8346	Fundadminstration Inc	-	2,000.00
05/01/17	Citi-0764	Fundadminstration Inc F/B/O	-	3,000.00
05/05/17	Citi-0764	Fundadminstration Inc F/B/O	-	1,000.00
05/23/17	Citi-0764	Fundadminstration Inc F/B/O	-	2,000.00
06/09/17	Citi-0764	Fundadminstration Inc F/B/O	-	1,700.00
07/07/17	Citi-0764	Fundadminstration Inc F/B/O	-	6,300.00
07/13/17	Citi-0764	Fundadminstration Inc F/B/O	-	1,651.71
08/04/17	Citi-0764	Fundadminstration Inc F/B/O	-	6,400.00
08/18/17	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
10/10/17	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
11/16/17	Citi-0764	Fundadminstration Inc F/B/O	-	4,500.00
01/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
01/12/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
02/01/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,000.00
03/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	4,563.00
04/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,987.00

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED,
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Kerrigan Management Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	9,750.00
06/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
07/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
08/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
09/05/18	Citi-0764	Fundadminstration Inc F/B/O	-	9,000.00
10/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
11/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	12,000.00
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	17,500.00
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	8,100.00
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
04/05/19	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
07/16/14	N/A	Withdrawal Request	-	1,900.00
05/06/15	N/A	Withdrawal Request	-	3,950.00
01/29/16	N/A	Withdrawal Request	-	5,350.00
04/01/16	N/A	Withdrawal Request	-	2,600.00
05/04/16	N/A	Withdrawal Request	-	7,000.00
07/26/16	N/A	Withdrawal Request	-	25,500.00
08/30/16	N/A	Withdrawal Request	-	4,500.00
09/17/19	N/A	Withdrawal Request	-	5,000.00
09/23/16	N/A	Withdrawal Request	-	20,600.00
10/06/16	N/A	Withdrawal Request	-	3,000.00
Total			129,940.18	403,735.58
False Profits				\$ (273,795.40)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

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Kevin Kerrigan Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/01/12	WF-9302	Oasis Management, LLC	\$ 2,500.00	\$ -
05/30/12	WF-9302	Oasis Management, LLC	-	417.62
07/02/12	WF-9302	Oasis Management, LLC	-	803.21
08/10/12	WF-9302	Oasis Management, LLC	-	299.14
09/11/12	WF-9302	Oasis Management, LLC	-	269.97
10/15/12	WF-9302	Oasis Management, LLC	-	97.02
11/15/12	WF-9302	Oasis Management, LLC	-	154.00
12/05/12	WF-9302	Oasis Management, LLC	-	85.47
02/15/13	WF-9302	Oasis Management, LLC	-	90.47
02/15/13	WF-9302	Oasis Management, LLC	-	38.40
04/22/13	WF-9302	Oasis Management, LLC	-	105.10
04/22/13	WF-9302	Oasis Management, LLC	-	96.60
05/13/13	WF-9302	Oasis Management, LLC	-	108.10
06/10/13	WF-9302	Oasis Management, LLC	-	113.14
07/11/13	WF-9302	Oasis Management, LLC	-	91.35
08/07/13	WF-9302	Oasis Management, LLC	-	85.86
09/24/13	WF-9302	Oasis Management, LLC	-	49.96
10/11/13	WF-9302	Oasis Management, LLC	-	57.10
12/03/13	WF-9302	Oasis Management, LLC	-	39.28
01/29/14	WF-9302	Oasis Management, LLC	-	122.05
03/11/14	WF-9302	Oasis Management, LLC	-	65.74
04/14/14	WF-9302	Oasis Management, LLC	-	25.76
05/12/14	WF-9302	Oasis Management, LLC	-	59.49
06/12/14	WF-9302	Oasis Management, LLC	100,000.00	-
07/07/14	WF-9302	Oasis Management, LLC	-	23.90
07/23/14	WF-9302	Oasis Management, LLC	-	47.81
10/21/14	WF-9302	Oasis Management, LLC	-	22.15
12/12/14	WF-9302	Oasis Management, LLC	-	47.61
02/04/15	WF-9302	Oasis Management, LLC	-	2,625.60
05/13/15	WF-9302	Oasis Management, LLC	-	20,000.00
07/27/15	WF-9302	Oasis Management, LLC	-	15,400.00
09/11/15	WF-9302	Oasis Management, LLC	-	5,000.00
11/13/15	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	97,000.00	
11/30/15	WF-9302	Oasis Management, LLC	-	7,000.00
01/25/16	WF-9302	Oasis Management, LLC	-	3,200.00

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

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Kevin Kerrigan Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
02/12/16	BOA-8346	Fundadminstration Inc	-	6,000.00
02/29/16	WF-9302	Oasis Management, LLC	-	6,000.00
03/07/16	BOA-8346	Fundadminstration Inc	-	3,200.00
04/04/16	WF-9302	Oasis Management, LLC	-	3,000.00
04/07/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		2,800.00
05/13/16	WF-9302	Oasis Management, LLC	-	2,800.00
09/12/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited	45,000.00	
02/06/17	WF-9302	Oasis Management, LLC	-	8,000.00
02/13/17	BOA-9631	Fundadminstration Inc F/B/O Oasis Global (Nevis) Limited	58,000.00	-
03/09/17	BOA-9631	Fundadminstration Inc F/B/O Oasis Global (Nevis) Limited	-	2,500.00
04/07/17	BOA-9631	Fundadminstration Inc F/B/O Oasis Global (Nevis) Limited	-	3,000.00
05/12/17	Citi-0764	Fundadminstration Inc F/B/O	-	1,000.00
06/05/17	Citi-0764	Fundadminstration Inc F/B/O	13,000.00	-
06/09/17	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
12/04/17	Citi-0764	Fundadminstration Inc F/B/O	25,000.00	-
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	257,000.00
04/05/19	Citi-0764	Fundadminstration Inc F/B/O		37,000.00
Total			340,500.00	398,941.90
False Profits				\$ (58,441.90)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Brenda Krown Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
09/03/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 10,000.00
Total			-	10,000.00
False Profits				\$ (10,000.00)

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Tami Lacy Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
10/09/14	WF-9302	Oasis Management, LLC	\$ -	\$ 30,875.01
Total			-	30,875.01
False Profits				\$ (30,875.01)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Joseph and Lynne LaVecchia Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/29/12	WF-9302	Oasis Management, LLC	\$ -	\$ 50,000.00
09/07/12	WF-9302	Oasis Management, LLC	-	50,000.00
08/26/13	WF-9302	Oasis Management, LLC	-	20,000.00
07/29/14	WF-9302	Oasis Management, LLC	-	3,951.00
09/05/14	WF-9302	Oasis Management, LLC	-	4,500.00
10/07/14	WF-9302	Oasis Management, LLC	-	4,000.00
11/28/14	WF-9302	Oasis Management, LLC	-	4,000.00
12/16/14	WF-9302	Oasis Management, LLC	-	3,000.00
01/13/15	WF-9302	Oasis Management, LLC	-	3,500.00
02/11/15	WF-9302	Oasis Management, LLC	-	3,000.00
03/30/15	WF-9302	Oasis Management, LLC	-	3,500.00
04/23/15	WF-9302	Oasis Management, LLC	-	3,200.00
05/14/15	WF-9302	Oasis Management, LLC	-	3,250.00
06/15/15	WF-9302	Oasis Management, LLC	-	3,500.00
08/10/15	WF-9302	Oasis Management, LLC	-	5,800.00
09/14/15	WF-9302	Oasis Management, LLC	-	3,500.00
10/22/15	WF-9302	Oasis Management, LLC	-	3,000.00
12/09/15	WF-9302	Oasis Management, LLC	-	3,000.00
12/22/15	WF-9302	Oasis Management, LLC	-	3,000.00
02/04/16	WF-9302	Oasis Management, LLC	-	3,000.00
02/23/16	WF-9302	Oasis Management, LLC	-	3,000.00
03/11/16	WF-9302	Oasis Management, LLC	-	3,000.00
04/18/16	WF-9302	Oasis Management, LLC	-	3,000.00
05/17/16	WF-9302	Oasis Management, LLC	-	3,000.00
06/16/16	WF-9302	Oasis Management, LLC	-	3,000.00
07/25/16	WF-9302	Oasis Management, LLC	-	3,000.00
08/16/16	WF-9302	Oasis Management, LLC	-	3,000.00
09/14/16	WF-9302	Oasis Management, LLC	-	3,000.00
10/19/16	WF-9302	Oasis Management, LLC	-	3,000.00
11/09/16	WF-9302	Oasis Management, LLC	-	4,500.00
12/09/16	WF-9302	Oasis Management, LLC	-	5,000.00
01/09/17	WF-9302	Oasis Management, LLC	-	5,000.00
02/21/17	WF-9302	Oasis Management, LLC	-	5,000.00
03/09/17	WF-9302	Oasis Management, LLC	-	5,000.00
04/17/17	WF-9302	Oasis Management, LLC	-	4,000.00
05/05/17	WF-9302	Oasis Management, LLC	-	5,000.00

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Joseph and Lynne LaVecchia Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/12/17	WF-9302	Oasis Management, LLC	-	5,000.00
07/14/17	WF-9302	Oasis Management, LLC	-	5,000.00
08/14/17	WF-9302	Oasis Management, LLC	-	5,000.00
09/13/17	WF-9302	Oasis Management, LLC	-	5,000.00
10/10/17	WF-9302	Oasis Management, LLC	-	5,000.00
11/09/17	WF-9302	Oasis Management, LLC	-	5,000.00
12/12/17	WF-9302	Oasis Management, LLC	-	55,000.00
01/16/18	WF-9302	Oasis Management, LLC	-	5,000.00
02/14/18	WF-9302	Oasis Management, LLC	-	200,000.00
03/12/18	WF-9302	Oasis Management, LLC	-	2,099.03
04/06/18	WF-9302	Oasis Management, LLC	-	2,073.92
05/16/18	WF-9302	Oasis Management, LLC	-	1,048.00
06/08/18	WF-9302	Oasis Management, LLC	-	1,080.12
07/18/18	WF-9302	Oasis Management, LLC	-	1,517.46
08/13/18	WF-9302	Oasis Management, LLC	-	1,692.35
09/11/18	WF-9302	Oasis Management, LLC	-	1,371.76
10/19/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,053.11
11/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,135.65
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,655.43
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	1,624.64
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	1,200.00
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	1,264.88
04/05/19	Citi-0764	Fundadminstration Inc F/B/O	-	1,265.92
Total			-	551,283.27
False Profits				<u>\$(551,283.27)</u>

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Matthew Leach Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/09/12	WF-9302	Oasis Management LLC	\$ -	\$ 2,152.52
05/29/12	WF-9302	Oasis Management LLC	-	1,132.54
07/02/12	WF-9302	Oasis Management LLC	-	2,607.84
08/08/12	WF-9302	Oasis Management LLC	-	1,010.10
09/06/12	WF-9302	Oasis Management LLC	-	911.60
10/09/12	WF-9302	Oasis Management LLC	-	327.60
11/13/12	WF-9302	Oasis Management LLC	-	480.00
12/12/12	WF-9302	Oasis Management LLC	-	255.30
01/04/13	WF-9302	Oasis Management LLC	-	113.21
02/11/13	WF-9302	Oasis Management LLC	-	113.97
04/01/13	WF-9302	Oasis Management LLC	-	83.01
04/03/13	WF-9302	Oasis Management LLC	-	64.87
05/14/13	WF-9302	Oasis Management LLC	-	73.47
06/24/13	WF-9302	Oasis Management LLC	-	82.43
07/17/13	WF-9302	Oasis Management LLC	-	67.56
08/20/13	WF-9302	Oasis Management LLC	-	69.04
09/10/13	WF-9302	Oasis Management LLC	-	40.67
10/18/13	WF-9302	Oasis Management LLC	-	46.82
11/15/13	WF-9302	Oasis Management LLC	-	32.48
01/28/14	WF-9302	Oasis Management LLC	-	101.50
03/10/14	WF-9302	Oasis Management LLC	-	51.71
04/21/14	WF-9302	Oasis Management LLC	-	20.47
05/15/14	BOA-8346	Fundadminstration Inc	400,000.00	-
06/13/14	WF-9302	Oasis Management LLC	-	47.45
07/23/14	WF-9302	Oasis Management LLC	-	38.62
07/23/14	WF-9302	Oasis Management LLC	-	19.24
07/28/14	BOA-8346	Fundadminstration Inc	50,000.00	-
10/21/14	WF-9302	Oasis Management LLC	-	18.05
01/07/15	BOA-8346	Fundadminstration Inc	10,000.00	-
02/09/15	BOA-8346	Fundadminstration Inc	-	7,000.00
02/25/15	BOA-8346	Fundadminstration Inc	-	7,000.00
03/20/15	BOA-8346	Fundadminstration Inc	-	7,000.00
03/30/15	BOA-8346	Fundadminstration Inc	-	10,000.00
05/26/15	WF-9302	Oasis Management LLC	-	1,066.51
05/29/15	BOA-8346	Fundadminstration Inc	-	8,000.00
06/15/15	BOA-8346	Fundadminstration Inc	-	22,000.00

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Matthew Leach Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/26/15	BOA-8346	Fundadminstration Inc	-	7,000.00
08/10/15	BOA-8346	Fundadminstration Inc	-	10,000.00
09/04/15	BOA-8346	Fundadminstration Inc	-	10,000.00
09/14/15	BOA-8346	Fundadminstration Inc	-	7,000.00
10/27/15	BOA-8346	Fundadminstration Inc	-	8,000.00
11/10/15	BOA-8346	Fundadminstration Inc	-	8,000.00
11/30/15	BOA-8346	Fundadminstration Inc	-	135,000.00
02/11/16	BOA-8346	Fundadminstration Inc	-	7,000.00
03/02/16	BOA-8346	Fundadminstration Inc	-	10,000.00
04/01/16	BOA-8346	Fundadminstration Inc	-	10,000.00
05/17/16	BOA-8346	Fundadminstration Inc	-	10,000.00
02/08/17	BOA-8346	Fundadminstration Inc	-	15,000.00
03/13/17	BOA-9631	Fundadminstration Inc F/B/O Oasis Global (Nevis) Limited	-	10,000.00
04/03/17	BOA-9631	Fundadminstration Inc F/B/O Oasis Global (Nevis) Limited	-	10,000.00
04/20/17	BOA-9631	Fundadminstration Inc F/B/O Oasis Global (Nevis) Limited	-	10,000.00
05/11/17	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
05/30/17	Citi-0764	Fundadminstration Inc F/B/O	-	15,000.00
07/03/17	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
12/19/17	Citi-0764	Fundadminstration Inc F/B/O	-	7,000.00
01/04/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
03/26/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
04/11/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
07/11/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
07/27/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
08/10/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
08/22/18	Citi-0764	Fundadminstration Inc F/B/O	-	15,000.00
09/18/18	Citi-0764	Fundadminstration Inc F/B/O	-	15,000.00
10/19/18	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	9,900.00
04/09/19	Citi-0764	Fundadminstration Inc F/B/O		9,960.58
12/10/14	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		10,000.00

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

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Tampa Division

Matthew Leach Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/09/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		10,000.00
06/28/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		45,000.00
07/18/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		15,000.00
07/28/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		25,000.00
08/10/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		10,000.00
09/09/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		10,000.00
10/13/16	WF 0957	Fundadminstration Inc F/B/O Oasis Global FX Limited		10,000.00
Total			460,000.00	616,889.16
False Profits				<u>\$(156,889.16)</u>

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

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Middle District of Florida

Tampa Division

Life's Elements Inc Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/08/18	Citi-0764	Fundadminstration Inc F/B/O	\$ -	\$ 1,500.00
02/08/18	Citi-0764	Fundadminstration Inc F/B/O	-	6,600.00
03/12/18	Citi-0764	Fundadminstration Inc F/B/O	-	4,280.00
04/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,694.71
05/16/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,933.54
06/14/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,900.00
07/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,000.00
08/09/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,700.00
09/05/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,542.63
09/12/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,095.28
10/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,269.54
11/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	4,500.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,000.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	4,313.87
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	3,000.00
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	3,903.59
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	3,176.30
04/05/19	Citi-0764	Fundadminstration Inc F/B/O	-	3,000.00
Total			-	55,409.46
False Profits				\$ (55,409.46)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED,
ET AL**
Case No. 8:19-cv-00886-VMC-SPF
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David Paul Lipinczyk Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/25/12	WF-9302	Oasis Management, LLC	\$ 3,000.00	\$ -
07/03/12	WF-9302	Oasis Management, LLC	-	312.94
07/03/12	WF-9302	Oasis Management, LLC	800.00	-
07/03/12	WF-9302	Oasis Management, LLC	1,200.00	-
07/31/12	WF-9302	Oasis Management, LLC	2,000.00	-
08/31/12	WF-9302	Oasis Management, LLC	2,000.00	-
01/02/13	WF-9302	Oasis Management, LLC	2,000.00	-
01/30/14	BOA-8346	Fundadminstration Inc	-	1,512.33
04/23/14	BOA-8346	Fundadminstration Inc	-	1,479.45
07/24/14	BOA-8346	Fundadminstration Inc	-	1,495.89
10/29/14	BOA-8346	Fundadminstration Inc	-	1,512.33
01/26/15	BOA-8346	Fundadminstration Inc	-	1,512.33
05/01/15	BOA-8346	Fundadminstration Inc	-	1,479.45
10/05/15	BOA-8346	Fundadminstration Inc	-	1,495.89
10/19/15	BOA-8346	Fundadminstration Inc	-	1,512.33
11/02/15	WF-9302	Oasis Management, LLC	5,000.00	-
12/02/15	WF-5347	Satellite Holdings Company	500.00	-
01/20/16	BOA-8346	Fundadminstration Inc	-	1,512.33
04/22/16	BOA-8346	Fundadminstration Inc	-	1,491.80
07/19/16	BOA-8346	Fundadminstration Inc	-	1,491.80
09/13/16	WF-5347	Satellite Holdings Company	-	3,000.00
10/18/16	WF-5347	Satellite Holdings Company	-	2,000.00
10/18/16	BOA-8346	Fundadminstration Inc	-	1,508.20
01/06/17	WF-5347	Satellite Holdings Company	-	2,000.00
01/10/17	WF-9302	Oasis Management, LLC	-	7,000.00
01/17/17	BOA-8346	Fundadminstration Inc	-	51,508.20
01/23/17	WF-5347	Satellite Holdings Company	50,000.00	-
04/14/17	WF-5347	Satellite Holdings Company	-	2,900.00
06/06/17	Citi-0764	Fundadminstration Inc F/B/O	-	5,312.24
06/14/17	WF-9302	Oasis Management, LLC	-	16,411.73
06/14/17	WF-5347	Satellite Holdings Company	-	54,212.56
06/23/17	Citi-0764	Fundadminstration Inc F/B/O	67,000.00	-
07/20/17	WF-5347	Satellite Holdings Company	-	3,480.89
09/01/17	Citi-0764	Fundadminstration Inc F/B/O	-	5,600.00
09/01/17	Citi-0764	Fundadminstration Inc F/B/O	-	6,300.00
10/02/17	WF-9302	Oasis Management, LLC	-	16.30
10/10/17	WF-9302	Oasis Management, LLC	-	89.95

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED,
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David Paul Lipinczyk Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
11/22/17	WF-9302	Oasis Management, LLC	-	16.11
11/22/17	WF-9302	Oasis Management, LLC	-	112.75
12/04/17	Citi-0764	Fundadminstration Inc F/B/O	-	500.00
12/04/17	Citi-0764	Fundadminstration Inc F/B/O	-	3,500.00
12/22/17	WF-9302	Oasis Management, LLC	-	51.18
12/26/17	Citi-0764	Fundadminstration Inc F/B/O	-	3,000.00
01/08/18	WF-9302	Oasis Management, LLC	-	51.30
02/01/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
03/01/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
03/07/18	WF-9302	Oasis Management, LLC	-	189.74
03/13/18	WF-9302	Oasis Management, LLC	-	126.97
03/29/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
04/16/18	WF-9302	Oasis Management, LLC	-	168.56
04/26/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
05/29/18	WF-9302	Oasis Management, LLC	-	75.29
05/31/18	Citi-0764	Fundadminstration Inc F/B/O	-	6,000.00
06/11/18	WF-9302	Oasis Management, LLC	-	78.30
07/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	15,000.00
08/03/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
08/31/18	Citi-0764	Fundadminstration Inc F/B/O	-	6,000.00
09/05/18	Citi-0764	Fundadminstration Inc F/B/O	-	3,000.00
10/02/18	Citi-0764	Fundadminstration Inc F/B/O	-	7,000.00
10/22/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,400.00
11/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,600.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	11,000.00
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	10,000.00
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	7,000.00
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	18,000.00
04/05/19	Citi-0764	Fundadminstration Inc F/B/O	-	15,000.00
Total			133,500.00	320,019.14
False Profits				\$ (186,519.14)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Piotr Luda Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
12/31/12	WF-9302	Oasis Management, LLC	\$ -	\$ 100.00
12/31/12	WF-9302	Oasis Management, LLC	-	55.50
02/05/13	WF-9302	Oasis Management, LLC	-	24.94
04/02/13	WF-9302	Oasis Management, LLC	-	68.25
04/02/13	WF-9302	Oasis Management, LLC	-	58.75
04/04/13	WF-9302	Oasis Management, LLC	-	52.50
05/30/13	WF-9302	Oasis Management, LLC	-	58.75
06/27/13	WF-9302	Oasis Management, LLC	-	65.02
07/16/13	WF-9302	Oasis Management, LLC	-	52.50
08/28/13	WF-9302	Oasis Management, LLC	-	53.00
10/22/13	WF-9302	Oasis Management, LLC	-	35.25
10/22/13	WF-9302	Oasis Management, LLC	-	30.84
12/02/13	WF-9302	Oasis Management, LLC	-	52.50
12/02/13	WF-9302	Oasis Management, LLC	-	24.25
02/18/14	WF-9302	Oasis Management, LLC	-	75.30
03/25/14	WF-9302	Oasis Management, LLC	-	52.70
04/08/14	WF-9302	Oasis Management, LLC	-	5,024.04
Total			-	5,884.09
False Profits				\$ (5,884.09)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

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Wayne Lynch Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
12/28/11	WF-9302	Oasis Management, LLC	\$ 5,000.00	\$ -
02/10/12	WF-9302	Oasis Management, LLC	2,000.00	-
02/21/12	WF-9302	Oasis Management, LLC	-	254.12
03/23/12	WF-9302	Oasis Management, LLC	-	287.00
04/10/12	WF-9302	Oasis Management, LLC	3,000.00	-
04/16/12	WF-9302	Oasis Management, LLC	-	362.88
05/10/12	WF-9302	Oasis Management, LLC	-	745.09
06/05/12	WF-9302	Oasis Management, LLC	-	977.41
07/10/12	WF-9302	Oasis Management, LLC	-	1,460.39
08/08/12	WF-9302	Oasis Management, LLC	-	582.75
09/18/12	WF-9302	Oasis Management, LLC	-	525.92
10/19/12	WF-9302	Oasis Management, LLC	-	189.00
11/09/12	WF-9302	Oasis Management, LLC	-	300.00
12/14/12	WF-9302	Oasis Management, LLC	-	166.50
02/14/13	WF-9302	Oasis Management, LLC	-	176.25
03/13/13	WF-9302	Oasis Management, LLC	-	181.54
04/10/13	WF-9302	Oasis Management, LLC	-	139.69
05/22/13	WF-9302	Oasis Management, LLC	-	156.36
06/14/13	WF-9302	Oasis Management, LLC	-	173.10
08/12/13	WF-9302	Oasis Management, LLC	-	141.17
08/27/13	WF-9302	Oasis Management, LLC	-	139.81
10/02/13	WF-9302	Oasis Management, LLC	-	82.16
10/22/13	WF-9302	Oasis Management, LLC	-	93.93
11/26/13	WF-9302	Oasis Management, LLC	-	64.63
01/17/14	WF-9302	Oasis Management, LLC	7,000.00	-
09/10/15	WF-9302	Oasis Management, LLC	-	5,000.00
09/18/15	WF-9302	Oasis Management, LLC	-	5,000.00
06/20/16	WF-9302	Oasis Management, LLC	-	8,121.86
Total			17,000.00	25,321.56
False Profits				\$ (8,321.56)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

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Shawn Marshall Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/18/17	Citi-0764	Fundadminstration Inc F/B/O	\$ -	\$ 2,500.00
09/20/17	Citi-0764	Fundadminstration Inc F/B/O	-	1,100.00
10/11/17	Citi-0764	Fundadminstration Inc F/B/O	-	700.00
11/09/17	Citi-0764	Fundadminstration Inc F/B/O	-	800.00
12/12/17	Citi-0764	Fundadminstration Inc F/B/O	-	800.00
01/11/18	Citi-0764	Fundadminstration Inc F/B/O	-	750.00
02/08/18	Citi-0764	Fundadminstration Inc F/B/O	-	750.00
03/12/18	Citi-0764	Fundadminstration Inc F/B/O	-	6,000.00
04/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	800.00
05/14/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,000.00
06/07/18	Citi-0764	Fundadminstration Inc F/B/O	-	750.00
07/11/18	Citi-0764	Fundadminstration Inc F/B/O	-	1,000.00
08/14/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,000.00
09/10/18	Citi-0764	Fundadminstration Inc F/B/O	-	5,000.00
11/05/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,200.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	2,000.00
01/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	1,000.00
02/08/19	Citi-0764	Fundadminstration Inc F/B/O	-	2,000.00
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	2,000.00
04/05/19	Citi-0764	Fundadminstration Inc F/B/O	-	3,000.00
Total			-	36,150.00
False Profits				\$ (36,150.00)

COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED, ET AL
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Middle District of Florida
Tampa Division

Joseph Martini Jr Transactions

Source: Bank Records

Date	Bank-ID	Bank Account Name	Receipts	Disbursements	Net Funds
07/16/13	WF-9302	Oasis Management, LLC	\$ -	\$ 25,000.00	\$ (25,000.00)
11/25/15	WF-9302	Oasis Management, LLC	-	10,000.00	(10,000.00)
02/09/16	WF-9302	Oasis Management, LLC	-	10,000.00	(10,000.00)
07/18/16	WF-9302	Oasis Management, LLC	-	10,000.00	(10,000.00)
09/26/16	WF-9302	Oasis Management, LLC	-	145,000.00	(145,000.00)
Total			\$ -	\$ 200,000.00	\$ (200,000.00)

COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED, ET AL
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Joseph Martini Transactions

Source: Bank Records

Date	Bank-ID	Bank Account Name	Receipts	Disbursements	Net Funds
06/06/12	WF-9302	Oasis Management, LLC	\$ -	\$ 7,500.00	\$ (7,500.00)
07/10/12	WF-9302	Oasis Management, LLC	-	15,000.00	(15,000.00)
08/30/12	WF-9302	Oasis Management, LLC	-	200,000.00	(200,000.00)
11/20/12	WF-9302	Oasis Management, LLC	-	3,000.00	(3,000.00)
12/02/13	WF-9302	Oasis Management, LLC	-	5,000.00	(5,000.00)
05/27/14	WF-9302	Oasis Management, LLC	-	10,000.00	(10,000.00)
11/28/14	WF-9302	Oasis Management, LLC	-	4,000.00	(4,000.00)
01/13/15	WF-9302	Oasis Management, LLC	-	3,000.00	(3,000.00)
11/17/17	WF-9302	Oasis Management, LLC	-	50,000.00	(50,000.00)
12/18/17	WF-9302	Oasis Management, LLC	-	200,000.00	(200,000.00)
Total			\$ -	\$ 497,500.00	\$ (497,500.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Kathryn McClare Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/05/15	WF-9302	Oasis Management, LLC	\$ 5,000.00	\$ -
09/09/15	WF-9302	Oasis Management, LLC	-	3,000.00
12/22/15	WF-9302	Oasis Management, LLC	500.00	-
08/01/16	WF-9302	Oasis Management, LLC	100.00	-
09/14/16	WF-9302	Oasis Management, LLC	1,000.00	-
11/08/16	WF-9302	Oasis Management, LLC	-	4,235.64
12/14/16	WF-9302	Oasis Management, LLC	-	4,235.64
01/17/17	WF-9302	Oasis Management, LLC	-	2,250.00
Total			6,600.00	13,721.28
False Profits				\$ (7,121.28)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

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Mary McClare Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/05/15	WF-9302	Oasis Management, LLC	\$ 75,000.00	\$ -
11/23/15	WF-9302	Oasis Management, LLC	-	1,500.00
01/19/16	WF-9302	Oasis Management, LLC	-	2,250.00
04/27/16	WF-9302	Oasis Management, LLC	-	2,250.00
07/15/16	WF-9302	Oasis Management, LLC	-	2,250.00
10/19/16	WF-9302	Oasis Management, LLC	-	2,250.00
08/01/17	WF-9302	Oasis Management, LLC	-	2,250.00
08/10/17	WF-9302	Oasis Management, LLC	-	2,250.00
08/10/17	WF-9302	Oasis Management, LLC	-	75,750.00
Total			75,000.00	90,750.00
False Profits				\$ (15,750.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Entrust Group Inc. FBO Elizabeth McMahon Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
02/10/12	WF-9302	Oasis Management, LLC	\$ -	\$ 10,000.00
07/11/16	WF-9302	Oasis Management, LLC	-	10,000.00
12/05/16	WF-9302	Oasis Management, LLC	-	10,000.00
07/06/17	WF-9302	Oasis Management, LLC	-	10,000.00
10/11/17	WF-9302	Oasis Management, LLC	-	10,000.00
02/28/18	WF-9302	Oasis Management, LLC	-	25,000.00
04/04/18	WF-9302	Oasis Management, LLC	-	35,000.00
09/19/18	WF-9302	Oasis Management, LLC	-	10,000.00
12/28/18	WF-9302	Oasis Management, LLC	-	20,000.00
Total			-	140,000.00
False Profits				<u>\$ (140,000.00)</u>

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Stephen and Jean Monahan Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/17/16	WF-9302	Oasis Management, LLC	\$ 30,000.00	\$ -
12/01/17	WF-9302	Oasis Management, LLC	30,000.00	-
09/24/18	WF-9302	Oasis Management, LLC	-	92,853.72
Total			60,000.00	92,853.72
False Profits				\$ (32,853.72)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Frank Nagel Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/03/12	WF-9302	Oasis Management, LLC	\$ 1,000.00	\$ -
05/29/12	WF-9302	Oasis Management, LLC	-	266.57
07/05/12	WF-9302	Oasis Management, LLC	-	417.25
08/08/12	WF-9302	Oasis Management, LLC	-	159.45
09/11/12	WF-9302	Oasis Management, LLC	-	145.41
10/09/12	WF-9302	Oasis Management, LLC	-	55.94
11/13/12	WF-9302	Oasis Management, LLC	-	64.25
12/18/12	WF-9302	Oasis Management, LLC	-	36.11
01/04/13	WF-9302	Oasis Management, LLC	-	12.35
02/06/13	WF-9302	Oasis Management, LLC	-	29.21
04/03/13	WF-9302	Oasis Management, LLC	-	26.66
05/08/13	WF-9302	Oasis Management, LLC	-	30.05
06/10/13	WF-9302	Oasis Management, LLC	-	33.53
07/18/13	WF-9302	Oasis Management, LLC	-	27.26
08/07/13	WF-9302	Oasis Management, LLC	-	27.67
09/18/13	WF-9302	Oasis Management, LLC	-	16.19
10/08/13	WF-9302	Oasis Management, LLC	-	18.57
11/15/13	WF-9302	Oasis Management, LLC	-	12.83
02/04/14	WF-9302	Oasis Management, LLC	-	39.97
02/17/16	WF-9302	Oasis Management, LLC	-	10,100.00
03/03/17	WF-9302	Oasis Management, LLC	-	17,213.24
04/11/17	WF-9302	Oasis Management, LLC	-	12,612.70
03/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	9,000.00
12/06/18	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
04/05/19	Citi-0764	Fundadminstration Inc F/B/O	-	8,000.00
Total			1,000.00	66,345.21
False Profits				\$ (65,345.21)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Nick Patterson Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/13/12	WF-9302	Oasis Management, LLC	\$ -	\$ 51.84
05/14/12	WF-9302	Oasis Management, LLC	-	135.47
05/30/12	WF-9302	Oasis Management, LLC	-	710.85
07/02/12	WF-9302	Oasis Management, LLC	-	1,001.41
08/06/12	WF-9302	Oasis Management, LLC	-	800.36
09/06/12	WF-9302	Oasis Management, LLC	-	855.40
10/05/12	WF-9302	Oasis Management, LLC	-	337.03
11/13/12	WF-9302	Oasis Management, LLC	-	540.35
12/06/12	WF-9302	Oasis Management, LLC	-	302.20
01/02/13	WF-9302	Oasis Management, LLC	-	72.39
02/07/13	WF-9302	Oasis Management, LLC	-	252.85
03/04/13	WF-9302	Oasis Management, LLC	-	293.90
04/03/13	WF-9302	Oasis Management, LLC	-	226.22
05/06/13	WF-9302	Oasis Management, LLC	-	253.27
06/13/13	WF-9302	Oasis Management, LLC	-	150.42
07/15/13	WF-9302	Oasis Management, LLC	-	121.59
08/12/13	WF-9302	Oasis Management, LLC	-	122.87
09/09/13	WF-9302	Oasis Management, LLC	-	65.39
10/08/13	WF-9302	Oasis Management, LLC	-	60.69
11/08/13	WF-9302	Oasis Management, LLC	-	41.79
02/03/14	WF-9302	Oasis Management, LLC	-	52.71
03/28/14	WF-9302	Oasis Management, LLC	-	9.24
06/03/14	WF-9302	Oasis Management, LLC	-	8.48
Total			-	6,466.72
False Profits				\$ (6,466.72)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Vince Petralis, Sr Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
07/03/12	WF-9302	Oasis Management, LLC	\$ -	\$ 1,043.14
08/08/12	WF-9302	Oasis Management, LLC	-	388.50
09/10/12	WF-9302	Oasis Management, LLC	-	350.62
10/09/12	WF-9302	Oasis Management, LLC	-	756.00
11/13/12	WF-9302	Oasis Management, LLC	-	1,200.01
12/06/12	WF-9302	Oasis Management, LLC	-	666.00
01/03/13	WF-9302	Oasis Management, LLC	-	299.22
02/11/13	WF-9302	Oasis Management, LLC	-	705.00
03/05/13	WF-9302	Oasis Management, LLC	-	819.00
04/03/13	WF-9302	Oasis Management, LLC	-	630.00
05/08/13	WF-9302	Oasis Management, LLC	-	705.00
06/07/13	WF-9302	Oasis Management, LLC	-	780.25
07/11/13	WF-9302	Oasis Management, LLC	-	630.00
08/07/13	WF-9302	Oasis Management, LLC	-	636.00
09/09/13	WF-9302	Oasis Management, LLC	-	370.06
10/09/13	WF-9302	Oasis Management, LLC	-	423.00
11/14/13	WF-9302	Oasis Management, LLC	-	291.00
01/22/14	WF-9302	Oasis Management, LLC	-	903.61
03/07/14	WF-9302	Oasis Management, LLC	-	105.75
04/16/14	WF-9302	Oasis Management, LLC	-	41.42
05/12/14	WF-9302	Oasis Management, LLC	-	95.64
06/09/14	WF-9302	Oasis Management, LLC	-	38.41
07/09/14	WF-9302	Oasis Management, LLC	-	76.81
10/14/14	WF-9302	Oasis Management, LLC	-	35.51
12/04/14	WF-9302	Oasis Management, LLC	-	76.30
01/26/15	WF-9302	Oasis Management, LLC	-	79.01
02/06/15	WF-9302	Oasis Management, LLC	-	86.61
05/08/15	WF-9302	Oasis Management, LLC	-	189.93
06/12/15	WF-9302	Oasis Management, LLC	-	53.94
08/13/15	WF-9302	Oasis Management, LLC	-	76.73
09/08/15	WF-9302	Oasis Management, LLC	-	38.75
10/19/15	WF-9302	Oasis Management, LLC	-	25.14
11/12/15	WF-9302	Oasis Management, LLC	-	68.38
12/15/15	WF-9302	Oasis Management, LLC	-	29.63
01/13/16	WF-9302	Oasis Management, LLC	-	50.90
02/12/16	WF-9302	Oasis Management, LLC	-	99.53

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Vince Petralis, Sr Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/14/16	WF-9302	Oasis Management, LLC	-	129.16
04/12/16	WF-9302	Oasis Management, LLC	-	71.42
05/12/16	WF-9302	Oasis Management, LLC	-	72.18
06/09/16	WF-9302	Oasis Management, LLC	-	53.18
07/13/16	WF-9302	Oasis Management, LLC	-	83.75
08/17/16	WF-9302	Oasis Management, LLC	-	116.24
09/12/16	WF-9302	Oasis Management, LLC	-	76.73
10/12/16	WF-9302	Oasis Management, LLC	-	95.73
11/09/16	WF-9302	Oasis Management, LLC	-	151.95
12/08/16	WF-9302	Oasis Management, LLC	-	59.26
01/12/17	WF-9302	Oasis Management, LLC	-	69.14
02/13/17	WF-9302	Oasis Management, LLC	-	56.22
03/08/17	WF-9302	Oasis Management, LLC	-	142.07
04/10/17	WF-9302	Oasis Management, LLC	-	32.67
05/08/17	WF-9302	Oasis Management, LLC	-	35.71
06/12/17	WF-9302	Oasis Management, LLC	-	41.75
07/11/17	WF-9302	Oasis Management, LLC	-	47.86
08/22/17	WF-9302	Oasis Management, LLC	-	139.03
09/12/17	WF-9302	Oasis Management, LLC	-	123.84
10/12/17	WF-9302	Oasis Management, LLC	-	123.84
11/13/17	WF-9302	Oasis Management, LLC	-	129.16
12/11/17	WF-9302	Oasis Management, LLC	-	95.09
01/02/18	WF-9302	Oasis Management, LLC	-	75.97
02/13/18	WF-9302	Oasis Management, LLC	-	371.77
03/16/18	WF-9302	Oasis Management, LLC	-	239.06
04/12/18	WF-9302	Oasis Management, LLC	-	209.38
05/14/18	WF-9302	Oasis Management, LLC	-	106.06
06/08/18	WF-9302	Oasis Management, LLC	-	109.10
09/19/18	WF-9302	Oasis Management, LLC	-	10,564.39
Total			-	26,486.51
False Profits				\$ (26,486.51)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Vince Petralis Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
02/21/12	WF-9302	Oasis Management, LLC	\$ -	\$ 1,016.48
03/12/12	WF-9302	Oasis Management, LLC	-	820.00
04/17/12	WF-9302	Oasis Management, LLC	-	1,036.79
05/23/14	WF-9302	Oasis Management, LLC	-	24,936.70
07/08/14	WF-9302	Oasis Management, LLC	-	43.10
07/15/14	WF-9302	Oasis Management, LLC	-	86.28
10/17/14	WF-9302	Oasis Management, LLC	-	39.36
11/24/14	WF-9302	Oasis Management, LLC	-	31.93
01/16/15	WF-9302	Oasis Management, LLC	-	1,090.18
04/09/15	WF-9302	Oasis Management, LLC	-	88.89
07/27/15	WF-9302	Oasis Management, LLC	-	56.28
Total			-	29,245.99
False Profits				\$ (29,245.99)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Anthony Procopio Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/15/18	WF-9302	Oasis Management, LLC	\$ 5,000.00	\$ -
03/07/19	Citi-0764	Fundadminstration Inc F/B/O	-	4,000.00
04/05/19	Citi-0764	Fundadminstration Inc F/B/O		8,000.00
Total			5,000.00	12,000.00
False Profits				\$ (7,000.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED, ET
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Case No. 8:19-cv-00886-VMC-SPF
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Jerry Puccio Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/14/13	WF-9302	Oasis Management, LLC	\$ -	\$ 682.50
04/12/13	WF-9302	Oasis Management, LLC	-	525.00
05/10/13	WF-9302	Oasis Management, LLC	-	587.50
06/11/13	WF-9302	Oasis Management, LLC	-	650.21
07/12/13	WF-9302	Oasis Management, LLC	-	525.00
08/13/13	WF-9302	Oasis Management, LLC	-	530.00
09/10/13	WF-9302	Oasis Management, LLC	-	308.38
10/10/13	WF-9302	Oasis Management, LLC	-	352.50
11/27/13	WF-9302	Oasis Management, LLC	-	242.50
01/28/14	WF-9302	Oasis Management, LLC	-	753.01
03/31/14	WF-9302	Oasis Management, LLC	-	526.96
05/08/14	WF-9302	Oasis Management, LLC	-	206.39
06/10/14	WF-9302	Oasis Management, LLC	-	476.57
06/10/14	WF-9302	Oasis Management, LLC	-	191.38
07/24/14	WF-9302	Oasis Management, LLC	-	382.76
10/22/14	WF-9302	Oasis Management, LLC	-	176.94
11/28/14	WF-9302	Oasis Management, LLC	-	380.23
01/14/15	WF-9302	Oasis Management, LLC	-	393.73
02/09/15	WF-9302	Oasis Management, LLC	-	431.59
04/06/15	WF-9302	Oasis Management, LLC	-	442.94
05/14/15	WF-9302	Oasis Management, LLC	-	946.46
06/18/15	WF-9302	Oasis Management, LLC	-	268.79
07/23/15	WF-9302	Oasis Management, LLC	-	280.44
08/31/15	WF-9302	Oasis Management, LLC	-	382.37
10/01/15	WF-9302	Oasis Management, LLC	-	193.08
11/17/15	WF-9302	Oasis Management, LLC	-	125.29
11/17/15	WF-9302	Oasis Management, LLC	-	340.73
01/04/16	WF-9302	Oasis Management, LLC	-	147.65
01/29/16	WF-9302	Oasis Management, LLC	-	253.65
03/11/16	WF-9302	Oasis Management, LLC	-	495.94
03/29/16	WF-9302	Oasis Management, LLC	-	643.59
04/13/16	WF-9302	Oasis Management, LLC	-	355.87
05/18/16	WF-9302	Oasis Management, LLC	-	359.65
06/20/16	WF-9302	Oasis Management, LLC	-	265.01
07/25/16	WF-9302	Oasis Management, LLC	-	417.33
Total			-	14,241.94
False Profits				\$ (14,241.94)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Jay Renner Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/04/12	WF-9302	Oasis Management, LLC	\$ -	\$ 888.56
07/20/12	WF-9302	Oasis Management, LLC	-	1,043.14
08/10/12	WF-9302	Oasis Management, LLC	-	388.50
09/10/12	WF-9302	Oasis Management, LLC	-	350.62
10/10/12	WF-9302	Oasis Management, LLC	-	126.00
11/09/12	WF-9302	Oasis Management, LLC	-	200.00
12/05/12	WF-9302	Oasis Management, LLC	-	111.00
01/07/13	WF-9302	Oasis Management, LLC	-	49.87
01/08/13	WF-9302	Oasis Management, LLC	-	10,000.00
Total			-	13,157.69
False Profits				\$ (13,157.69)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Michael Rubel Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/15/17	Citi-0764	Fundadminstration Inc F/B/O	\$ 100,000.00	\$ -
02/08/18	Citi-0764	Fundadminstration Inc F/B/O	-	110,232.92
Total			100,000.00	110,232.92
False Profits				\$ (10,232.92)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Margaret Sims Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
08/27/12	WF-9302	Oasis Management, LLC	\$ 5,000.00	\$ -
01/04/13	WF-9302	Oasis Management, LLC	15,000.00	-
03/17/16	WF-9302	Oasis Management, LLC	-	26,681.49
Total			20,000.00	26,681.49
False Profits				\$ (6,681.49)

COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP, LIMITED, ET AL
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Allen & Loreen Steinfeld Transactions

Source: Bank Records

Date	Bank-ID	Bank Account Name	Receipts	Disbursements	Net Funds
07/24/14	WF-9302	Oasis Management, LLC	\$ -	\$ 24,500.00	\$ (24,500.00)
07/24/14	WF-9302	Oasis Management, LLC	-	4,000.00	(4,000.00)
01/12/15	WF-9302	Oasis Management, LLC	-	24,000.00	(24,000.00)
07/14/15	WF-9302	Oasis Management, LLC	-	24,000.00	(24,000.00)
01/05/16	WF-9302	Oasis Management, LLC	-	24,000.00	(24,000.00)
Oasis Management, LLC Total			-	100,500.00	(100,500.00)
05/23/16	BOA-8346	Fundadminstration Inc	-	48,000.00	(48,000.00)
06/16/17	Citi-0764	Fundadminstration Inc F/B/O	-	12,000.00	(12,000.00)
Fundadminstration Inc Total			-	60,000.00	(60,000.00)
Total			\$ -	\$ 160,500.00	\$ (160,500.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Ford Sumner Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
03/21/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 2,500.00
06/26/14	BOA-8346	Fundadminstration Inc	-	2,600.00
07/02/14	BOA-8346	Fundadminstration Inc	-	902.00
08/12/14	BOA-8346	Fundadminstration Inc	-	1,100.00
11/10/14	BOA-8346	Fundadminstration Inc	-	750.00
02/17/15	BOA-8346	Fundadminstration Inc	-	4,350.00
03/24/15	BOA-8346	Fundadminstration Inc	-	2,000.00
06/09/15	BOA-8346	Fundadminstration Inc	-	775.00
08/05/15	BOA-8346	Fundadminstration Inc	-	1,000.00
03/31/16	BOA-8346	Fundadminstration Inc	-	210.00
Total			-	16,187.00
False Profits				\$ (16,187.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Edgar and Donna Uhler Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
01/21/14	BOA-8346	Fundadminstration Inc	\$ -	\$ 302.47
04/25/14	BOA-8346	Fundadminstration Inc	-	295.89
07/29/14	BOA-8346	Fundadminstration Inc	-	299.18
10/21/14	BOA-8346	Fundadminstration Inc	-	302.47
01/20/15	BOA-8346	Fundadminstration Inc	-	302.47
04/23/15	BOA-8346	Fundadminstration Inc	-	295.89
07/22/15	BOA-8346	Fundadminstration Inc	-	299.18
10/21/15	BOA-8346	Fundadminstration Inc	-	302.47
01/29/16	BOA-8346	Fundadminstration Inc	-	302.47
04/20/16	BOA-8346	Fundadminstration Inc	-	298.36
07/27/16	BOA-8346	Fundadminstration Inc	-	298.36
10/24/16	BOA-8346	Fundadminstration Inc	-	301.64
01/19/17	BOA-8346	Fundadminstration Inc	-	301.64
04/21/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	295.89
06/29/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	10,263.01
Total			-	14,461.39
False Profits				\$ (14,461.39)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Carmine Vona Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
04/16/18	WF-9302	Oasis Management, LLC	\$ -	\$ 1,350.00
07/12/18	WF-9302	Oasis Management, LLC	-	1,350.00
10/09/18	WF-9302	Oasis Management, LLC	-	1,350.00
03/19/19	WF-9302	Oasis Management, LLC	-	1,350.00
04/05/19	WF-9302	Oasis Management, LLC	-	1,350.00
Total			-	6,750.00
False Profits				\$ (6,750.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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David Wilkerson Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
05/22/12	WF-9302	Oasis Management, LLC	\$ 1,000.00	\$ -
05/29/12	WF-9302	Oasis Management, LLC	-	266.57
08/01/16	WF-9302	Oasis Management, LLC	-	9,000.00
06/18/18	WF-9302	Oasis Management, LLC	-	7,365.21
Total			1,000.00	16,631.78
False Profits				\$ (15,631.78)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
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Stefania Wood Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/04/18	WF-9302	Oasis Management, LLC	\$ -	\$ 1,050.00
07/30/18	WF-9302	Oasis Management, LLC	-	1,050.00
10/23/18	WF-9302	Oasis Management, LLC	-	1,050.00
03/19/19	WF-9302	Oasis Management, LLC	-	1,050.00
04/16/19	WF-9302	Oasis Management, LLC	-	1,050.00
Total			-	5,250.00
False Profits				\$ (5,250.00)

**COMMODITY FUTURES TRADING COMMISSION V. OASIS INTERNATIONAL GROUP,
LIMITED, ET AL**

Case No. 8:19-cv-00886-VMC-SPF

United States District Court

Middle District of Florida

Tampa Division

Zhuo Xu Transactions

Source: Bank Records

Date	Bank ID	Bank Account Name	Funds Received from Investor	Funds Paid to Investor
06/08/16	BOA-8346	Fundadminstration Inc	\$ -	\$ 8,500.00
06/08/16	BOA-8346	Fundadminstration Inc	-	8,500.00
06/08/16	BOA-8346	Fundadminstration Inc	-	25,500.00
10/03/16	BOA-8346	Fundadminstration Inc	-	25,500.00
01/06/17	BOA-8346	Fundadminstration Inc	-	25,500.00
04/05/17	BOA-8346	Fundadminstration Inc	-	25,500.00
06/21/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	8,500.00
07/14/17	Citi 5606	Mainstream Fund Services, Inc Operating Account	-	8,500.00
Total			-	136,000.00
False Profits				<u>\$(136,000.00)</u>

EXHIBIT B

OASIS - Citibank N.A.

1/7/2019

USD

REDACTED 8346- OASIS INTL GROUP LTD

OPENING BALANCE	<u>\$5,228,038.91</u>
ACTIVITY	
Wire from Randall and Bambi Palloto	\$1,000,000.00
Int'l Funds Transfer-Citibusiness O/L	(\$2,927.20)
BA FUNDS TRN OUT WIRE TO Ivan Michelena	(\$500.00)
BA FUNDS TRN OUT WIRE TO William Beyer	(\$800.00)
Wire to Joseph & Geraldine Contoursi	(\$800.00)
BA FUNDS TRN OUT WIRE TO Michael P. Heaney	(\$812.72)
BA FUNDS TRN OUT WIRE TO Steven Holmes	(\$1,000.00)
BA FUNDS TRN OUT WIRE TO Shawn Marhsall	(\$1,000.00)
BA FUNDS TRN OUT WIRE TO Kayla Crowley	(\$1,492.12)
BA FUNDS TRN OUT WIRE TO Nancy Stedman	(\$1,500.00)
Wire to Joseph and Lynne LaVecchia	(\$1,624.64)
BA FUNDS TRN OUT WIRE TO Pamela A. Lynch	(\$1,800.00)
BA FUNDS TRN OUT WIRE TO Roy L. Davidson	(\$2,200.00)
Wire to Family First Federal Credit Union	(\$2,700.00)
BA FUNDS TRN OUT WIRE TO Life's Elements Inc	(\$3,000.00)
Wire to Debra and Roger Stutzman	(\$3,500.00)
BA FUNDS TRN OUT WIRE TO Charles C Huckabee	(\$4,000.00)
BA FUNDS TRN OUT WIRE TO Chad Hicks	(\$4,052.98)
Wire to Dollhouse Properties LLC	(\$4,500.00)
Wire to Bonnie Lee Remick, Trustee	(\$5,000.00)
BA FUNDS TRN OUT WIRE TO Never Again LLC	(\$5,250.00)
Wire to Wesley W. Oswald & Mary jo Oswald	(\$5,500.00)
BA FUNDS TRN OUT WIRE TO Don Boyd	(\$6,700.00)
Wire to George and Nichole Marrazzo	(\$6,791.35)
BA FUNDS TRN OUT WIRE TO Vincent Raia	(\$6,855.60)
BA FUNDS TRN OUT WIRE TO Henry Rojas	(\$7,000.00)
BA FUNDS TRN OUT WIRE TO Rocco Garbellano	(\$7,131.80)
BA FUNDS TRN OUT WIRE TO Lance Wren	(\$8,000.00)
Wire to Central Bank of St. Louis	(\$8,460.93)
Wire to David D. and Sandra H Ogletree	(\$9,000.00)
BA FUNDS TRN OUT WIRE TO David Lipinczyk	(\$10,000.00)
Wire to Timothy and Sonja Devine	(\$10,000.00)
Wire to MCN Management Advisors Inc.	(\$10,000.00)
Wire to Clark Asset Management Co.	(\$12,000.00)
BA FUNDS TRN OUT WIRE TO Glidant LLC	(\$12,949.85)
BA FUNDS TRN OUT WIRE TO I.W. Land Partners	(\$13,480.25)
BA FUNDS TRN OUT WIRE TO Michael Squillante	(\$14,000.00)
BA FUNDS TRN OUT WIRE TO Benedetto Dalia	(\$14,317.37)
BA FUNDS TRN OUT WIRE TO Constantinos Nicolaou	(\$15,000.00)
Wire to Elysium International Group, LLC	(\$15,000.00)
BA FUNDS TRN OUT WIRE TO Deborah Burke	(\$15,214.68)
BA FUNDS TRN OUT WIRE TO Michael Moran	(\$17,000.00)
Wire to Kerrigan Management Inc.	(\$17,500.00)
Wire to Lloyd E.Lyle DBA Beale	(\$18,064.16)

MS0000010030

Wire to Voyager GatewayEnterprises LLC	(\$20,000.00)
Wire to New Horizon Capital Ventures Inc.	(\$25,000.00)
BA FUNDS TRN OUT WIRE TO Gil and Charis Wilson	(\$25,000.00)
BA FUNDS TRN OUT WIRE TO Plana LLC	(\$44,583.90)
BA FUNDS TRN OUT WIRE TO Ray Montie III	(\$58,395.64)
Wire to Stephen and Carlene Peterson	(\$76,000.00)
BA FUNDS TRN OUT WIRE TO Michael DeYoung	(\$192,251.21)
BA FUNDS TRN OUT WIRE TO Donald J Carter Jr	(\$250,000.00)
BA FUNDS TRN OUT WIRE TO Kevin A. Kerrigan	(\$257,000.00)
CLOSING BALANCE	<u>\$4,971,382.51</u>

REDACTED 9550- OASIS GLOBAL FX S.A.

OPENING BALANCE	\$10,000.00
ACTIVITY	
CLOSING BALANCE	<u>\$10,000.00</u>

REDACTED 9631- OASIS GLOBAL (NEVIS) LTD

OPENING BALANCE	\$10,000.00
ACTIVITY	
CLOSING BALANCE	<u>\$10,000.00</u>

<u>TOTAL HELD IN ESCROW</u>	<u>\$4,991,382.51</u>
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EXHIBIT C

AF Approval



Chief Approval



UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION

UNITED STATES OF AMERICA

v.

CASE NO. 8:19-cr-334-T-35CPT

JOSEPH S. ANILE, II

PLEA AGREEMENT

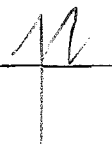
Pursuant to Fed. R. Crim. P. 11(c), the United States of America, by Maria Chapa Lopez, United States Attorney for the Middle District of Florida, and the defendant, Joseph S. Anile, II, and the attorney for the defendant, Gerard Marrone, mutually agree as follows:

A. Particularized Terms

1. Count(s) Pleading To

The defendant shall enter a plea of guilty to Counts One, Two and Three of the Information. Count One charges the defendant with conspiracy to commit wire fraud and mail fraud, in violation of 18 U.S.C. § 1349. Count Two charges the defendant with an illegal monetary transaction, in violation of 18 U.S.C. § 1957. Count Three charges the defendant with filing a false income tax return, in violation of 26 U.S.C. § 7206(1).

Defendant's Initials



2. Maximum Penalties

Count One carries a maximum sentence of 20 years of imprisonment, a fine of \$250,000 or twice the gross gain caused by the offense, or twice the gross loss caused by the offense, whichever is greater, a term of supervised release of three years, and a special assessment of \$100 per felony count for individuals. Count Two carries a maximum sentence of 10 years of imprisonment, a fine of \$250,000 or twice the amount of the criminally derived property involved in the transaction, a term of supervised release of three years, and a special assessment of \$100 per felony count for individuals. Count Three carries a maximum sentence of three years of imprisonment, a fine of \$100,000, a term of supervised release of one year, and a special assessment of \$100 per felony count for individuals. With respect to certain offenses, the Court shall order the defendant to make restitution to any victim of the offense(s), and with respect to other offenses, the Court may order the defendant to make restitution to any victim of the offense(s), or to the community, as set forth below.

3. Elements of the Offenses

The defendant acknowledges understanding the nature and elements of the offenses with which defendant has been charged and to which defendant is pleading guilty.

The elements of Count One are:

- First: Two or more persons, in some way or manner, agreed to try to accomplish a common and unlawful plan to commit wire fraud or mail fraud, as charged in the Information;
- Second: The defendant knew of it; and
- Third: The defendant knowingly and voluntarily joined it.

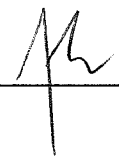
The elements of Count Two are:

- First: The defendant knowingly engaged or attempted to engage in a monetary transaction;
- Second: The defendant knew the transaction involved property or funds that were the proceeds of some criminal activity;
- Third: The property had a value of more than \$10,000;
- Fourth: The property was in fact proceeds of wire fraud or mail fraud, the specified unlawful activity alleged in the Information; and
- Fifth: The transaction took place in the United States.

The elements of Count Three are:

- First: The defendant made or caused to be made a U.S. Individual Income Tax Return, IRS Form 1040 for the year 2017;
- Second: The tax return contained a written declaration that it was made under the penalty of perjury;

Defendant's Initials



Third: When the defendant made or helped to make the tax return, he knew it contained false material information;

Fourth: When the defendant did so, he intended to do something he knew violated the law;

Fifth: The false matter in the tax return related to a material statement.

4. Indictment Waiver

Defendant will waive the right to be charged by way of indictment before a federal grand jury.

5. No Further Charges

If the Court accepts this plea agreement, the United States Attorney's Office for the Middle District of Florida agrees not to charge defendant with committing any other federal criminal offenses known to the United States Attorney's Office at the time of the execution of this agreement, related to the conduct giving rise to this plea agreement.

6. Mandatory Restitution to Victim of Offense of Conviction

Pursuant to 18 U.S.C. § 3663A(a) and (b), defendant agrees to make full restitution to the victim-investors in this case.

7. Guidelines Sentence

Pursuant to Fed. R. Crim. P. 11(c)(1)(B), the United States will recommend to the Court that the defendant be sentenced within the defendant's applicable guidelines range as determined by the Court pursuant to the United States Sentencing Guidelines, as adjusted by any departure the United States has agreed to recommend in this plea agreement. The parties understand that such a recommendation is not binding on the Court and that, if it is not accepted by this Court, neither the United States nor the defendant will be allowed to withdraw from the plea agreement, and the defendant will not be allowed to withdraw from the plea of guilty.

8. Acceptance of Responsibility - Three Levels

At the time of sentencing, and in the event that no adverse information is received suggesting such a recommendation to be unwarranted, the United States will recommend to the Court that the defendant receive a two-level downward adjustment for acceptance of responsibility, pursuant to USSG §3E1.1(a). The defendant understands that this recommendation or request is not binding on the Court, and if not accepted by the Court, the defendant will not be allowed to withdraw from the plea.

Further, at the time of sentencing, if the defendant's offense level prior to operation of subsection (a) is level 16 or greater, and if the defendant

complies with the provisions of USSG §3E1.1(b) and all terms of this Plea Agreement, including but not limited to, the timely submission of the financial affidavit referenced in Paragraph B.5., the United States agrees to file a motion pursuant to USSG §3E1.1(b) for a downward adjustment of one additional level. The defendant understands that the determination as to whether the defendant has qualified for a downward adjustment of a third level for acceptance of responsibility rests solely with the United States Attorney for the Middle District of Florida, and the defendant agrees that the defendant cannot and will not challenge that determination, whether by appeal, collateral attack, or otherwise.

9. Upward Departure

At the time of sentencing, and in the event that no adverse information is received suggesting such a recommendation to be unwarranted, the United States does not oppose the defendant's request to the Court that in sentencing the defendant the Court not depart upward from the applicable sentencing guideline range.

10. Cooperation - Substantial Assistance to be Considered

Defendant agrees to cooperate fully with the United States in the investigation and prosecution of other persons, and to testify, subject to a prosecution for perjury or making a false statement, fully and truthfully before any federal court proceeding or federal grand jury in connection with the charges

in this case and other matters, such cooperation to further include a full and complete disclosure of all relevant information, including production of any and all books, papers, documents, and other objects in defendant's possession or control, and to be reasonably available for interviews which the United States may require. If the cooperation is completed prior to sentencing, the government agrees to consider whether such cooperation qualifies as "substantial assistance" in accordance with the policy of the United States Attorney for the Middle District of Florida, warranting the filing of a motion at the time of sentencing recommending (1) a downward departure from the applicable guideline range pursuant to USSG §5K1.1, or (2) the imposition of a sentence below a statutory minimum, if any, pursuant to 18 U.S.C. § 3553(e), or (3) both. If the cooperation is completed subsequent to sentencing, the government agrees to consider whether such cooperation qualifies as "substantial assistance" in accordance with the policy of the United States Attorney for the Middle District of Florida, warranting the filing of a motion for a reduction of sentence within one year of the imposition of sentence pursuant to Fed. R. Crim. P. 35(b). In any case, the defendant understands that the determination as to whether "substantial assistance" has been provided or what type of motion related thereto will be filed, if any, rests solely with the United States Attorney for the Middle District of

Florida, and the defendant agrees that defendant cannot and will not challenge that determination, whether by appeal, collateral attack, or otherwise.

11. Use of Information - Section 1B1.8

Pursuant to USSG §1B1.8(a), the United States agrees that no self-incriminating information which the defendant may provide during the course of defendant's cooperation and pursuant to this agreement shall be used in determining the applicable sentencing guideline range, subject to the restrictions and limitations set forth in USSG §1B1.8(b).

12. Cooperation - Responsibilities of Parties

a. The government will make known to the Court and other relevant authorities the nature and extent of defendant's cooperation and any other mitigating circumstances indicative of the defendant's rehabilitative intent by assuming the fundamental civic duty of reporting crime. However, the defendant understands that the government can make no representation that the Court will impose a lesser sentence solely on account of, or in consideration of, such cooperation.

b. It is understood that should the defendant knowingly provide incomplete or untruthful testimony, statements, or information pursuant to this agreement, or should the defendant falsely implicate or incriminate any person, or should the defendant fail to voluntarily and unreservedly disclose and provide

full, complete, truthful, and honest knowledge, information, and cooperation regarding any of the matters noted herein, the following conditions shall apply:

(1) The defendant may be prosecuted for any perjury or false declarations, if any, committed while testifying pursuant to this agreement, or for obstruction of justice.

(2) The United States may prosecute the defendant for the charges which are to be dismissed pursuant to this agreement, if any, and may either seek reinstatement of or refile such charges and prosecute the defendant thereon in the event such charges have been dismissed pursuant to this agreement. With regard to such charges, if any, which have been dismissed, the defendant, being fully aware of the nature of all such charges now pending in the instant case, and being further aware of defendant's rights, as to all felony charges pending in such cases (those offenses punishable by imprisonment for a term of over one year), to not be held to answer to said felony charges unless on a presentment or indictment of a grand jury, and further being aware that all such felony charges in the instant case have heretofore properly been returned by the indictment of a grand jury, does hereby agree to reinstatement of such charges by recision of any order dismissing them or, alternatively, does hereby waive, in open court, prosecution by indictment and consents that the United States may proceed by information instead of by indictment with regard to any felony

charges which may be dismissed in the instant case, pursuant to this plea agreement, and the defendant further agrees to waive the statute of limitations and any speedy trial claims on such charges.

(3) The United States may prosecute the defendant for any offenses set forth herein, if any, the prosecution of which in accordance with this agreement, the United States agrees to forego, and the defendant agrees to waive the statute of limitations and any speedy trial claims as to any such offenses.

(4) The government may use against the defendant the defendant's own admissions and statements and the information and books, papers, documents, and objects that the defendant has furnished in the course of the defendant's cooperation with the government.

(5) The defendant will not be permitted to withdraw the guilty pleas to those counts to which defendant hereby agrees to plead in the instant case but, in that event, defendant will be entitled to the sentencing limitations, if any, set forth in this plea agreement, with regard to those counts to which the defendant has pled; or in the alternative, at the option of the United States, the United States may move the Court to declare this entire plea agreement null and void.

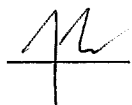
13. Taxes - Payment and Cooperation

The defendant agrees to pay all taxes, interest, and penalties found to be lawfully owed and due to the Internal Revenue Service for the years 2016 through and including 2018, and to cooperate with and provide to the Internal Revenue Service any documentation necessary for a correct computation of all taxes due and owing for those years, and further agrees that the Court may make this term a condition of any sentence of probation or supervised release.

14. Forfeiture of Assets

The defendant agrees to forfeit to the United States immediately and voluntarily any and all assets and property, or portions thereof, subject to forfeiture, pursuant to 18 U.S.C. § 981(a)(1)(C) and 982(a)(1), and 28 U.S.C. § 2461(c), whether in the possession or control of the United States, the defendant, or defendant's nominees. The assets to be forfeited specifically include, but are not limited to, the following: an order of forfeiture in the amount of \$3,283,467, which represents the proceeds the defendant admits he obtained, as the result of the commission of the offenses to which the defendant is pleading guilty, as well as the following assets which were purchased or funded with proceeds of the offenses to which the defendant is to plead guilty:

- a. All funds in any foreign exchange market accounts established by the defendant and/or Oasis International Group, Ltd., Oasis Management, LLC, Oasis Global FX,



Ltd., and/or Oasis Global, S.A., to receive proceeds of the offenses;

- b. 2015 Mercedes-Benz SLK350, Vehicle Identification Number: WDDPK5HA8FF099097;
- c. 2016 Mercedes-Benz GLE400, Vehicle Identification Number: 4JGDA5GB5GA622371;
- d. 2015 Ferrari Convertible California T, Vehicle Identification Number: ZFF77XJA3F0208054;
- e. Real Property located at 13318 Lost Key Place, Lakewood Ranch, Florida 34202, including all improvements thereon and appurtenances thereto, the legal description for which is as follows:

Lot 15, Block B , Lakewood Ranch Country Club Village, Subphase U a/k/a Palmer's Creek & Subphase X a/k/a Keswick, a Subdivision, according to the plat thereof, as recorded in Plat Book 40, Pages 71 through 85, inclusive, of the Public Records of Manatee County, Florida.

Property ID Number: 5885.0870/9.

- f. Real Property located at 6922 LaCantera Circle, Lakewood Ranch, Florida 34202, including all improvements thereon and appurtenances thereto, the legal description for which is as follows:

LOT 5, LAKEWOOD RANCH COUNTRY CLUB VILLAGE, SUBPHASE MM, A/KIA LACANTERA, ACCORDING TO THE PLAT THEREOF, AS RECORDED IN PLAT BOOK 48, PAGES 146 THROUGH 164, OF THE PUBLIC RECORDS OF MANATEE COUNTY, FLORIDA.

Property ID Number: 587304259.

- g. Real Property located at 444 Gulf of Mexico Drive, #3, Longboat Key, Florida 34228, including all improvements thereon and appurtenances thereto, the legal description for which is as follows:

UNIT 3, SAILBOAT SQUARE, A CONDOMINIUM ACCORDING TO DECLARATION OF CONDOMINIUM, RECORDED IN OR BOOK 3075, PAGE 826, AS AMENDED FROM TIME TO TIME, AND AS PER PLAT THEREOF RECORDED IN CONDOMINIUM BOOK 32, PAGES 24, 24A, 24B AND 24C, PUBLIC RECORDS OF SARASOTA COUNTY, FLORIDA.

TOGETHER WITH:

PARKING SPACES 35 THROUGH 42, INCLUSIVE AND 108 THROUGH 121, INCLUSIVE, AND BOAT SLIPS 3 AND 4 WITH THE DOCK LYING BETWEEN THOSE BOAT SLIPS.

Property ID Number: 0012043003.

- h. Real Property located at 16804 Vardon Terrace, #108, Bradenton, Florida 34211, including all improvements thereon and appurtenances thereto, the legal description for which is as follows:

Unit No. 218, in Building 2, of Phase 2, of Terrace I at Lakewood National, a Phase Condominium, according to the Declaration of Condominium thereof, as recorded in Official Records Book 2685, Page 5583, of the Public Records of Manatee County, Florida, as amended.

Property ID Number: 581533109.

- i. Real Property located at 16904 Vardon Terrace, #106, Bradenton, Florida 34211, including all improvements

thereon and appurtenances thereto, the legal description for which is as follows:

Unit 416, in Building 4, of Phase 2 of Terrace II at Lakewood National, a Phase Condominium, according to the Declaration of Condominium thereof, as recorded in Official Records Book 2701, Page 3685, of the Public Records of

Manatee County, Florida, as amended.
Property ID Number: 581545959.

- j. Real Property located at 17006 Vardon Terrace, #105, Bradenton, Florida 34211, including all improvements thereon and appurtenances thereto, the legal description for which is as follows:

Unit 515, in Building 5, of Phase I of Terrace III at Lakewood National, a Phase Condominium, according to the Declaration of Condominium thereof, as recorded in Official Records Book 2740, Page 4940, of the Public Records of Manatee County, Florida, as amended.

Property ID Number: 581547459.

- k. Real Property located at 4058 Founders Club Drive, Sarasota, Florida 34240, including all improvements thereon and appurtenances thereto, the legal description for which is as follows:

LOT D-5, FOUNDERS CLUB, A SUBDIVISION,
ACCORDING TO THE PLAT THEREOF RECORDED
IN PLAT BOOK 44, PAGE 30, OF THE PUBLIC
RECORDS OF SARASOTA COUNTY, FLORIDA.

Property ID Number: 0220-03-0023.

- l. Real Property located at 4064 Founders Club Drive, Sarasota, Florida 34240, including all improvements thereon and

appurtenances thereto, the legal description for which is as follows:

LOT D-4, FOUNDERS CLUB, A SUBDIVISION,
ACCORDING TO THE PLAT THEREOF RECORDED
IN PLAT BOOK 44, PAGE 30, OF THE PUBLIC
RECORDS OF SARASOTA COUNTY, FLORIDA.

Property ID Number: 0220030024;

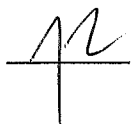
- m. Real Property located at 7312 Desert Ridge Glen, Lakewood Ranch, Florida 34202, including all improvements thereon and appurtenances thereto, the legal description for which is as follows:

LOT 8, BLOCK A, LAKEWOOD RANCH COUNTRY
CLUB VILLAGE, SUBPHASE V A/K/A LEGEND'S
WALK & SUBPHASE W A/K/A KINGSMILL,
ACCORDING TO THE MAP OR PLAT THEREOF, AS
RECORDED IN PLAT BOOK 40, PAGE(S) 149, OF THE
PUBLIC RECORDS OF MANATEE COUNTY,
FLORIDA.

Property ID Number: 588511909.

- n. Approximately 200, one ounce gold coins, seized from 4064 Founders Club Drive, Sarasota, Florida on or about April 18, 2019;
- o. Approximately 100, one hundred ounce silver bars, seized from 4064 Founders Club Drive, Sarasota, Florida on or about April 18, 2019; and
- p. Approximately \$62,750.00 in U.S. Currency, seized from 4064 Founders Club Drive, Sarasota, Florida on or about April 18, 2019.

The defendant admits that the 2015 Ferrari California T was also involved in the



violation of 18 U.S.C. § 1957 to which he is to plead guilty. The net proceeds from the forfeiture and sale of any specific asset(s) will be credited to and reduce the amount the United States shall be entitled to forfeit as substitute assets pursuant to 21 U.S.C. § 853(p).

The defendant acknowledges and agrees that (1) the defendant obtained \$3,283,467 as a result of the commission of the offenses and (2) as a result of the acts and omissions of the defendant, the proceeds not recovered by the United States through the forfeiture of the directly traceable assets listed herein have been transferred to third parties and cannot be located by the United States upon the exercise of due diligence. Therefore, the defendant agrees that, pursuant to 21 U.S.C. § 853(p), the United States is entitled to forfeit any other property of the defendant (substitute assets), up to the amount of proceeds the defendant obtained, as the result of the offense(s) of conviction and, further, the defendant consents to, and agrees not to oppose, any motion for substitute assets filed by the United States up to the amount of proceeds obtained from commission of the offense(s).

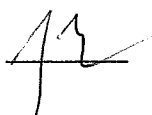
The defendant agrees that forfeiture of substitute assets as authorized herein shall not be deemed an alteration of the defendant's sentence and the United States shall not be limited to the forfeiture of the substitute assets, if any, specifically listed in this plea agreement.

Defendant's Initials 13

The defendant agrees and consents to the forfeiture of these assets pursuant to any federal criminal, civil, judicial or administrative forfeiture action. The defendant also agrees to waive all constitutional, statutory and procedural challenges (including direct appeal, habeas corpus, or any other means) to any forfeiture carried out in accordance with this Plea Agreement on any grounds, including that the forfeiture described herein constitutes an excessive fine, was not properly noticed in the charging instrument, addressed by the Court at the time of the guilty plea, announced at sentencing, or incorporated into the judgment.

The defendant admits and agrees that the conduct described in the Factual Basis below provides a sufficient factual and statutory basis for the forfeiture of the property sought by the government. Pursuant to Rule 32.2(b)(4), the defendant agrees that the preliminary order of forfeiture will satisfy the notice requirement and will be final as to the defendant at the time it is entered. In the event the forfeiture is omitted from the judgment, the defendant agrees that the forfeiture order may be incorporated into the written judgment at any time pursuant to Rule 36.

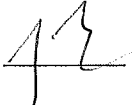
The defendant agrees to take all steps necessary to identify and locate all property subject to forfeiture (including substitute assets) and to transfer custody of such property to the United States before the defendant's sentencing. To that end, the defendant agrees to make a full and complete disclosure of all

Defendant's Initials 

assets over which defendant exercises control, including all assets held by nominees, to execute any documents requested by the United States to obtain from any other parties by lawful means any records of assets owned by the defendant, and to consent to the release of the defendant's tax returns for the previous five years. The defendant agrees to be interviewed by the government, prior to and after sentencing, regarding such assets and their connection to criminal conduct. The defendant further agrees to be polygraphed on the issue of assets, if it is deemed necessary by the United States. The defendant agrees that Federal Rule of Criminal Procedure 11 and USSG § 1B1.8 will not protect from forfeiture assets disclosed by the defendant as part of the defendant's cooperation.

The defendant agrees to take all steps necessary to assist the government in obtaining clear title to the forfeitable assets before the defendant's sentencing. In addition to providing full and complete information about forfeitable assets, these steps include, but are not limited to, the surrender of title, the signing of a consent decree of forfeiture, and signing of any other documents necessary to effectuate such transfers.

The defendant agrees that, in the event the Court determines that the defendant has breached this section of the Plea Agreement, the defendant may be found ineligible for a reduction in the Guidelines calculation for acceptance of

Defendant's Initials 

responsibility and substantial assistance, and may be eligible for an obstruction of justice enhancement.

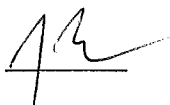
Forfeiture of the defendant's assets shall not be treated as satisfaction of any fine, restitution, cost of imprisonment, or any other penalty the Court may impose upon the defendant in addition to forfeiture.

The defendant agrees that the forfeiture provisions of this plea agreement are intended to, and will, survive the defendant, notwithstanding the abatement of any underlying criminal conviction after the execution of this agreement. The forfeitability of any particular property pursuant to this agreement shall be determined as if the defendant had survived, and that determination shall be binding upon defendant's heirs, successors and assigns until the agreed forfeiture, including the forfeiture of any substitute assets, is final.

B. Standard Terms and Conditions

1. Restitution, Special Assessment and Fine

The defendant understands and agrees that the Court, in addition to or in lieu of any other penalty, shall order the defendant to make restitution to any victim of the offense(s), pursuant to 18 U.S.C. § 3663A, for all offenses described in 18 U.S.C. § 3663A(c)(1); and the Court may order the defendant to make restitution to any victim of the offense(s), pursuant to 18 U.S.C. § 3663, including restitution as to all counts charged, whether or not the defendant enters



a plea of guilty to such counts, and whether or not such counts are dismissed pursuant to this agreement. The defendant further understands that compliance with any restitution payment plan imposed by the Court in no way precludes the United States from simultaneously pursuing other statutory remedies for collecting restitution (28 U.S.C. § 3003(b)(2)), including, but not limited to, garnishment and execution, pursuant to the Mandatory Victims Restitution Act, in order to ensure that the defendant's restitution obligation is satisfied.

On each count to which a plea of guilty is entered, the Court shall impose a special assessment pursuant to 18 U.S.C. § 3013. To ensure that this obligation is satisfied, the Defendant agrees to deliver a check or money order to the Clerk of the Court in the amount of \$300 payable to "Clerk, U.S. District Court" within ten days of the change of plea hearing.

The defendant understands that this agreement imposes no limitation as to fine.

2. Supervised Release

The defendant understands that the offense(s) to which the defendant is pleading provide(s) for imposition of a term of supervised release upon release from imprisonment, and that, if the defendant should violate the conditions of release, the defendant would be subject to a further term of imprisonment.

3. Immigration Consequences of Pleading Guilty

The defendant has been advised and understands that, upon conviction, a defendant who is not a United States citizen may be removed from the United States, denied citizenship, and denied admission to the United States in the future.

4. Sentencing Information

The United States reserves its right and obligation to report to the Court and the United States Probation Office all information concerning the background, character, and conduct of the defendant, to provide relevant factual information, including the totality of the defendant's criminal activities, if any, not limited to the count(s) to which defendant pleads, to respond to comments made by the defendant or defendant's counsel, and to correct any misstatements or inaccuracies. The United States further reserves its right to make any recommendations it deems appropriate regarding the disposition of this case, subject to any limitations set forth herein, if any.

5. Financial Disclosures

Pursuant to 18 U.S.C. § 3664(d)(3) and Fed. R. Crim. P. 32(d)(2)(A)(ii), the defendant agrees to complete and submit to the United States Attorney's Office within 30 days of execution of this agreement an affidavit

reflecting the defendant's financial condition. The defendant promises that his financial statement and disclosures will be complete, accurate and truthful and will include all assets in which he has any interest or over which the defendant exercises control, directly or indirectly, including those held by a spouse, dependent, nominee or other third party. The defendant further agrees to execute any documents requested by the United States needed to obtain from any third parties any records of assets owned by the defendant, directly or through a nominee, and, by the execution of this Plea Agreement, consents to the release of the defendant's tax returns for the previous five years. The defendant similarly agrees and authorizes the United States Attorney's Office to provide to, and obtain from, the United States Probation Office, the financial affidavit, any of the defendant's federal, state, and local tax returns, bank records and any other financial information concerning the defendant, for the purpose of making any recommendations to the Court and for collecting any assessments, fines, restitution, or forfeiture ordered by the Court. The defendant expressly authorizes the United States Attorney's Office to obtain current credit reports in order to evaluate the defendant's ability to satisfy any financial obligation imposed by the Court.

6. Sentencing Recommendations

It is understood by the parties that the Court is neither a party to nor bound by this agreement. The Court may accept or reject the agreement, or defer a decision until it has had an opportunity to consider the presentence report prepared by the United States Probation Office. The defendant understands and acknowledges that, although the parties are permitted to make recommendations and present arguments to the Court, the sentence will be determined solely by the Court, with the assistance of the United States Probation Office. Defendant further understands and acknowledges that any discussions between defendant or defendant's attorney and the attorney or other agents for the government regarding any recommendations by the government are not binding on the Court and that, should any recommendations be rejected, defendant will not be permitted to withdraw defendant's plea pursuant to this plea agreement. The government expressly reserves the right to support and defend any decision that the Court may make with regard to the defendant's sentence, whether or not such decision is consistent with the government's recommendations contained herein.

7. Defendant's Waiver of Right to Appeal the Sentence

The defendant agrees that this Court has jurisdiction and authority to impose any sentence up to the statutory maximum and expressly waives the right to appeal defendant's sentence on any ground, including the ground that the

Court erred in determining the applicable guidelines range pursuant to the United States Sentencing Guidelines, except (a) the ground that the sentence exceeds the defendant's applicable guidelines range as determined by the Court pursuant to the United States Sentencing Guidelines; (b) the ground that the sentence exceeds the statutory maximum penalty; or (c) the ground that the sentence violates the Eighth Amendment to the Constitution; provided, however, that if the government exercises its right to appeal the sentence imposed, as authorized by 18 U.S.C. § 3742(b), then the defendant is released from his waiver and may appeal the sentence as authorized by 18 U.S.C. § 3742(a).

8. Middle District of Florida Agreement

It is further understood that this agreement is limited to the Office of the United States Attorney for the Middle District of Florida and cannot bind other federal, state, or local prosecuting authorities, although this office will bring defendant's cooperation, if any, to the attention of other prosecuting officers or others, if requested.

9. Filing of Agreement

This agreement shall be presented to the Court, in open court or in camera, in whole or in part, upon a showing of good cause, and filed in this cause, at the time of defendant's entry of a plea of guilty pursuant hereto.

Defendant's Initials JR

10. Voluntariness

The defendant acknowledges that defendant is entering into this agreement and is pleading guilty freely and voluntarily without reliance upon any discussions between the attorney for the government and the defendant and defendant's attorney and without promise of benefit of any kind (other than the concessions contained herein), and without threats, force, intimidation, or coercion of any kind. The defendant further acknowledges defendant's understanding of the nature of the offense or offenses to which defendant is pleading guilty and the elements thereof, including the penalties provided by law, and defendant's complete satisfaction with the representation and advice received from defendant's undersigned counsel (if any). The defendant also understands that defendant has the right to plead not guilty or to persist in that plea if it has already been made, and that defendant has the right to be tried by a jury with the assistance of counsel, the right to confront and cross-examine the witnesses against defendant, the right against compulsory self-incrimination, and the right to compulsory process for the attendance of witnesses to testify in defendant's defense; but, by pleading guilty, defendant waives or gives up those rights and there will be no trial. The defendant further understands that if defendant pleads guilty, the Court may ask defendant questions about the offense or offenses to which defendant pleaded, and if defendant answers those questions under oath,

on the record, and in the presence of counsel (if any), defendant's answers may later be used against defendant in a prosecution for perjury or false statement.

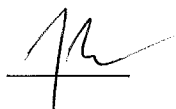
The defendant also understands that defendant will be adjudicated guilty of the offenses to which defendant has pleaded and, if any of such offenses are felonies, may thereby be deprived of certain rights, such as the right to vote, to hold public office, to serve on a jury, or to have possession of firearms.

11. Factual Basis

Defendant is pleading guilty because defendant is in fact guilty. The defendant certifies that defendant does hereby admit that the facts set forth below are true, and were this case to go to trial, the United States would be able to prove those specific facts and others beyond a reasonable doubt.

FACTS

From at least as early as November 2011, through and including at least April 18, 2019, in the Middle District of Florida, the defendant, Joseph S. Anile, II, conspired with others to commit wire fraud and mail fraud. The defendant and coconspirators made false and fraudulent representations to victim-investors and potential investors to persuade them to transmit their funds, via wire and mail, to entities and accounts controlled by conspirators to be traded in the foreign exchange market ("FOREX"). In fact, the defendant and coconspirators used only a portion of the victim-investors' funds for FOREX trading, and the



trading resulted in losses which conspirators concealed. They used the balance of the victim-investors' funds to make Ponzi-style payments, to perpetuate the scheme, and for their own personal enrichment.

Specifically, the defendant, a resident of Sarasota and a licensed attorney, created offshore entities, secured broker-dealer licenses, drafted promissory notes and disclosures, monitored incoming wire transactions, directed outgoing wire transactions and, among other conduct, interacted with victim-investors in order to carry out the scheme. He was a co-founder, director, and president of Oasis International Group, Ltd. ("OIG"). He also created and/or controlled 444 Gulf of Mexico Drive, LLC, Bowling Green Capital Corporation, 4064 Founders Club Drive, LLC, and 4Oaks, LLC, and other entities.

OIG was a Cayman Islands limited corporation, and it served as the parent company for other entities, including, but not limited to, Oasis Management, LLC, Oasis Global FX, Ltd., Oasis Global (Belize), S.A., and 444 Gulf of Mexico, LLC, utilized to carry out the scheme. The defendant and coconspirators held OIG out to victim-investors as the entity used to conduct FOREX trading, the buying and selling of different currencies. They did not disclose the fact that neither OIG nor any of the conspirators was registered with the Commodity Futures Trading Commission ("CFTC"), as required to engage in FOREX trading in the United States.

In soliciting investments, the defendant and coconspirators made multiple false and fraudulent representations and material omissions in their communications to victim-investors and potential investors. In particular, they promoted one of the conspirators as an experienced FOREX trader with a record of success, but concealed the fact that he had been permanently banned from registering with the CFTC and was prohibited from soliciting U.S. residents to trade in FOREX and from trading FOREX for U.S. residents in any capacity. They also fraudulently represented that: (a) conspirators did not charge any fees or commissions; (b) investors were guaranteed a minimum 12 percent per year return on their investments; (c) conspirators had never had a month when they had lost money on FOREX trades; (d) interest and principal payments made to investors were funded by profitable FOREX trading; (e) conspirators owned other assets sufficient to repay investors' principal investments; and (f) an investment with conspirators was safe and without risk. In so doing, the defendant and coconspirators caused victim-investors to transmit funds, via interstate wire transmissions and the United States mail and private and commercial interstate carriers, to Oasis Management, LLC to be traded in the FOREX market.

The defendant and coconspirators used some of the funds transmitted by later victim-investors to make purported interest payments to earlier victim-investors to create the illusion that the investment program was legitimate and

profitable, as in a typical Ponzi scheme. They used some of the funds transmitted by victim-investors for expenses associated with perpetuating the scheme and for their own personal enrichment.

As the scheme evolved, the defendant secured broker-dealer licenses from offshore regulatory entities to make it appear that conspirators could generate even greater earnings by facilitating FOREX trading. The defendant and conspirators solicited victim-investors to make "loans" to OIG, evidenced by promissory notes, purportedly to enable OIG to facilitate a larger volume of FOREX trades and thereby generate greater earnings. The defendant and coconspirators caused victim-investors to transmit funds, via interstate wire transmissions and the United States mail and private and commercial interstate carriers, to Oasis Management, LLC and/or to OIG via a third-party fund administrator to purportedly serve as collateral for FOREX trading activity.

The defendant and coconspirators also developed and administered a "back office" operation - that is, a secure website that falsely and fraudulently depicted victim-investors' account balances and earnings - to convince victim-investors that their principal balances were safe and their investments were performing. Conspirators encouraged and caused victim-investors to access the "back office" website and monitor supposed activity in their accounts, including daily earnings, principal balances, and referral fees.

The defendant and coconspirators used some of the funds “loaned” by victim-investors to conduct trades, via an offshore broker, in the FOREX market. Such trades resulted in catastrophic losses. The defendant and coconspirators concealed the FOREX trading losses from victim-investors, including by omitting any mention of the losses from the “back office” website.

The defendant and coconspirators also used funds “loaned” by victim-investors to: (a) make Ponzi-style payments; (b) pay expenses associated with perpetuating the scheme; and (c) purchase million-dollar residential properties, high-end vehicles, gold, silver and other liquid assets, to fund a lavish lifestyle for conspirators, their family members and friends, and otherwise for their personal enrichment. Some of the assets purchased by the defendant and co-conspirators with victim-investors’ funds include, but are not limited to, the following:

- a. 2015 Mercedes-Benz SLK350, Vehicle Identification Number: WDDPK5HA8FF099097;
- b. 2016 Mercedes-Benz GLE400, Vehicle Identification Number: 4JGDA5GB5GA622371;
- c. 2015 Ferrari Convertible California T, Vehicle Identification Number: ZFF77XJA3F0208054;
- d. Real Property located at 13318 Lost Key Place, Lakewood Ranch, Florida 34202;
- e. Real Property located at 6922 LaCantera Circle, Lakewood Ranch, Florida 34202;

- f. Real Property located at 444 Gulf of Mexico Drive, #3, Longboat Key, Florida 34228;
- g. Real Property located at 16804 Vardon Terrace, #108, Bradenton, Florida 34211;
- h. Real Property located at 16904 Vardon Terrace, #106, Bradenton, Florida 34211;
- i. Real Property located at 17006 Vardon Terrace, #105, Bradenton, Florida 34211;
- j. Real Property located at 4058 Founders Club Drive, Sarasota, Florida 34240;
- k. Real Property located at 4064 Founders Club Drive, Sarasota, Florida 34240; and
- l. Real Property located at 7312 Desert Ridge Glen, Lakewood Ranch, Florida 34202.

The defendant used victim-investors' money to purchase a personal residence and high-end vehicles and to fund his lifestyle. The defendant created 4064 Founders Club Drive, LLC, and used the entity and victim-investors' funds to purchase his personal residence, located at 4064 Founders Club Drive, Sarasota, Florida. He created 4Oaks, LLC and used it to open a bank account and to facilitate financial transactions, including to buy a Ferrari vehicle. Specifically, on February 1, 2019, the defendant wired \$57,134 of victim-investors' funds from the Wells Fargo Bank account ending in 2572 in the name of 4Oaks, LLC, to the Seacoast National Bank account of Marino Performance

Motors in West Palm Beach, Florida, in partial satisfaction of the purchase price of the 2015 Ferrari Convertible California T. The defendant also created Bowling Green Capital Corporation, a New York corporation, and 444 Gulf of Mexico Drive, LLC, a Florida limited liability company, opened bank accounts in the names of said entities, and used them to receive victim-investors' funds, to pay certain of his personal expenses, and otherwise to fund his lifestyle.

The defendant did not include the victim-investors' funds he received and so understated his reported income on his federal income tax returns. In particular, on or about October 15, 2018, the defendant made and filed, or caused to be made and filed, a 2017 U.S. Individual Income Tax Return, IRS Form 1040, which he signed under penalty of perjury. When he did so, the defendant knew the income tax return was not true and correct because he understated his reported income by at least \$1,498,000, which he had received for his role in the scheme.

The conspiracy to commit wire fraud and mail fraud perpetrated by the defendant and coconspirators yielded more than \$72,719,929 in deposits from at least 700 victim-investors. The defendant and coconspirators used at least \$19,625,000 to engage in FOREX trading, and all the money was lost. They used at least \$21,974,000 to make Ponzi-style payments and principal payments to victim-investors. They used the balance of more than \$24,801,000 to pay

expenses to perpetuate the scheme and primarily for their personal enrichment, and the defendant personally received a minimum of \$3,283,467 of this amount.

On April 18, 2019, in addition to other assets, law enforcement seized approximately \$62,750 in U.S. Currency, approximately 200 one-ounce gold coins, and approximately 100 one-hundred-ounce silver bars from the defendant's residence, all of which were purchased with or constitute victim-investors' funds.

12. Entire Agreement

This plea agreement constitutes the entire agreement between the government and the defendant with respect to the aforementioned guilty plea and no other promises, agreements, or representations exist or have been made to the defendant or defendant's attorney with regard to such guilty plea.


13. Certification

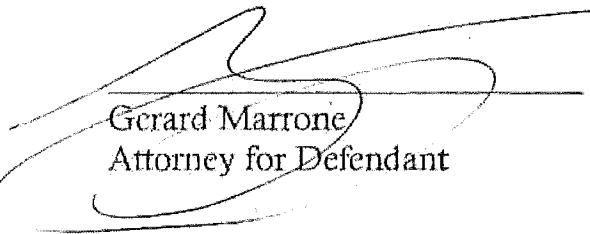
The defendant and defendant's counsel certify that this plea agreement has been read in its entirety by (or has been read to) the defendant and that defendant fully understands its terms.

DATED this 8th ^{August} day of ~~June~~ 2019.

MARIA CHAPA LOPEZ
United States Attorney


Joseph S. Anile, II
Defendant


Rachelle DesVaux Bedke
Assistant United States Attorney
Deputy Chief, Economic Crimes Section


Gerard Marrone
Attorney for Defendant

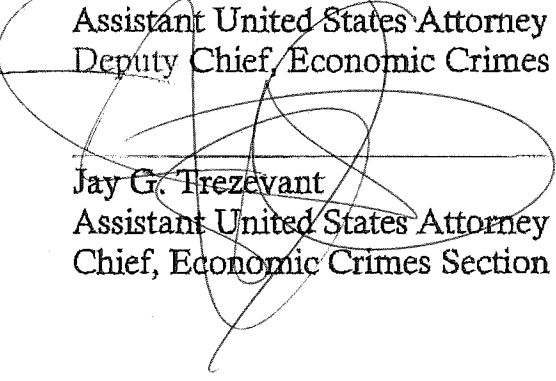

Jay G. Trezevant
Assistant United States Attorney
Chief, Economic Crimes Section

EXHIBIT D

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION

FILED
DEC 17 PM 5:06
CLERK, US DISTRICT COURT
MIDDLE DISTRICT FLORIDA
TAMPA, FLORIDA

UNITED STATES OF AMERICA

v.

MICHAEL J. DACORTA

CASE NO. 8:19-cr-605-Torset
18 U.S.C. § 1349
18 U.S.C. § 1957

INDICTMENT

SEALED

The Grand Jury charges:

COUNT ONE

(Conspiracy to Commit Wire Fraud and Mail Fraud – 18 U.S.C. § 1349)

Introduction

At all times material to this Indictment:

1. MICHAEL J. DACORTA, a resident of Sarasota, in the Middle District of Florida, who had been permanently banned from registering with the Commodity Futures Trading Commission and was prohibited from soliciting U.S. residents to trade in foreign currency and from trading foreign currency for U.S. residents in any capacity, was a co-founder, director, chief executive officer, and chief investment officer of OASIS INTERNATIONAL GROUP, LTD. DACORTA created entities, opened accounts, promoted the business, solicited funds from victim-investors, directed all trading decisions and the execution of trades and, among other conduct, interacted with victim-investors in order to

SEALED

perpetuate the scheme and for other purposes. DACORTA also created and/or controlled, among other entities, OASIS MANAGEMENT, LLC; 13318 LOST KEY PLACE, LLC; 6922 LACANTERA CIRCLE, LLC; 6300 MIDNIGHT PASS ROAD NO. 1002, LLC; 16804 VARDON TERRACE #108, LLC; FULL SPECTRUM WELLNESS, LLC; and ROAR OF THE LION FITNESS, LLC.

2. OASIS INTERNATIONAL GROUP, LTD. (“OIG”), a Cayman Islands limited corporation, served as the parent company for other entities including, but not limited to, OASIS MANAGEMENT, LLC, OASIS GLOBAL FX, LTD., OASIS GLOBAL (BELIZE), S.A., and 444 GULF OF MEXICO DRIVE, LLC, utilized to carry out the scheme. DACORTA and his coconspirators held OIG out to victim-investors as the entity used to conduct foreign exchange market (“FOREX”) trading. OIG was not registered with the Commodity Futures Trading Commission in any capacity.

3. OASIS MANAGEMENT, LLC was a Wyoming limited liability company created and controlled by DACORTA, who used the entity to open a bank account and to receive victim-investors’ funds for his personal enrichment.

4. 13318 LOST KEY PLACE, LLC was a Florida limited liability company created by DACORTA and used to open a bank account and to purchase, make improvements to, and maintain DACORTA’s personal residence, located at 13318 Lost Key Place, Sarasota, Florida.

5. 6922 LACANTERA CIRCLE, LLC was a Florida limited liability company created by DACORTA and used to open a bank account and to purchase, make improvements to, and maintain DACORTA's future personal residence, located at 6922 LaCantera Circle, Sarasota, Florida.

6. 6300 MIDNIGHT PASS ROAD NO. 1002, LLC was a Florida limited liability company created by DACORTA and used to purchase DACORTA's beach condominium, located at 6300 Midnight Pass Road No. 1002, Sarasota, Florida.

7. 16804 VARDON TERRACE #108, LLC was a Florida limited liability company created by DACORTA and used to purchase a condominium, located at 16804 Vardon Terrace #108, Sarasota, Florida, for his son.

8. FULL SPECTRUM WELLNESS, LLC was a Florida limited liability company created by DACORTA and used to open a bank account and to pay business expenses and make payments to his sons.

9. ROAR OF THE LION FITNESS, LLC was a Florida limited liability company created by DACORTA and used to open a bank account and to fund a business operated by his sons.

10. COMMODITY FUTURES TRADING COMMISSION ("CFTC") was an independent federal regulatory agency charged by Congress with the

administration and enforcement of the Commodity Exchange Act, 7 U.S.C. § 1 et seq., and regulations promulgated thereunder.

11. The foreign exchange market (“FOREX”) was the market for buying and selling different currencies. It was primarily an over-the-counter market with trades between large commercial banks accounting for most foreign currency transactions. Other participants in the foreign exchange market included brokers, who matched buyers and sellers in the market.

12. A “Ponzi” scheme was a fraudulent investment program in which funds paid in by later investors are used to pay out non-existent, phantom “profits” to earlier investors, thus creating the illusion that the fraudulent investment program is a successful, profit-generating enterprise which, in turn, attracts new investment funds that are used to sustain the fraudulent program.

The Conspiracy

13. Beginning on an unknown date, but at least as early as in or about November 2011, and continuing thereafter, through and including at least on or about April 18, 2019, in the Middle District of Florida, and elsewhere, the defendant,

MICHAEL J. DACORTA,

did knowingly combine, conspire, confederate, and agree with others, both known and unknown to the Grand Jury, to commit certain offenses against the

United States, specifically:

- a. To devise and intend to devise a scheme and artifice to defraud and for obtaining money and property by means of materially false and fraudulent pretenses, representations, and promises, utilizing transmissions by means of wire and radio communication in interstate and foreign commerce of any writings, signs, signals, and sounds, in violation of 18 U.S.C. § 1343; and
- b. To devise and intend to devise a scheme and artifice to defraud and for obtaining money and property by means of materially false and fraudulent pretenses, representations, and promises, utilizing the United States mail and private and commercial interstate carriers, in violation of 18 U.S.C. § 1341.

Manner and Means of the Conspiracy

14. The manner and means by which the defendant and his coconspirators sought to accomplish the objects and purpose of the conspiracy included, among others, the following:

- a. It was a part of the conspiracy that conspirators would and did create both domestic and offshore entities and open bank accounts in the names of said entities to facilitate the scheme.

b. It was a further part of the conspiracy that conspirators would and did make false and fraudulent representations to victim-investors and potential investors in promoting one of the conspirators as an experienced FOREX trader with a record of success in order to persuade them to transmit their investment funds to OASIS MANAGEMENT, LLC to be traded in the FOREX market.

c. It was a further part of the conspiracy that conspirators would and did make material omissions and conceal from victim-investors and potential investors that one of the conspirators had been permanently banned from registering with the CFTC and was prohibited from soliciting U.S. residents to trade in FOREX and from trading FOREX for U.S. residents in any capacity.

d. It was a further part of the conspiracy that conspirators would and did make false and fraudulent representations to victim-investors and potential investors, including, but not limited to, that: (i) conspirators did not charge any fees or commissions; (ii) investors were guaranteed a minimum 12 percent per year return on their investments; (iii) conspirators had never had a month when they had lost money on FOREX trades; (iv) interest and principal payments made to investors were funded by profitable FOREX trading; (v) conspirators owned other assets sufficient to repay investors' principal investments; and (vi) an investment with conspirators was safe and without risk.

e. It was a further part of the conspiracy that conspirators would and did encourage and cause victim-investors to transmit funds, via interstate wire transmissions and the United States mail and private and commercial interstate carriers, to OASIS MANAGEMENT, LLC to be traded in the FOREX market.

f. It was a further part of the conspiracy that conspirators would and did use funds transmitted by victim-investors for FOREX trading to: (i) make Ponzi-style payments to victim-investors; (ii) pay expenses associated with perpetuating the scheme; and (iii) fund their lifestyles and otherwise for their personal enrichment.

g. It was a further part of the conspiracy that conspirators would and did secure broker-dealer licenses from offshore regulatory entities to create the appearance that they could generate even greater earnings by facilitating FOREX trading.

h. It was a further part of the conspiracy that conspirators would and did solicit victim-investors to make “loans” to OIG, evidenced by promissory notes, purportedly to enable OIG to facilitate a larger volume of FOREX trades and thereby generate greater earnings.

i. It was a further part of the conspiracy that conspirators would and did develop and administer a “back office” operation - that is, a secure

website that falsely and fraudulently depicted victim-investors' account balances and earnings - in order to convince victim-investors that their principal balances were safe and their investments were performing.

j. It was a further part of the conspiracy that conspirators would and did encourage and cause victim-investors to: (i) transmit funds, via interstate wire transmissions and the United States mail and private and commercial interstate carriers, to OASIS MANAGEMENT, LLC and/or to OIG via a third-party fund administrator purportedly to serve as collateral for FOREX trading activity; and (ii) access a "back office" website and monitor supposed activity in their accounts, including daily earnings, principal balances, and referral fees.

k. It was a further part of the conspiracy that conspirators would and did use funds "loaned" by victim-investors to: (i) conduct trades, via an offshore broker, in the FOREX market, which trades resulted in catastrophic losses; (ii) make Ponzi-style payments to victim-investors; (iii) pay expenses associated with perpetuating the scheme; and (iv) purchase million-dollar residential properties, high-end vehicles, gold, silver, and other liquid assets, to fund a lavish lifestyle for conspirators, their family members and friends, and otherwise for their personal enrichment.

l. It was a further part of the conspiracy that conspirators would and did conceal the FOREX trading losses from victim-investors, including by

omitting any mention of said losses from the “back office” website, in an effort to perpetuate the scheme.

m. It was a further part of the conspiracy that conspirators would and did misrepresent, hide, and conceal, and cause to be misrepresented, hidden, and concealed, the purpose of acts performed in furtherance of the conspiracy.

All in violation of 18 U.S.C. § 1349.

COUNT TWO
(Illegal Monetary Transaction – 18 U.S.C. § 1957)

On or about February 19, 2019, in the Middle District of Florida, the defendant,

MICHAEL J. DACORTA,

did knowingly engage and attempt to engage in a monetary transaction, affecting interstate and foreign commerce, in criminally derived property of a value greater than \$10,000, such property having been derived from specified unlawful activity, that is, wire fraud, in violation of 18 U.S.C. § 1343, and mail fraud, in violation of 18 U.S.C. § 1341, in that defendant caused \$653,293.67 to be sent via an electronic wire from the Citibank account ending in 0764 in the name of Mainstream Fund Services to the Synovus Bank account ending in 3473 in the name of Berlin Patten Ebling, LLC in Sarasota, Florida, in connection with his

purchase of the personal residence located at 13318 Lost Key Place, Sarasota, Florida.

In violation of 18 U.S.C. § 1957.

FORFEITURES

1. The allegations contained in Counts One and Two of this Indictment are incorporated by reference for the purpose of alleging forfeitures pursuant to 18 U.S.C. §§ 981(a)(1)(C) and 982(a)(1), and 28 U.S.C. § 2461(c).

2. Upon conviction of a violation of 18 U.S.C. §§ 1341 and/or 1343 or a conspiracy to violate 18 U.S.C. §§ 1341 and/or 1343 (18 U.S.C. § 1349), the defendant,

MICHAEL J. DACORTA,

shall forfeit to the United States, pursuant to 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(c), any property, real or personal, which constitutes or is derived from proceeds traceable to the offense.

3. Upon conviction of a violation of 18 U.S.C. § 1957, the defendant,

MICHAEL J. DACORTA,

shall forfeit to the United States of America, pursuant to 18 U.S.C. § 982(a)(1), any property, real or personal, involved in such offense and any property traceable to such property.

4. The property to be forfeited includes, but is not limited to an order of forfeiture in the amount of approximately \$7,128,410.65, which represents proceeds the defendant personally obtained from the offenses.

5. If any of the property described above, as a result of any act or omission of the defendant:

- a. cannot be located upon the exercise of due diligence;
- b. has been transferred or sold to, or deposited with, a third party;
- c. has been placed beyond the jurisdiction of the court;
- d. has been substantially diminished in value; or
- e. has been commingled with other property which cannot be divided without difficulty;


the United States of America shall be entitled to forfeiture of substitute property under the provisions of 21 U.S.C. § 853(p), as incorporated by 18 U.S.C. § 982(b)(1) and 28 U.S.C. § 2461(c).

A TRUE BILL,

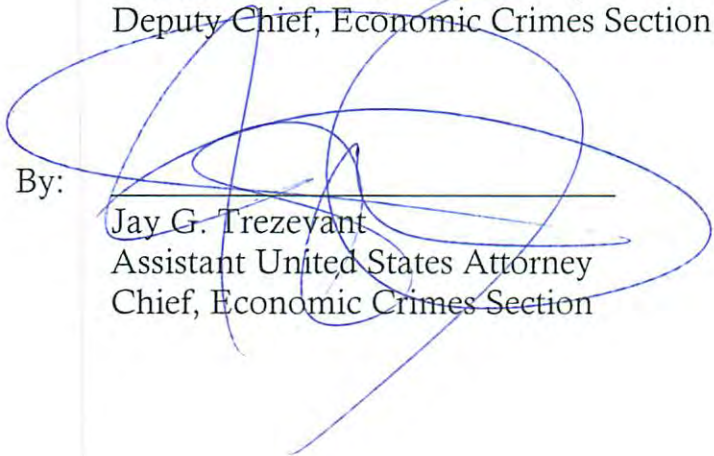


Foreperson

MARIA CHAPA LOPEZ
United States Attorney

By: 

Rachelle DesVaux Bedke
Assistant United States Attorney
Deputy Chief, Economic Crimes Section

By: 

Jay G. Trezevant
Assistant United States Attorney
Chief, Economic Crimes Section

UNITED STATES DISTRICT COURT
Middle District of Florida
Tampa Division

THE UNITED STATES OF AMERICA

vs.

MICHAEL J. DACORTA

INDICTMENT

Violations: 18 U.S.C. §§ 1349, 1957

A true bill,



Foreperson

Filed in open court this 17th day
of December 2019.

Clerk

Bail \$ _____
